

APPENDIX A.

List of Key Participants

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Indiana’s 2002 Consolidated Plan Update was a collaborative project. The Indiana Department of Commerce and the Indiana Housing Finance Authority were responsible for overseeing the coordination and development of the plan. The Indiana Family and Social Services Administration (FSSA) assisted in development of the Plan.

The Consolidated Plan Coordinating Committee included representatives from the organizations listed above as well as individuals from the Indiana Coalition on Housing and Homeless Issues (ICHHI), the Indiana Association for Community Economic Development (IACED), the Indiana Civil Rights Commission (ICRC), Rural Opportunities Inc. (ROI), The Indiana Institute on Disability and Community, and the U.S. Department of Housing and Urban Development (HUD). A list of the key people involved in the development of the plan follows.

Kelly Boe	Chuck Martindale
Rosemary Carney	Deborah McCarty
Lisa Coffman	Renitra Moore-Marion
Wendy Landes	Amy Murphy-Nugen
John Dorgan	Annette Phillips
Susie Harmless	Sheryl Sharpe
Martha Kenley	Patrick Taylor
Michelle Kincaid	Christie Gillespie Williams
Judy Kochanczyk	

In addition to these key players in development of the Plan, more than 600 citizens participated in the planning process by responding to a community survey, attending regional public forums, or submitting written comments to the Consolidated Plan Coordinating Committee. A list of participants in the regional forums is attached; public comments are located in Appendix E. Their input was very welcome and their thoughts much appreciated.

Regional forum attendees

Covington Forum

Dawn Alle Resident	Larry Myers Resident
Brad Crain Mayor, Covington	Malinda Myers Resident
Tammy Elhove Resident	James Norris Resident
Kris Ellingwood Twin Oaks Housing	Linda Okeke Community Service Center
Mike Evans Hoosier House, Danville	Bobby Piteck Resident
Brian Judd Hoosier House, Danville	Christopher Powell Resident
Daniel Kunkle Resident	Steve Proctor CAP of Western Indiana
Craig Lysinger Wabash Valley Hospital	Jeffrey Siler Resident
John Mercer Resident	

Jeffersonville Forum

Barbara Anderson Resident	Carlos Lowe Resident
Shelley Bauto CHMC	Antonio Malone Haven House Services
Maxine Black Womens' Emergency Housing	Michael Martin Resident
Rosie Carney Indianapolis DHMA	Willard L. Mays Division of Mental Health
Rich Carter Resident	Peggy McCullen Resident
Walter Coppinger Habitat for Humanity	William McDonald Haven House Services
Lena Crabtree The Center for Women and Families Domestic Violence	John Miller CHDO
Elaine Daley Clark County Health Department	Natalie Pike Resident
Roger Dunlap Resident	Kelli Puom Haven House Services
Shari Eve Resident	Donna Rae Haven House Services

Jeffersonville Forum (continued)

Betty Gabhart HNSI	Christy Reynolds HHSI
Mattie Grant Haven House Services	Rosemarie Roberts Ohio Valley Opportunities, Inc.
Kathy Haller Jeffersonville Department of Redevelopment	Jean Ruhl Haven House Services
Bonnie Hampton New Hope Services	Robert Salgado Haven House Services
Annie Hannob Haven House Services	Geneva Sams HCCS
Lisa Hansen Resident	LaTanya Taylor Haven House Services
Mattie Havens Resident	Anne Terwillinger Bliss House
Christy Heilgenberg Haven House Services	Betsey Vanderheide City House
Jackie James Southern Seven Work Force Investment Board	Pastor Wilkerson Haven House Services
John Kaiser Clark/OOFC	Scott Willoughby Haven House Services
Carol Kasper Haven House Services	Angela Wolfe Resident
Tim Kelly Haven House Services	Ricky Woode Resident
Tina M. Lawhoen Resident	Other participants: 1 CASI
Catherine Leode Haven House Services	Other participants: 2 Haven House Services

Plymouth Forum

Jake Banlo Habitat for Humanity	Marion Kasten Pulaski County Commissioner
Bertha Barker Dismas	LaTosha Knight Housing Opportunities
Todd Blumenstock Elkhart County Health Department	Jim Kostielney North Central Community Action
Jennifer Buttice Emmaus Mission Homeless Shelter	Stan Ladowicz South Lake Center for Mental Health
G. Dean Byers Marshall County Housing Authority	Chris Lehman Habitat for Humanity
Cresleen Causey Marshall County Housing Authority	Matt Lentseh Oaklawn

Plymouth Forum (continued)

Melissa Christiansen USDA Rural Development	Ronald Liechty Garden Court
Debora Conley Elkhart County	Norman Long EMA
Robert J. Garcia Elkhart County Community AIDS Action Group	Annie Mannix Neighborhood Development
Kurt Garner Plymouth Plan Commission	Carol Nordstrom Christian Community Action
Lisa Gilman Elkhart Housing Partnership	Evonne Norvell Michigan City Housing Authority
James R. Hernandez RDCI Consulting	Larry Santscho La Casa of Goshen, Inc.
Josephine Hughes EARN	Caroline Shook Housing Opportunities
Tom Isakson Christian Community Action	Tracie Smith RDCI Consulting
Gerry Jones Stepping Stone Shelter for Women	Bonnie Stryalhr Youth Service Bureau
Debbie Kardos Housing Opportunities	Mary Williams Emmaus Mission Homeless Shelter

Princeton Forum

Paula Berlund Tulip Tree Family Health	Mary C. Pugh Cape Head Start
Danielle Brewer Gibson County DFC	Mary C. Reed Cape Head Start
Michael Chandler Resident	Bonnie Rehmquist Habitat for Humanity
Amanda Dume Resident	Bob Stilwell Resident
Neil Evans Vincennes Home Ownership	Rosanna Summers Cape Head Start
Rebecca Gootee YWCA	Kathryn M. Todd Vincennes Home Ownership
Lynn Hartshorne Habitat for Humanity	Kanda Walden Tulip Tree Family Health
Anna Marie Keil Tulip Tree Family Health	Alice Weathers Cape Head Start
Tony Kirkland Cape Head Start	Andrea Wilson The Salvation Army
Kimberly Kuho Ozanam Family Shelter	Mike Wilson Cape Head Start
Tammy Newton Cape Head Start	Other participants: 1 The Salvation Army

Richmond Forum

Shelia Armstead IUE/Community	Ruth Miller CAECI
Chuck Barker AIDS Task Force	Shelley Miller City of Richmond
Chris Bartram Resident	Karen Montgomery Richmond City Schools
Joyce Bertsch Star Development, Inc.	John Nickolson Resident
Charles Brown Neighborhood Services Clearinghouse	Kelly Persinger Genesis of the YWCA
Renee Doty EDC of Wayne County	Jeff Plasterer Wayne County Council
Jon Ford Richmond Chamber of Commerce	Kim Poinsett Richmond Parks and Recreation
Tony Foster City of Richmond Community Development	Julia Salthoff Rural Opportunities, Inc.
Don Griffin Hope House Addiction Recovery Center	Sherita Searcy Pal-Item
George Harris Resident	Karl Sharp Richmond City Council
James L. Herbolt Resident	Dan Stewart Green Acres
Toby Hill AIDS Task Force	Todd Stizelman Independent Living Center
John Kenny City of Richmond	Becky Studebaker YWCA
Nancy Kinder EIDD	Sheryl Sweetstone Resident
Cheryl Kirtz Independent Living Center	Mary Jo Ward Youth Resources
Dan Lake IDOC	Bing Welch Richmond City Council
Kristin Leive Rural Opportunities, Inc.	David West Richmond Sanitary District
Etta Lundy Richmond City Council	Pat Whitaker Resident
Karen Maurv Birth-to-Five	Derek White Housing Authority
Marc McCarty Star Development, Inc.	Tim Williams Multicultural Affairs
Clifton McNish IV East	

Warren Forum

Amy Baim Resident	Sandra Haneline Resident
Andrew Barriac Resident	Kristi Hayes A Better Way
Leslie Bruggeman A Better Way	Penny Heppenstell Utility Clerk
Barbara Daniel YWCA of Fort Wayne, Inc.	Harold Jones Warren Town Council
Jenny Deamis Alternatives, Inc.	Phil Magner Wabash County Habitat for Humanity
Cindy Godesky Huntington County Habitat for Humanity	Pam McConey National Alliance for the Mentally Ill
Becca Granos Alternatives, Inc.	Marilyn Morrison IACT
Jo Green Habitat for Humanity of Grant County	John Niederman Pathfinder Services, Inc.

APPENDIX B.
Consolidated Plan Certifications

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Consolidated Plan Certifications

This appendix contains the Consolidated Plan certifications and the Form SF-424, Application for Federal Assistance. Each certification and form has been signed by a representative of the agency responsible for administering the funding. The Indiana Department of Commerce administers CDBG funds; the Indiana Housing and Finance Authority administers HOME funds and HOPWA funds; and the Indiana Family and Social Services Administration administers ESG funds.

Certifications are available upon request:

State of Indiana
Department of Commerce
One North Capital Avenue, Suite 600
Indianapolis, IN 46204
(317) 232-8831

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION															
Legal Name: State of Indiana	Organizational Unit: Indiana Department of Commerce														
Address (give city, county, State, and zip code): One North Capitol, Suite 700 Indianapolis, IN 46204															
Name and telephone number of person to be contacted on matters involving this application (give area code): Charles R. Martindale (317) 232-8801															
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 35-6000158 </div>															
7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div style="width: 45%;"> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div> <div style="text-align: right; margin-top: -20px;"> <div style="border: 1px solid black; padding: 2px; display: inline-block;">A</div> </div>															
8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____															
9. NAME OF FEDERAL AGENCY: U.S. Department of Housing & Urban Dev															
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="text-align: right; margin-right: 50px;"> <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 14-228 </div> </div> TITLE: State Administered CDBG Program															
11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: State Community Development Block Grant Program															
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): 															
13. PROPOSED PROJECT Start Date: 06/01/02 Ending Date: 5/30/03	14. CONGRESSIONAL DISTRICTS OF: a. Applicant #10 b. Project 1 through 10 (all)														
15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Federal</td> <td>\$ 37,879,000</td> </tr> <tr> <td>b. Applicant</td> <td>\$.00</td> </tr> <tr> <td>c. State</td> <td>\$.00</td> </tr> <tr> <td>d. Local</td> <td>\$.00</td> </tr> <tr> <td>e. Other</td> <td>\$.00</td> </tr> <tr> <td>f. Program Income</td> <td>\$.00</td> </tr> <tr> <td>g. TOTAL</td> <td>\$ 37,879,000</td> </tr> </table>		a. Federal	\$ 37,879,000	b. Applicant	\$.00	c. State	\$.00	d. Local	\$.00	e. Other	\$.00	f. Program Income	\$.00	g. TOTAL	\$ 37,879,000
a. Federal	\$ 37,879,000														
b. Applicant	\$.00														
c. State	\$.00														
d. Local	\$.00														
e. Other	\$.00														
f. Program Income	\$.00														
g. TOTAL	\$ 37,879,000														
16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW															
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input type="checkbox"/> No															
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.															
a. Type Name of Authorized Representative Thomas F. McKenna	b. Title Executive Director														
c. Telephone Number (317) 232-8802															
d. Signature of Authorized Representative 	e. Date Signed 3-26-02														

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Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

- (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- 7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the State's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official
Tom McKenna
Executive Director
Title

3-25-02
Date

Specific CDBG Certifications

The State certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments -- It has or will comply with the following:

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570)

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2002 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

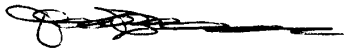
It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Compliance with Laws -- It will comply with applicable laws.

 3-25-02

Signature/Authorized Official Date
Tom McKenna

Executive Director
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Indiana Department of Commerce, One North Capitol Avenue, Suite 700, Indianapolis, IN 46204

Indiana Housing Finance Authority, 115 W. Washington Street, Suite 1350, Indianapolis, IN 46204

Family and Social Services Agency, 402 W. Washington Street, IGCSouth W386, Indianapolis, IN 46204

Check ___ if there are workplaces on file that are not identified here; The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C.812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED 3/22/02	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION Legal Name: Indiana Housing Finance Authority		Organizational Unit: Community Development Department																					
Address (give city, county, State, and zip code): 115 West Washington Street, Suite 1350 South Tower Indianapolis, IN 46204-3413 Marion County, Indiana		Name and telephone number of person to be contacted on matters involving this application (give area code) KIMBERLY A. GREEN (Phone): 317-232-7777 (Fax): 317-232-7778																					
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 35 — 1485172		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div> <div style="text-align: right; margin-top: -40px;"> <input checked="" type="checkbox"/> A </div>																					
8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		9. NAME OF FEDERAL AGENCY: U.S. Dept. of Housing & Urban Development																					
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14 — 239 TITLE: HOME Investment Partnerships Program		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: 2002 HOME Investment Partnerships Program activities for the State of Indiana.																					
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): 																							
13. PROPOSED PROJECT Start Date: 7/1/02 Ending Date: 6/30/03		14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 10th b. Project: 1-10 (statewide)																					
15. ESTIMATED FUNDING: \$16,447,000.00		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Federal</td> <td>\$</td> <td>16,447,000.00</td> </tr> <tr> <td>b. Applicant</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>c. State</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>d. Local</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>e. Other</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>f. Program Income</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>g. TOTAL</td> <td>\$</td> <td>16,447,000.00</td> </tr> </table>		a. Federal	\$	16,447,000.00	b. Applicant	\$	0.00	c. State	\$	0.00	d. Local	\$	0.00	e. Other	\$	0.00	f. Program Income	\$	0.00	g. TOTAL	\$	16,447,000.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No
a. Federal	\$	16,447,000.00																					
b. Applicant	\$	0.00																					
c. State	\$	0.00																					
d. Local	\$	0.00																					
e. Other	\$	0.00																					
f. Program Income	\$	0.00																					
g. TOTAL	\$	16,447,000.00																					
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																							
a. Type Name of Authorized Representative KIMBERLY A. GREEN		b. Title Executive Director																					
c. Telephone Number (317) 232-7777		d. Signature of Authorized Representative 																					
e. Date Signed March 22, 2002																							

HOME Certifications

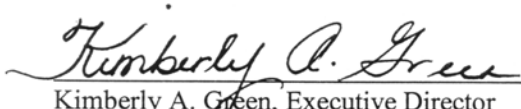
The State certifies that:

Tenant Based Rental Assistance – If it intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the State's Consolidated Plan.

Eligible Activities and Costs – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

Appropriate Financial Assistance – Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Kimberly A. Green, Executive Director
Indiana Housing Finance Authority

4/2/02
Date

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED 03/26/02	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier	

5. APPLICANT INFORMATION																								
Legal Name: State of Indiana		Organizational Unit: Division of Family & Children Housing & Community Services Section																						
Address (give city, county, State, and zip code): 402 W. Washington Street, RM W381 P. O. Box #6116 Indianapolis, IN 46206		Name and telephone number of person to be contacted on matters involving this application (give area code) Renitra Moore-Marion (317) 232-7117 (317) 232-7079 (Fax)																						
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 35-6000158		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div> <input checked="" type="checkbox"/> A																						
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		9. NAME OF FEDERAL AGENCY: U. S. Department of Housing and Urban Development																						
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-231 TITLE: Emergency Shelter Grant Program		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Emergency Shelter Grant																						
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): State of Indiana																								
13. PROPOSED PROJECT Start Date: 7/01/02 Ending Date: 6/30/03		14. CONGRESSIONAL DISTRICTS OF: a. Applicant: 10 b. Project: 1-10 (Statewide)																						
15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>a. Federal</td><td>\$</td><td style="text-align: right;">1,747,000.00</td></tr> <tr><td>b. Applicant</td><td>\$</td><td style="text-align: right;">.00</td></tr> <tr><td>c. State</td><td>\$</td><td style="text-align: right;">.00</td></tr> <tr><td>d. Local</td><td>\$</td><td style="text-align: right;">.00</td></tr> <tr><td>e. Other</td><td>\$</td><td style="text-align: right;">1,747,000.00</td></tr> <tr><td>f. Program Income</td><td>\$</td><td style="text-align: right;">.00</td></tr> <tr><td>g. TOTAL</td><td>\$</td><td style="text-align: right;">3,494,000.00</td></tr> </table>		a. Federal	\$	1,747,000.00	b. Applicant	\$.00	c. State	\$.00	d. Local	\$.00	e. Other	\$	1,747,000.00	f. Program Income	\$.00	g. TOTAL	\$	3,494,000.00	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
a. Federal	\$	1,747,000.00																						
b. Applicant	\$.00																						
c. State	\$.00																						
d. Local	\$.00																						
e. Other	\$	1,747,000.00																						
f. Program Income	\$.00																						
g. TOTAL	\$	3,494,000.00																						
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No																								
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																								
a. Type Name of Authorized Representative John Jay Boyce		b. Title Director																						
c. Telephone Number 232-4705		e. Date Signed 4/5/02																						

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Authorized for Local Reproduction

Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102

**STATE GRANTEE
EMERGENCY SHELTER GRANTS PROGRAM
FY2001 CERTIFICATIONS**

I John Jay Boyce, Director, (name and title) authorized to act

on behalf of the State of _____, certify that the State will ensure compliance by units of general local government and nonprofit organizations to which it distributes funds under the Emergency Shelter Grants Program with:

- (1) The requirements of 24 *CFR* 576.21(a)(4) which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) that the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- (2) The requirements of 24 *CFR* 576.25(b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed project(s) from the unit of local government in which the proposed project is located.
- (3) The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grant funds are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
- (4) The building standards requirement of 24 *CFR* 576.55.
- (5) The requirements of 24 *CFR* 576.56, concerning assistance to the homeless.
- (6) The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable Federal law concerning nondiscrimination and equal opportunity.
- (7) The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (8) The requirements of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.

- (9) The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the Emergency Shelter Grants Program and that the address or location of any family violence shelter project assisted with ESG funds will not be made public, except with written authorization of the person or persons responsible for the operation of the shelter.
- (10) The requirement of that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 576.56(b)(2).
- (11) The new requirement of the McKinney Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that State and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of State and local resources.


I certify that the State will comply with the requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.

I certify that the State will comply with the provisions of, and regulations and procedures applicable under 24 *CFR* 576.57(e) with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 *CFR* Part 58 as applicable to activities of nonprofit organizations funded directly by the State. The State also agrees to assume the Department's responsibility and authority as set forth in 24 *CFR* 576.57(e) for acting on the environmental certifications and requests for the release of funds submitted to the State by local government recipients.

I certify that the State will ensure the provision of the matching funds required by 24 *CFR* 576.51 and 42 *USC* 11375, including a description of the sources and amounts of such supplemental funds, as provided by the State, units of general local government or nonprofit organizations.

I further certify that the submission of a complete and approved Consolidated Plan with its relevant certifications, which is treated as the application for an Emergency Shelter Grant, is authorized under State law, and that the State possesses legal authority to fund the carrying out of grant activities by units of general local government and nonprofit organizations in accordance with applicable laws and regulations of the Department of Housing and Urban Development.

Name and Title



Signature

Director

Title

4.3.2

Date

ESG Certifications

The State seeking funds under the Emergency Shelter Program (ESG) certifies that it will ensure that its recipients of ESG funds comply with the following requirements:

Major rehabilitation/conversion -- In the case of major rehabilitation or conversion, it will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the rehabilitation is not major, the recipient will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

Essential Services -- Where the assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

Renovation -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal State, local, and private assistance for such individuals.

Matching Funds -- It will obtain matching amounts required under 24 CFR §576.71.

Confidentiality -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under this program, in providing services assisted through this program, and in providing services for occupants of such facilities.

Consolidated Plan -- It is following a current HUD-approved Consolidated Plan or CHAS.


Signature/Authorized Official

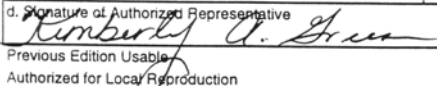
4.3.2
Date

Title Director

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED 3/22/02	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION Legal Name: Indiana Housing Finance Authority		Organizational Unit: Community Development Department																					
Address (give city, county, State, and zip code): 115 West Washington Street, Suite 1350 South Tower Indianapolis, IN 46204-3413 Marion County, Indiana		Name and telephone number of person to be contacted on matters involving this application (give area code) KIMBERLY A. GREEN (Phone): 317-232-7777 (Fax): 317-232-7778																					
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 35-1485172		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div>																					
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development																					
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-241 TITLE: Housing Opportunities for Persons with AIDS		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: 2002 Housing Opportunities for Persons with AIDS program activities for the State of Indiana.																					
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):																							
13. PROPOSED PROJECT Start Date: 7/1/02 Ending Date: 6/30/03		14. CONGRESSIONAL DISTRICTS OF: a. Applicant 10th b. Project 1-10 (statewide)																					
15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>a. Federal</td><td>\$</td><td>.00</td></tr> <tr><td>b. Applicant</td><td>\$</td><td>751,000.00</td></tr> <tr><td>c. State</td><td>\$</td><td>.00</td></tr> <tr><td>d. Local</td><td>\$</td><td>.00</td></tr> <tr><td>e. Other</td><td>\$</td><td>.00</td></tr> <tr><td>f. Program Income</td><td>\$</td><td>.00</td></tr> <tr><td>g. TOTAL</td><td>\$</td><td>751,000.00</td></tr> </table>		a. Federal	\$.00	b. Applicant	\$	751,000.00	c. State	\$.00	d. Local	\$.00	e. Other	\$.00	f. Program Income	\$.00	g. TOTAL	\$	751,000.00	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$.00																					
b. Applicant	\$	751,000.00																					
c. State	\$.00																					
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e. Other	\$.00																					
f. Program Income	\$.00																					
g. TOTAL	\$	751,000.00																					
		17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No																					
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																							
a. Type Name of Authorized Representative KIMBERLY A. GREEN	b. Title Executive Director	c. Telephone Number (317) 232-7777																					
d. Signature of Authorized Representative 		e. Date Signed March 22, 2002																					

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Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102

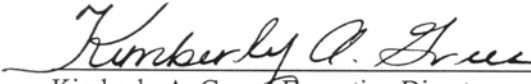
HOPWA Certifications

The State certifies that:

Activities – Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building – Any building or structure assisted under the program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance.
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Kimberly A. Green, Executive Director
Indiana Housing Finance Authority

4/2/08
Date

APPENDIX C.

Community Survey Instrument

APPENDIX C.

Community Survey Instrument

In February 2002, 3,022 surveys were distributed to local government officials, community leaders, housing providers, economic development professionals, social service organizations, and others. The survey asked respondents a number of questions about housing and community development needs, including fair housing accessibility, in their communities. A total of 407 surveys were returned, for a response rate of 14 percent. This response rate is very strong for a survey that was as detailed and widely distributed as the 2002 survey.

Surveys were received from 90 of the 92 counties in Indiana, which was excellent coverage, especially given the comprehensiveness of the survey. About 30 percent of respondents represented local governments; 13 percent represented housing providers; 10 percent were received from social services providers; and the rest were from a variety of other organizational types.

A copy of the survey follows.



3773 Cherry Creek North Drive
Suite 850
Denver, CO 80209-3827
303.321.2547 fax 303.399.1448
www.bbcresearch.com
bbc@bbcresearch.com

February 11, 2002

Re: State of Indiana Housing & Community Development Needs

To All Interested Parties:

The State of Indiana is currently preparing its 2002 Consolidated Plan Update – a report required by the U.S. Department of Housing and Urban Development in order to receive housing and community block grant funding. In FY2002, the State is eligible to receive **\$57 million** in Federal housing and community development assistance. In the past, these dollars have funded homeownership and rental assistance programs, construction of homeless and domestic violence shelters, water and sewer infrastructure improvements, and programs that assist people with special needs. The funds are distributed by the State of Indiana to local governments and nonprofit housing and community development organizations throughout the state.

BBC Research & Consulting is assisting the State with the preparation of its FY2002 Consolidated Plan. We are working in association with the Indiana Department of Commerce, the Indiana Housing and Finance Authority and the Family and Social Services Agency.

We want to know about your community's needs. Public participation is an integral part of the Consolidated Planning process. We are conducting three large outreach efforts this year:

- A housing and community development needs survey (enclosed),
- Six regional public forums, and
- Two public hearings.

Survey. Please take a few minutes to fill out the enclosed survey, and return it to us in the enclosed postage prepaid envelope by March 4, 2002. We realize that some survey questions may not apply to you specifically, but any input you can provide is valuable to this process and would be greatly appreciated. This same survey has been sent to approximately 2,500 other Indiana local officials, advocates, housing and community development providers and community leaders.

Regional forums. In addition, a series of participatory public forums have been scheduled in locations across the state. These forums have been designed to facilitate discussion about housing and community development issues. Below is a list of meeting dates, times and locations. Your input is welcome at any of the forums.

- **Covington:** Riverbend Center, March 4th from 3 to 5p.m. Local Time
- **Plymouth:** Plymouth Public Library, March 5th from 3 to 5p.m. Local Time
- **Warren:** Knight Civic Center, March 6th from 3 to 5p.m. Local Time
- **Princeton:** Princeton City Hall, March 11th from 3 to 5p.m. Local Time
- **Jeffersonville:** Gilt Baptist Church, March 12th from 3 to 5p.m. Local Time
- **Richmond:** IU – Whitewater Hall, March 13th from 3 to 5p.m. Local Time

Public hearings. In addition, you are welcome to attend one of the two public hearings to review the draft of the FY2002 Consolidated Plan Update. They will be held between 4 and 6 p.m. in Noblesville and Columbus on April 8th and 9th, 2002.

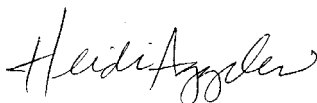
You can also participate in the Consolidated Planning process by sending written comments to:

Consolidated Plan, Indiana Department of Commerce, Controller's Office Grants Management Division One North Capitol Avenue, Suite 700 Indianapolis, Indiana 46204-2248.
--

Contact Kelly Boe at the Department of Commerce, 1-800-824-2476 or 317-232-8800, for more information about the forums and hearings. You can access last year's Consolidated Plan through the Indiana Housing Finance Authority's website at <http://www.state.in.us/ihfa> or the Indiana Department of Commerce at <http://www.indianacommerce.com>.

Thank you in advance for your assistance.

Sincerely,



Heidi Aggeler
Director

2002 Indiana Consolidated Plan Update Survey

Please answer each question to the best of your ability. If a particular question does not apply to you, or if you do not have knowledge of the subject matter, please feel free to skip the question.

Respondent Information

Name/Organization (optional) _____ City, County _____

1. Which of the following service categories best describes you or your organization?

- | | |
|--|--|
| <input type="checkbox"/> Advocacy/education | <input type="checkbox"/> Homeless shelter |
| <input type="checkbox"/> Citizen | <input type="checkbox"/> Housing provider |
| <input type="checkbox"/> Day care (adult and child) | <input type="checkbox"/> Legal assistance |
| <input type="checkbox"/> Economic or community development | <input type="checkbox"/> Local government |
| <input type="checkbox"/> Employment/training provider | <input type="checkbox"/> Property manager |
| <input type="checkbox"/> Financial institution/lender | <input type="checkbox"/> Senior center |
| <input type="checkbox"/> Group home | <input type="checkbox"/> Senior housing provider |
| <input type="checkbox"/> Health care provider | <input type="checkbox"/> Social service provider |
| | <input type="checkbox"/> Other |

2. What is your organization's service area?

- ☐ 1...City (_____) ☐ 2...County (_____) ☐ 3...Regional ☐ 4...National
please specify please specify

Housing

Inventory/Quality

For statements 3 through 9, please indicate whether you: **1**...Strongly Agree; **2**...Agree; **3**...Neither Agree nor Disagree; **4**...Disagree; or **5**...Strongly Disagree.

3. "There is enough housing in this community to meet the demand."

- 1 2 3 4 5

4. "The housing stock in this community is in good condition."

- 1 2 3 4 5

5. "Many dwelling units in this community are overcrowded."

- ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

6. "My community needs to focus on adding housing through new construction."

- 1 2 3 4 5

7. "My community needs to focus on improving housing through rehabilitation of existing structures."

1 2 3 4 5

8. On a scale of 1-5, how would you rate the quality of single family housing stock in this community (with 1 being Very Good and 5 being Very Poor)?

 1 2 3 4 5

9. On a scale of 1-5, how would you rate the quality of multi family housing stock in this community (with 1 being Very Good and 5 being Very Poor)?

 1 2 3 4 5

Affordability

For statements 10 through 14, please indicate whether you: **1**...Strongly Agree; **2**...Agree; **3**...Neither Agree nor Disagree; **4**...Disagree; or **5**...Strongly Disagree.

10. "There is enough affordable single family housing in this community."

 1  2  3  4  5

11. "There is enough affordable rental housing in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

12. Please estimate the current monthly rent for the following size units. Please give a range.

Studio/Efficiency_____ 3 Bedroom_____

1 Bedroom_____ 4+ Bedroom_____

2 Bedroom_____

13. To your knowledge, what is the average value of a “starter” home? _____

14. In your opinion, which of the following housing types are needed most in your area?

☐ Multifamily apts. ☐ Retirement ☐ Rental homes
☐ Assisted living ☐ Transitional housing ☐ Single-room occupancy (SRO)
☐ Single family ☐ Emergency shelters ☐ Other (please specify) _____

At what rents _____? Purchase price _____?

15. What is the greatest impediment to owning a home?

- ☐ Coming up with a down payment
- ☐ Location
- ☐ Condition of affordable housing
- ☐ Poor or inadequate credit history
- ☐ Affordability/cost too high
- ☐ Inability to get financing or finance costs too high
- ☐ Lack of income stability, cyclical income (e.g. , due to disability)

Housing Condition

16. "Homeowners in this community can generally afford to make minor housing repairs."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

17. "Renters in this community can get landlords to make needed repairs."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

Special Needs Housing

For statements 18 through 24, please indicate whether you:

1...Strongly Agree; **2**...Agree; **3**...Neither Agree nor Disagree; **4**...Disagree; or **5**...Strongly Disagree.

18. "The housing and related needs of people who are homeless are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

19. "The housing and related needs of people with physical disabilities are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

20. "The housing and related needs of people with developmental disabilities are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

21. "The housing and related needs of people with severe and persistent mentally illnesses are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

22. "The housing and related needs of the elderly are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

23. "The housing and related needs of people with HIV/AIDS are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

24. "The housing and related needs of seasonal farm workers are adequately served in this community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

25. For the special needs groups listed in the questions above, how can the housing and related needs be better met? Please be specific.

26. Please identify the supportive services in this community that are currently available to special needs populations. Check all that apply.

<input type="checkbox"/> Transportation	<input type="checkbox"/> Job Training	<input type="checkbox"/> Child/Adult Day Care
<input type="checkbox"/> Meals	<input type="checkbox"/> Health Care	<input type="checkbox"/> Substance Abuse Treatment
<input type="checkbox"/> Case Management	<input type="checkbox"/> Home Repair Assistance	<input type="checkbox"/> Other _____

Are these services adequate? Please explain.

Are the costs of these services reasonable?

27. Please list any supportive services that are not available but are in demand:

28. Do you feel that special needs populations are adequately aware of the services available to them?

☐ Yes ☐ No

Lead Based Paint Hazards

29. Are there adequate funds to address lead based paint hazards in housing?

☐ Yes ☐ No

30. Is there a need for funds to address lead based paint in housing with poisoned children?

☐ Yes ☐ No

31. Is there a need for a partnership between housing and health care providers to address lead based paint hazards and identified properties with hazards?

☐ Yes ☐ No

32. On a scale of 1 to 5 (with 1 being the least and 5 being the most) how much do lead abatement procedures increase the cost of providing affordable housing?

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

Fair Housing

For statements 33 through 42, please indicate whether you: **1**...Strongly Agree; **2**...Agree; **3**...Neither Agree nor Disagree; **4**...Disagree; or **5**...Strongly Disagree.

33. "Zoning laws in my community (e.g., growth boundaries, minimum lot sizes) encourage segregated housing."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

34. "Minorities, large families, and persons with disabilities can obtain desirable housing in any area of my community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

35. "Landlords in my community can limit the number of children living in an apartment."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

36. "It is easy to obtain loans from financial institutions and mortgage companies in my community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

37. "Insurance companies offer policies within 100% replacement value to lower income and first time homebuyers at reasonable rates."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

38. "Lower income families are able to refinance their homes at competitive interest rates."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

39. "Housing discrimination happens in my community."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

40. "The people in my community know that discrimination is prohibited in the sale and rental of housing, mortgage lending and advertising."
- ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
41. "The people in my community know whom to contact when facing housing discrimination."
- ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
42. "The housing enforcement agency in my community has sufficient resources to handle the amount of discrimination that may occur."
- ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5
43. Are the following barriers to housing choice? Check those that apply.
- ☐ Cost of housing ☐ Housing discrimination ☐ Distance to employment
☐ Public transportation ☐ Lack of accessibility requirements for physically disabled ☐ Age-restricted housing (e.g., elderly only)
44. Is discrimination in housing a problem in this community based on (check those that apply):
- ☐ Race ☐ Family size ☐ Language (Spanish speaking, other)
☐ Age ☐ Gender ☐ Disability (Physical, mental and HIV)
☐ Other (please identify) _____

Fair Housing Policy

45. When advertising job vacancies, does your organization state that it is an Equal Opportunity Employer?
- ☐ Yes ☐ No
46. Have any equal opportunity complaints been filed against your organization in the past five years?
- ☐ Yes ☐ No
- If yes, what was the nature of the complaints?
47. Do you have the following in this community?
- | | | |
|-----------------------------------|------------------------------|-----------------------------|
| Fair Housing Resolution/Ordinance | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Affirmative Action Plan | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Equal Opportunity Ordinance | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
48. Has the Resolution/Ordinance been approved by the State?
- ☐ Yes ☐ No
49. Has the community joined forces with any other group agency or organization to promote fair housing?
- ☐ Yes ☐ No
- If yes, please describe these activities.
50. Does this community have or have access to a Civil Rights Commission/Office?
- ☐ Yes ☐ No

51. Has the community identified or sought to identify any impediments to fair housing?

☐ Yes ☐ No

If yes, please describe these activities.

52. Have there been any efforts to affirmatively further fair housing issues for those in need?

☐ Yes ☐ No

If yes, please describe how these services are provided.

53. Have there been housing complaints filed against your organization in the past five years?

☐ Yes ☐ No

If yes, how many? Please describe the nature of the complaint(s).

Most Important Housing Issues

54. In your opinion, what are the three most important housing issues in your service area or community? How would you rate them on a scale of 1 to 10, where 1 is the least serious and 10 is the most serious?

<u>Issue</u>	<u>Rate</u>
_____	_____
_____	_____
_____	_____

55. If you could change elements of existing housing policy, or a single housing program, what would you change, and why? Please be specific.

56. To your knowledge, which groups of people in this community have the greatest unmet housing needs, and why? (Groups can be categorized by age, income, ethnicity, geography, disability status, etc.)

57. Are there housing policies or programs in other communities that could benefit this community? Please provide examples.

Community Development

58. In your opinion, what are the three most important non-housing community development needs in your service area or community (e.g., specific infrastructure improvements, facilities for special populations, revitalization of the central business district or targeted neighborhoods)? Please rate them on scale of 1 to 10, with 1 being the least important and 10 being the most important.

<u>Need</u>	<u>Rate</u>
_____	_____
_____	_____
_____	_____

59. To your knowledge, has the number of jobs in this community increased or decreased over the past 5 years?

☐ Increased ☐ Decreased ☐ Do Not Know

59a. Has the perception of this community gotten better or worse over the last 5 years? Why?

On a scale of 1-5, how would you rate the quality of the following (with 1 being Very Good and 5 being Very Poor)?

60. Community facilities:

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

61. Water/sewer:

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

62. Economic development:

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

63. Public infrastructure:

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5

Housing and Community Development Programs

64. Are you aware of the following programs administered by the Indiana Department of Commerce (IDOC) and the Indiana Housing Finance Authority?

Community Focus Fund	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Housing from Shelters to Homeownership	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Foundations	<input type="checkbox"/> Yes	<input type="checkbox"/> No
CHDO Works	<input type="checkbox"/> Yes	<input type="checkbox"/> No

65. Has this community applied for and/or utilized the following funding sources for local projects?

Community Focus Fund	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Housing from Shelters to Homeownership	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Foundations	<input type="checkbox"/> Yes	<input type="checkbox"/> No
CHDO Works	<input type="checkbox"/> Yes	<input type="checkbox"/> No

65a. If yes, how has this community utilized program funding?

Program: _____ How used: _____

66. Do you have any suggestions on how IDOC and IHFA can improve these programs? Please explain.

Program: _____ Suggestions for improvement: _____

67. Have you heard of the Housing Opportunities for People with AIDS (HOPWA) program?

☐ Yes ☐ No

68. Do you know how to access HOPWA funding (e.g., agency to contact, process of applying for funding, etc.)?

☐ Yes ☐ No

69. What is most needed in your community to meet the needs of persons with HIV/AIDS?

<input type="checkbox"/> Housing information	<input type="checkbox"/> Rental housing
<input type="checkbox"/> Single family housing	<input type="checkbox"/> Assistance with utilities
<input type="checkbox"/> Assistance with rental/mortgage payments	<input type="checkbox"/> Supportive services
<input type="checkbox"/> Operating subsidies for HIV/AIDS housing	<input type="checkbox"/> Other _____.

70. Do you have suggestions for how IHFA can better implement the HOPWA program?

71. Have you heard of the Emergency Shelter Grant (ESG) program?

☐ Yes ☐ No

72. Do you know how to access ESG funding (e.g., agency to contact, process of applying for funding, etc.)?

☐ Yes ☐ No

73. What is most needed in your community to meet the needs of persons who are homeless?

<input type="checkbox"/> Housing information	<input type="checkbox"/> Emergency shelters
<input type="checkbox"/> Transitional housing	<input type="checkbox"/> Supportive services
<input type="checkbox"/> Operating subsidies for shelters	<input type="checkbox"/> Homeless prevention activities
	<input type="checkbox"/> Other _____.

74. Do you have suggestions for how the state can better implement the ESG program?

Suggestions for improvement:

_____.

APPENDIX D.
Community Plan Participation

APPENDIX D.

Citizen Participation Plan

The Citizen Participation Plan described below is the evolution and actualization of many years of thoughtful broad base and targeted planning. It was drafted in accordance with Section 91.401 of HUD's State Consolidated Plan regulations. The plan was developed around a central concept that acknowledges residents as stakeholders and their input as key to any improvements in the quality of life for the residents who live in the community.

Each year the Citizen Participation Plan is revised to enhance the participation efforts of the previous year; this year was no different. The emphasis of the plan is to provide citizens in the State of Indiana maximum involvement in the development of issues and program initiatives. Every year the citizen participation plan is designed to provide citizens equal access to become involved in the planning process regardless of age, gender, race, ethnicity, disability and economic level. Each year there is a special effort to reach sub-populations who are marginalized in most active participation processes. For example, in 2001 the Citizen Participation Plan included regional forums targeted to persons with disabilities. In 2002, information on the Citizen Participation process was distributed in Spanish as well as English, to encourage participation by the State's Spanish-speaking populations. Thus, we can safely say from the onset of the first community forum to the distribution of the surveys and writing of the plan, the voices of Indiana residents, government officials, nonprofit organizations, special needs populations and others were heard loud and clear and have been reflected in the drafting of the document.

The participation process was developed and monitored by a Consolidated Planning Coordinating Committee consisting of representatives from the Indiana Department of Commerce (IDOC), the Indiana Housing and Finance Authority (IHFA) and the Indiana Family and Social Services Administration (FSSA). The committee also includes representatives from the Indiana Association for Community and Economic Development (IACED), the Indiana Civil Rights Commission (ICRC), the Indiana Coalition on Housing and Homeless Issues (ICHHI), Rural Opportunities, Incorporated, and the Indiana Institute on Disability and Community. In 2002, the Coordinating Committee added two new members who represented the community of persons who are disabled. In addition, the State representative from the U.S. Department of Housing and Urban Development served as an advisor to the committee. The purpose of the committee was to monitor the drafting of the plan from initiation to submission.

The participation process. The participation process included six phases and took six months to complete. There were multiple approaches used to inform residents of the process and then gather community opinions. Citizens throughout the State were actively sought to participate and provide input into the process. The process entailed six phases: Phase I. Development of Process Resources and Distribution of Process Information; Phase II. Forum Preparation and Implementation; Phase III. Target Population Survey Distribution; Phase IV. Strategic Action and Allocation Plan Development; Phase V. Public Hearing; and Phase VI - Comment Period.

Phase I. Resources Development and Distribution of Process Information. During the month of January 2002, brochures were designed to be informational invitations to all Indiana stakeholders. Like the former year's brochure, the design included a general description of the Consolidated Plan and its purpose, a list of regional forums and times, and a brief description of the four housing and community development grant programs and the three administering agencies. In 2002, the brochures were also translated and printed in Spanish. The brochure also included contact information about the many ways citizens can become involved in the process, including methods of submitting public comments. Brochures in both English and Spanish were sent to more than 4,000 individuals and agencies. A copy of the brochures can be found at the end of this section.

Phase II. Forum Preparation and Implementation. Six regional forums were planned and implemented. The forums were regionally distributed with two in the northern, two in the southern and two in the central counties of the State. The forums were scheduled to begin at 3:00 p.m. and last approximately two hours. All of the sites selected for the forums were accessible to persons with disabilities. Community residents and agency representatives were informed of the meetings using many methods: brochures, personal contacts with agencies and media releases.

Each forum included the same format. Participants were asked to complete two exercises identifying the housing and community development needs in their areas. Like last year, agency representatives provided a ten minute presentation on their HUD funded programs and contact information. In addition, the forums included a presentation from the Indiana Civil Rights Commission on fair housing.

After introductions, participants were divided into groups to complete the community top issues exercises. Participants were asked to list their community's top issues in five areas including community/infrastructure, housing, economic development/workforce development, emergency shelter, and fair housing. This exercise was followed by agency presentations that provided forum participants the opportunity to determine whether there was a program to address the issues developed during the first exercise. Participants were then asked to consider the State programs available to meet their community needs and to list any program gaps. The forum ended with group presentations and participants having the opportunity to meet with agency program representatives.

This year the forums also included a program evaluation exercise conducted by the Indiana Housing Finance Authority. The purpose of the exercise was to solicit input from citizens, grantees and organizations about the programs currently funded with HOME dollars. The exercise was scheduled one hour before each of the forums.

The forums resulted in information provided by participant groups that were used to revise the five year Strategic Plan, develop the One Year Action Plan and craft the agency allocation plans for the FY2002 program year.

Phase III. Key Person Survey Distribution. During February 2002, more than 3,000 surveys were sent to local government leaders, providers of housing, health, and other community services, members of housing and community coalitions, and other interested parties. The response rate on the surveys was 14 percent. The cover letter accompanying the surveys contained information about

other elements of the citizen participation process, including the dates and time of the regional forums, the public hearings and the public comment period. Survey results are presented in Section III of the Consolidated Plan.

Phase IV. Strategic Action and Allocation Plan Development. After the survey and forum data had been analyzed, the Consolidated Plan Coordinating Committee held a workshop to evaluate the five year Strategic Plan crafted in FY2000 and develop the One Year Action Plan for FY2002. Development of the Action Plan was a threefold process. First, members of the Committee read draft sections of the Consolidated Plan individually. Second, the results of the key person survey and forums were presented and discussed at the workshop. The Committee then completed an exercise that compared the identified needs to the action items developed as part of the five year Plan, discussed any gaps, and worked together to revise the five year Strategic Plan and develop a new One Year Action Plan.

Phase V. Public Hearing. Citizens and agency representatives were notified of the publication of the draft during the forums and by public notification in newspapers throughout the State. Those attending the forums were sent executive summaries of the report and a draft of the report was posted on the Indiana Housing Finance Authority and the Indiana Department of Commerce's websites.

On April 8 and 9, 2002, public hearings were held in Noblesville and Columbus. The hearings were held from 4:00 p.m. to 6:00 p.m. During the session, executive summaries of the Plan were distributed and instructions on how to submit comments were given. In addition, participants were given an opportunity to provide feedback or comment on the draft. A copy of the handouts distributed during the public hearings is attached to this section.

Phase VI. Comment Period. The 30 day comment period began on April 1 and continued through April 30, 2002. During the comment period, copies of the draft Plan were provided on agency websites; executive summaries were also distributed to the public. Residents were provided information on how to submit comments and suggestions on the draft.

The State responded to the public comments received at the end of the 30 day comment period. This year a record number of written comments were received. Copies of the public comments and the State's response are included in Appendix E.

Indiana Department of Commerce
Controller's Office
Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, Indiana 46204-2248



Indiana Consolidated Plan

The State of Indiana requests your help in determining how housing and community development funds should be spent in the State during 2002.

Each year the U.S. Department of Housing and Urban Development (HUD) provides funding to states for housing and community development programs. To receive these funds, each state must complete a report called the Consolidated Plan.

The State of Indiana is currently writing its Consolidated Plan report for 2002, and we need your input!

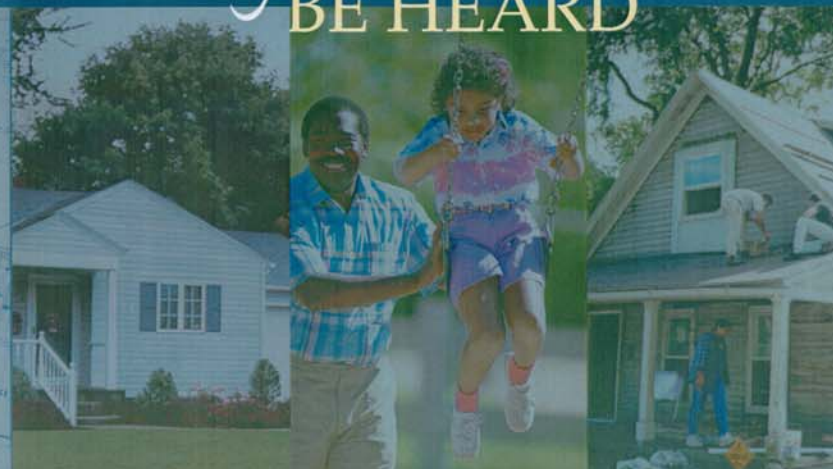
By voicing your opinion about issues of housing, homelessness and community economic development you will help shape the future of your community and the State. See inside for further details and ways to get involved.

We'll be waiting to hear from you!

plan your
FUTURE

Speak out &
BE HEARD

2002



program DETAILS

INVOLVE yourself



COMMUNITY DEVELOPMENT BLOCK GRANTS (CDBG)

Purpose: CDBG grants are made to communities for construction or improvements of infrastructure including sewers and waterlines, main street revitalization, public facilities (e.g., community centers) and special needs facilities. The program offers both financial and technical assistance. Each year, a portion of CDBG funding is allocated to housing programs administered by the Indiana Housing Finance Authority (IHFA).

Agency: Indiana Department of Commerce (IDOC).

Contact Information: Community Development Office at 317.232.8911 or, for housing programs, contact IHFA at 1.800.872.0371 or 317.232.7777.

HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Purpose: HOME grants are made to provide decent, safe and affordable housing to the citizens of Indiana. Funds are provided for a variety of activities, including rehabilitation of owner-occupied and rental housing, housing purchase assistance, provision of transitional housing, and housing development.

Agency: Indiana Housing Finance Authority (IHFA).

Contact Information: IHFA Development Specialist at 1.800.872.0371 or 317.232.7777. Or visit IHFA's website at www.indianahousing.org.

EMERGENCY SHELTER GRANTS (ESG)

Purpose: The ESG program is designed to help improve the quality of existing emergency shelters for the homeless, create additional emergency shelter space, help shelters meet operating costs, and prevent homelessness.

Agency: Family and Social Service Agency (FSSA).

Contact Information: Emergency Shelter Program Specialist, Renitra Moore-Marion at 317.232.7117, or 1.800.622.4973.

HOUSING OPPORTUNITIES FOR PEOPLE WITH AIDS (HOPWA)

Purpose: HOPWA provides housing assistance and related services for low-income persons with HIV/AIDS and their families. Eligible activities include tenant based housing assistance, housing development and rehabilitation, supportive services, technical assistance, operating costs of housing and short-term rent, and utility and mortgage assistance to prevent homelessness.

Agency: Indiana Housing Finance Authority (IHFA).

Contact Information: HOPWA Coordinator, Lisa Coffman, at 317.232.7777, or 1.800.872.0371, or visit IHFA's website at www.indianahousing.org.

Regional Forum Schedule

March 4th, 2002

Covington
Riverbend Center
1327 2nd Street
Covington, IN 47932
3 to 5 p.m. Local Time

March 5th, 2002

Plymouth
Plymouth Public Library
201 N. Center, Laramore Room 2
Plymouth, IN 46563
3 to 5 p.m. Local Time

March 6th, 2002

Warren
Knight Civic Center
132 S. Nancy Street
Warren, IN 46792
3 to 5 p.m. Local Time

March 11th, 2002

Princeton
Princeton City Hall
310 West State Street
Princeton, IN 47670
3 to 5 p.m. Local Time

March 12th, 2002

Jeffersonville
Gilt Baptist Church
1723 Green Street
Jeffersonville, IN 47130
3 to 5 p.m. Local Time

March 13th, 2002

Richmond
IU - Whitewater Hall
2325 Chester Boulevard
Richmond, IN 47374
3 to 5 p.m. Local Time

You can participate in the Consolidated Plan process by attending one of the regional forums, or a public hearing, or by sending us your written comments.

REGIONAL FORUMS

Citizens, service and housing providers, advocates, and elected officials will come together to discuss the most pressing needs in their communities. The forums will include presentations by the Consolidated Plan Committee that describe the HUD programs and how to apply for funding. The schedule for the 2002 forums is located at the left. Please try to join us!

Before the forums, between 2 and 3 p.m., IHFA will be holding comment sessions to receive input about their housing programs. For more information, contact Sheryl Sharpe at 317.232.7023.

PUBLIC HEARINGS

The Consolidated Plan Committee will hold two public hearings about how the state plans to allocate 2002 housing and community development funding. The hearings will be held in Noblesville and Columbus on April 8th and 9th between 4 and 6 P.M. Call 1.800.842.2476 for locations and more information.

WRITTEN COMMENTS

If you are unable to attend the forums and the public hearings, make sure that you send us a letter detailing your ideas about how funding should be allocated in the state. Send your comments to:

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, Indiana 46204-2248

NEED MORE INFORMATION?

Contact Kelly Boe at the Indiana Department of Commerce at: **1.800.824.2476** or **317.232.8800**

You may also access the plan and send comments through the Indiana Housing Finance Authority's website at: www.indianahousing.org.

Indiana Department of Commerce
Controller's Office
Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, Indiana 46204-2248



El Plan Consolidado de Indiana

El Estado de Indiana solicita su ayuda para determinar como deberían ser invertidos los fondos para Vivienda y Desarrollo de la Comunidad durante el año 2002.

Cada año, el Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos (HUD) provee fondos a los estados para ser invertidos en programas de vivienda y desarrollo comunitario. Para recibir estos fondos, cada estado debe completar un reporte llamado el Plan Consolidado (Consolidated Plan).

El Estado de Indiana esta actualmente preparando su reporte del Plan Consolidado para el año 2002 y nosotros necesitamos sus sugerencias!

Al emitir sus opiniones acerca de temas como vivienda, desamparo y desarrollo economico de la comunidad, usted ayudara a perfilar el futuro de su comunidad y estado.

Vea dentro de este pamfletto mas detalles y maneras de involucrarse.

Estamos esperando saber de usted!

Planee su
FUTURO

de su opinion y
SEA ESCUCHADO



2002

detalles del PROGRAMA



PARTICIPEN hoy!

COMMUNITY DEVELOPMENT BLOCK GRANTS (CDBG)

Proposito: Las donaciones de CDBG se hacen a comunidades con el objeto de construir o mejorar la infraestructura incluyendo alcantarillado, restauracion de calles principales, servicios publicos (ej. centros comunitarios) y facilidades dirigidas a necesidades especiales. El programa ofrece asistencia financiera y tecnica. Cada año, una parte de los fondos de CDBG son colocados para programas de vivienda, los que son administrados por el Indiana Housing Finance Authority (IHFA).

Agencia: Indiana Department of Commerce (IDOC).

Informes: Community Development Office al tel 317.232.8911 o para programas de vivienda llame a IHFA al 1.800.872.0371 o al 317.232.7777.

HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Proposito: Las donaciones HOME se realizan para proveer de vivienda decente, segura y al alcance de los ciudadanos de Indiana. Los fondos son suministrados para una serie de actividades incluyendo rehabilitación de desalojo y alquiler de vivienda, ayuda para compra de vivienda y provision de vivienda transitoria (temporal) y desarrollo habitacional.

Agencia: Indiana Housing Finance Authority (IHFA).

Informes: IHFA Development Specialist al tel. 1.800.872.0371 o 317.232.7777.

O visite la pagina WEB a www.indianahousing.org.

EMERGENCY SHELTER GRANTS (ESG)

Proposito: El programa ESG esta diseñado para ayudar a mejorar la calidad de los albergues de emergencia existentes para los desamparados, crear espacios adicionales en los albergues de emergencia, ayudar a los albergues a cubrir los costos operativos y prevenir el desamparo.

Agencia: Family and Social Service Agency (FSSA).

Informes: Emergency Shelter Program Specialist, Renitra Moore-Marion al tel. 317.232.7117, o al 1.800.622.4973.

HOUSING OPPORTUNITIES FOR PEOPLE WITH AIDS (HOPWA)

Proposito: HOPWA provee asistencia habitacional y servicios relacionados, con el objeto de servir a personas de escasos recursos contagiados con el virus del sida (HIV) y sus familias. Las actividades elegibles incluyen ayuda a inquilinos, desarrollo habitacional y rehabilitación, servicios de ayuda, asistencia tecnica, costos operativos de vivienda y renta a corto-plazo, servicios publicos y asistencia hipotecaria con el fin de prevenir el desamparo.

Agencia: Indiana Housing Finance Authority (IHFA).

Informes: HOPWA Coordinator, Lisa Coffman, al tel. 317.232.7777, o al 1.800.872.0371. O visite la pagina WEB www.indianahousing.org.

Horario de Foros Regionales

Marzo 4, 2002

Covington
Riverbend Center
1327 2nd Street
Covington, IN 47932
3 a 5 p.m. Hora Local

Marzo 5, 2002

Plymouth
Plymouth Public Library
201 N. Center, Laramore Room 2
Plymouth, IN 46563
3 a 5 p.m. Hora Local

Marzo 6, 2002

Warren
Knight Civic Center
132 S. Nancy Street
Warren, IN 46792
3 a 5 p.m. Hora Local

Marzo 11, 2002

Princeton
Princeton City Hall
310 West State Street
Princeton, IN 47670
3 a 5 p.m. Hora Local

Marzo 12, 2002

Jeffersonville
Gilt Baptist Church
1723 Green Street
Jeffersonville, IN 47130
3 a 5 p.m. Hora Local

Marzo 13, 2002

Richmond
IU - Whitewater Hall
2325 Chester Boulevard
Richmond, IN 47374
3 a 5 p.m. Hora Local

Usted puede participar en el proceso del Plan Consolidado asistiendo a uno de los foros regionales, o una audiencia publica, o enviando sus comentarios por escrito.

FOROS REGIONALES

Ciudadanos, proveedores de servicios habitacionales, defensores legales y oficiales elejidos vendran a discutir las mas urgentes necesidades en sus comunidades. Los foros incluiran presentaciones del Comité del Plan Consolidado que describen el programa HUD y como aplicar para los fondos. El horario para los foros el año 2002 esta localizado a la izquierda. Unase a nosotros!

Antes de los foros, entre las 2 y 3 p.m., IHFA sostendra sesiones de comentario para recibir comentarios sobre los programas de vivienda. Para mas informacion, por favor llame a Sheryl Sharpe al tel. 317.232.7023.

AUDIENCIAS PUBLICAS

El Comité del Plan Consolidado sostendra dos audiencias publicas acerca de como el estado planea colocar fondos para vivienda y desarrollo comunitario. Las audiencias sellevan a cabo en Noblesville y Columbus los dias 8 y 9 de Abril entre las 4 y 6 p.m. Llame al tel 1.800.842.2476 para locales y mas informacion.

COMENTARIOS POR ESCRITO

Si usted no ha podido asistir a los foros y audiencias publicas, asegurese de enviar una carta detallando sus ideas acerca de como los fondos deberian ser colocados en el estado. Envie sus comentarios a:

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, Indiana 46204-2248

NECESITA MAS INFORMACION?

Llame a Kelly Boe al Indiana Department of Commerce al tel.: **1.800.824.2476 o 317.232.8800**

Tambien usted puede acceder el plan y enviar comentarios a traves de la pagina WEB: www.indianahousing.org.



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Thursday, March 14, 2002

Community brainstorms uses for HUD funding

Local development: Richmond vies for money for projects

By Sherita Searcy

For the Palladium-Item

City officials think Richmond needs sewer improvements, accessible housing for the disabled and more emergency assistance money.

Grants from the Indiana Housing and Urban Development Fund might be able to help finance those projects the city can make a case for funding.

More than 30 community members and city representatives grouped in four teams at Indiana University East on Wednesday to discuss the community's needs.

They brainstormed in a four-hour session and presented community needs to the Indiana Housing Finance Authority, Indiana Department of Commerce, and Indiana Family and Social Service Agency.

Richmond Mayor Shelley Miller questioned why Richmond receives no HUD funding while other Indiana cities of similar size do receive grants. She pointed to New Albany's \$900,000 in HUD grants as an example.

Available funds at a glance

- Indiana Department of Commerce - \$38 million that can be used for economic development, planning expenses, emergency situations, affordable housing and environmental infrastructure.
- Indiana Housing Finance Authority - \$21 million for low-income housing and associated programs
- Indiana Family and Social Service Programs -- \$700,000 for homelessness, service grants, domestic violence shelter, pregnant teens and related programs.

"We have to compete each year for funds that we still are not receiving, and our programs are falling behind because of it," Miller said.

Richmond has not received HUD grants since 1996, because the city has to spend HUD money from its Revolving Loan Fund of a half million dollars before the city can qualify and secure any other grants.

Kelly Boe of the Indiana Department of Commerce said Richmond has failed in securing funds because of the revolving account. "We want to make sure we are not knocking another community out who needs the money," Boe said.

Cambridge City resident Todd Stigleman said the forum was a good way to find out what is really needed in the community.

"Learning what is important is the first step toward improvement," he said.

Stigleman attended the forum because he is concerned Cambridge City's old school building might be demolished for a gas station.

YWCA director Rebecca Studebaker said she is glad to see that Richmond might benefit from HUD grants. "Richmond is a growing community, and funding will only add to it," she said.

Committee members reviewed ideas from local representative and will compile those results with the needs of Covington, Plymouth, Warren, Princeton and Jeffersonville to determine grant allocations.

"At least they heard what we had to say," Miller said.

NCAA Tournament begins tonight with play-in game: Sports, Page B1

Palladium-Item



002 *Call P.O. Denise Leitch* www.pal-item.com

Richmond, Ind. 35 cents

Input sought on HUD money

Richmond: Officials plan public forum for Wednesday at IU East

By Bill Engle

Staff writer

Richmond is preparing to get loud.

City officials are planning a very public appeal Wednesday when three state agencies play host to a public forum at Indiana University East to get input on where to spend federal Housing and Urban Development dollars.

Commerce department

officials and officials from the Indiana Housing Finance Authority and the Indiana Family and Social Services Administration — the agencies through which federal HUD money flows to local communities — want to hear first hand what citizens want done with those dollars.

"Government and non-profits are great but that's one side of the coin," said Kelly Boe, spokeswoman for the commerce department. "We like to also hear from the actual citizen. That's why we're coming to your town."

The Indiana Department of Commerce, the Indiana Housing Finance Authority and the Indiana Family and Social Services Agency will hold a public forum at 3 p.m. Wednesday in the Whitewater Hall at Indiana University East in Richmond. The event is designed to take public comment on how

The Richmond forum is the last of six being held around the state. At stake is \$57 million the agencies will give out through Com-

munity Development Block Grants, Home Investment Partnership grants and other programs.

Richmond has received these agencies might spend U.S. Department of Housing and Urban Development money on local programs. The money can be used for a variety of programs for low- to moderate-income families, including:

- Housing repair and renovation

- Infrastructure improvements including sewer and storm sewer repairs

- Assistance to businesses for start ups or expansion that would create jobs

- Elimination of slums or blighted areas

- Assistance to home-

less, battered spouses and persons with HIV/AIDS

Public comments can also be submitted by mail by writing to: Kelly Boe, Indiana Department of Commerce, Grants Management Office, One North Capitol, Suite 700, Indianapolis, IN 46204-228.

for more.

"That's why we need to be there," said Richmond Mayor Shelley Miller. "It's

Please see HUD, Page A4

HUD

CONTINUED FROM PAGE A1

vital that we communicate our needs because our needs are not going away. That's why we're getting loud."

In the past, Community Development Block Grants have been used to tear out the Promenade, to renovate Townsend Community Center and to buy an aerial fire truck.

This time around the city hopes to use the funds to repair

the city's aging sewer system, to help with housing, especially to promote home ownership and renovation, and to help businesses in blighted areas add jobs.

The theme of the grants in general is to benefit low to moderate income citizens or to eliminate a slum or blighted area.

"We can really do a lot of things with these grants, but we have to let them know we're out here," said City Grants Administrator Tony Foster. "We need to get a program going here so we can have that continual flow (of federal money) like the larger cities do."

One resident who would love to see grant money used to repair the city's sewer and storm system is Paul Medvin. Medvin and his wife Kate manage several rental properties, including one on South B Street that periodically gives the couple a nightmare of sewage water in its basement during hard rains.

"Whatever they can do to come up with money to fix the problem is fine with me," Paul Medvin said. "Just don't take it out of my pocket. I've had this problem for 16 years and it's been enough of an expense."

CITIZEN PARTICIPATION PLAN INDIANA DEPARTMENT OF COMMERCE (STATE)

The State of Indiana, Department of Commerce, pursuant to 24 CFR 91.115, 24 CFR 570.431 and 24 CFR 570.485(a) wishes to encourage maximum feasible opportunities for citizens and units of general local government to provide input and comments as to its Methods of Distribution set forth in the Department's annual Consolidated Plan for CDBG funds submitted to HUD as well as the Department's overall administration of the State's Small Cities Community Development Block Grant (CDBG) Program. In this regard, the Department of Commerce will perform the following:

1. Require each unit of general local government to comply with citizen participation requirements for such governmental units as specified under 24 CFR 570.486(a), to include the requirements for accessibility to information/records and to furnish citizens with information as to proposed CDBG funding assistance as set forth under 24 CFR 570.486(a)(3), provide technical assistance to representatives of low-and-moderate income groups, conduct a minimum of two (2) public hearings on proposed projects to be assisted by CDBG funding, such hearings being accessible to handicapped persons, provide citizens with reasonable advance notice and the opportunity to comment on proposed projects as set forth in Title 5-3-1 of Indiana Code, and provide interested parties with addresses, telephone numbers and times for submitting grievances and complaints.
2. Consult with local elected officials and the Department's Grant Administrator Networking Group in the development of the Method of distribution set forth in the State's Consolidated Plan for CDBG funding submitted to HUD.
3. Publish a proposed or "draft" Consolidated Plan and afford citizens, units of general local government, and the CDBG Policy Advisory committee the opportunity to comment thereon;
4. Furnish citizens and units of general local government with information concerning the amount of CDBG funds available for proposed community development and housing activities and the range/amount of funding to be used for these activities;
5. Hold one (1) or more public hearings respective to the State's proposed/draft Consolidated Plan, on amendments thereto, duly advertised in newspapers of general circulation in major population areas statewide pursuant to I.C. 5-3-1-2 (B), to obtain the views of citizens on proposed community development and housing needs. The Consolidated Plan Committee published the enclosed legal advertisement to twelve (12) regional newspapers of general circulation statewide respective to the public hearings (April 23 and April 24, 2002) held on the 2002 Consolidated Plan Update. In addition, this notice was distributed by mail to over 3,000 local officials, non-profit entities, and interested parties statewide in an effort to maximize citizen participation in the FY 2002 consolidated planning process:

**The Republic, Columbus, IN
Indianapolis Star, Indianapolis, IN
The Journal-Gazette, Fort Wayne, IN
The Chronicle-Tribune, Marion, IN
The Courier Journal, Louisville, KY
Gary Post Tribune, Gary, IN
Tribune Star, Terre Haute, IN**

**Journal & Courier, Lafayette, IN
Evansville Courier, Evansville, IN
South Bend Tribune, South Bend, IN
Palladium-Item, Richmond, IN
The Times, Munster, IN**

6. Provide citizens and units of general local government with reasonable and timely access to records regarding the past and proposed use of CDBG funds,
7. Make the Consolidated Plan available to the public at the time it is submitted to HUD, and;
8. Follow the process and procedures outlined in items 2 through 7 above with respect to any amendments to a given annual CDBG Consolidated Plan and/or submission of the Consolidated Plan to HUD.

In addition, the State also will solicit comments from citizens and units of general local government on its CDBG Performance Review submitted annually to the U.S. Department of Housing and Urban Developments (HUD). Prior to its submission of the Review to HUD, the State will advertise regionally statewide (pursuant to I.C. 5-3-1) in newspapers of general circulation soliciting comments on the Performance and Evaluation Report.

The State will respond within thirty (30) days to inquiries and complaints received from citizens and, as appropriate, prepare written responses to comments, inquiries or complaints received from such citizens.

**NOTICE OF PUBLIC HEARING
FY 2002 CONSOLIDATED PLAN FOR FUNDING**

**INDIANA DEPARTMENT OF COMMERCE
INDIANA HOUSING FINANCE AUTHORITY
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION
INDIANA DEPARTMENT OF HEALTH**

Pursuant to 24 CFR Part 91.115(a)(2), the State of Indiana wishes to encourage citizens to participate in the development of the State of Indiana Consolidated Plan for 2002. In accordance with this regulation, the State is providing the opportunity for citizens to comment on the 2002 Consolidated Plan Update draft report, which will be submitted to the US Department of Housing and Urban Development (HUD) on or before May 15, 2002. The Consolidated Plan defines the funding sources for the State of Indiana's four (4) major HUD-funded programs and provides communities a framework for defining comprehensive development planning. The FY 2002 Consolidated Plan will set forth the method of distribution of funding for the following state agencies and HUD-funded programs:

**Indiana Department of Commerce - State Community Development Block Grant (CDBG) Program
Indiana Housing Finance Authority - Home Investment Partnership Program
Indiana Housing Finance Authority - Housing Opportunities for Persons With Aids Program
Indiana Family and Social Services Administration - Emergency Shelter Grant Program**

These public hearings will be conducted as follows:

**April 8, 2002 – Noblesville Council Chamber
Noblesville City Hall
16 South 10th Street
Noblesville, IN 46060**

**April 9, 2002 – Columbus City Hall
123 Washington Street
Columbus, IN 47201**

If you are unable to attend the public hearings, written comments are invited through April 30, 2002, at the following address:

**Grants Management Office
Indiana Department of Commerce
One North Capitol - Suite 700
Indianapolis, IN 46204-2288**

Please direct all questions to the Grants Management Office of the Department of Commerce at its toll free telephone number (800-246-7064) during normal business hours.

State of Indiana FY2002 Consolidated Plan Update

Public Hearings April 8 & 9, 2002

Heidi Aggeler
BBC Research & Consulting
3773 Cherry Creek N. Dr., # 850
Denver, Colorado 80209
aggeler@bbcresearch.com

Dr. Linda Keys
The Keys Group
5205 West Tamarac Drive
Muncie, Indiana 47304

Rules of the Meeting

To ensure that everyone in attendance has a chance to voice their opinion, please observe the following rules:

- ★ Please hold your comments to 2 minutes on each subject. This will give everyone an equal chance to make comments.
- ★ Please do not interrupt or debate others. There are no right or wrong answers.
- ★ If you have more to say, or have very detailed questions about programs, visit with us after the hearing.

Purpose of the Consolidated Plan

In 1995, the U.S. Department of Housing and Urban Development (HUD) began requiring states and local communities to prepare a Consolidated Plan in order to receive federal housing and community development funding.

The purpose of the Consolidated Plan is:

- ★ To identify a state's housing and community development needs, priorities, goals and strategies; and
- ★ To stipulate how funds will be allocated to state housing and community development non-profit organizations and local governments.

2002 Consolidated Plan Funding Allocation

If the Con Plan is approved, the State of Indiana will receive \$57 million for fiscal year 2002 to address identified needs, priorities and strategies. The money will be allocated as follows:

Agency	Amount
Indiana Department of Commerce (CDBG)	\$37,879,000
Indiana Housing Finance Authority (HOME)	16,447,000
Indiana Housing Finance Authority (HOPWA)	751,000
Indiana Family and Social Services Administration (ESG)	<u>1,747,000</u>
Total Funding	\$56,824,000

Guiding Principles in Program Allocation

- ★ Focus on the findings from citizen participation efforts (public forums, community surveys, public comments);
- ★ Allocate program dollars to their best use, with the recognition that non-profits and communities vary in their capacities and that some will require more assistance and resources;
- ★ Recognize that the private market is a viable resource to assist the State in achieving its housing and community development goals;
- ★ Emphasize flexibility in funding allocations, and de-emphasize geographic targeting;

Guiding Principles (continued)

- ★ Maintain local decision making and allow communities to tailor programs to best fit their needs;
- ★ Leverage and recycle resources, wherever possible; and
- ★ Understand the broader context within which housing and community development actions are taken, particularly in deciding where to make housing and community development investments.

Citizen Participation Process

Citizens participated in the Consolidated Planning process through:

- ★ Attending regional public forums: 187 people participated in the regional forums held in six cities throughout the State;
- ★ Responding to a community survey: 417 local government officials, community leaders, housing & service providers, advocates, and citizens were surveyed about housing and community development issues;
- ★ Writing and emailing the Consolidated Plan Committee; and
- ★ Being here today!

What Secondary Data Revealed

Socioeconomic

- ★ Population in 2000: 6,080,485. Growth, 1990-2000: 9.7 percent. Population growth is expected to slow to 2.8 percent between 2000 and 2005. Growth is likely to be strongest in counties surrounding urban areas.
- ★ The State is growing older and will continue to age. Growth will be strongest for those between 40 and 60 years old, and over 60.
- ★ The State grew more racially and ethnically diverse between 1990 and 2000.
- ★ Job growth will be slightly lower than in the past 5 years and growth will be concentrated in the service sector.

What Secondary Data Revealed (continued)

Housing Market

- ★ Homeownership rate = 66 percent, the same as the national rate
- ★ 2001 homeownership vacancy rate = 1.6 percent
2001 rental vacancy rate = 10.3 percent
- ★ Median priced single family home, 2000 = \$94,767
- ★ Median monthly rent, 2000 = \$521
- ★ 16 percent of the State's homeowners and 35 percent of the State's renters are "cost burdened"

What Survey Respondents Told Us

- ★ Top housing needs: Affordable single family housing, affordable rentals, transitional housing.
- ★ Special populations with greatest needs: persons who are homeless, persons with mental illnesses, persons with disabilities.
- ★ Top community development needs: economic development and public infrastructure.
- ★ Barriers to housing choice: housing cost, transportation, distance between work and home.

Needs Identified in Forums

- ★ Increased shelter funding
- ★ Licensed day care affordable to low income families
- ★ Emergency housing
- ★ Rental assistance
- ★ High cost of infrastructure (e.g., roads, sewers, storm water, water treatment)
- ★ Public transportation in smaller communities to jobs and services, inter and intra
- ★ Homeownership counseling
- ★ Affordable quality housing
- ★ Emergency housing construction in all areas
- ★ Emergency shelter insufficient/relieve overcrowding

Evaluation of the FY2000 Year Plan

The Committee considered the following in auditing the FY2000 Strategies & Action Plan:

- ★ What are the top needs identified through the community survey, regional forums, and analysis of secondary data?
- ★ Are the 2002 needs different than those identified for the FY2000 planning period? If so, how?
- ★ What programs or activities are currently in place to serve these needs?
- ★ Where are the remaining gaps?
- ★ How should the gaps be addressed and through what funding source?

Five Year Consolidated Plan, Top Level Goals

- ★ Expand and preserve affordable rental opportunities.
- ★ Enhance affordable homeownership opportunities.
- ★ Promote livable communities and community redevelopment.
- ★ Enhance workforce development activities.
- ★ Strengthen and expand the State's continuum of care for persons who are homeless.
- ★ Strengthen the safety net of housing and services for special needs groups.
- ★ Enhance the local capacity for housing and community development.

CDBG FY2002 Allocation Plan

Community Focus Fund	\$24,642,630
Housing Program	\$5,000,000
Community Economic Development Fund	\$4,000,000
Brownfield Initiative	\$1,400,000
Technical Assistance Fund	\$378,790
Planning Fund	\$1,600,000
Administration	\$857,580

HOME FY2002 Allocation Plan

Housing from Shelters to Homeownership

Emergency Shelters	\$500,000
Youth Shelters	\$500,000
Transitional Housing	\$1,500,000
Migrant Farmworker Housing	\$500,000
Rental Units	\$3,100,000
Homebuyer Units	\$2,142,300
Owner Occupied Rehabilitation	\$3,900,000
Homeownership Counseling/ Downpayment Assistance	\$2,000,000
CHDO Works	\$660,000
HOME/RHTZ	\$4,000,000
Foundations	\$1,000,000
Administration	\$1,644,700

ESG FY2002 Allocation Plan

Essential Services	\$344,000
Shelter Operations	\$1,160,000
Homeless Prevention	\$182,000
Administration	\$63,000

HOPWA FY2002 Allocation Plan

Region 1	Lake, LaPorte, Porter	\$209,700
Region 2	Elkhart, Fulton, Marshall, Pulaski, St. Joseph, Starke	\$98,800
Region 3	Adams, Allen, DeKalb, Huntington, Kosciusko, LaGrange, Noble, Steuben, Wabash, Wells, Whitley	\$94,529
Region 4	Benton, Carroll, Clinton, Fountain, Jasper Montgomery, Newton, Tippecanoe, Warren, White	\$36,160
Region 5	Cass, Howard, Miami, Tipton	\$26,052
Region 6	Blackford, Delaware, Grant, Jay, Randolph	\$41,712
Region 8	Clay, Parke, Putnam, Sullivan, Vermillion, Vigo	\$57,372
Region 9	Decatur, Fayette, Franklin, Henry, Ripley, Rush Union, Wayne	\$26,907
Region 10	Bartholomew, Brown, Greene, Lawrence, Monroe, Owen	\$52,817
Region 11	Crawford, Jackson, Jefferson, Jennings, Orange, Switzerland, Washington	\$11,816
Region 12	Daviess, Dubois, Gibson, Knox, Martin, Perry, Pike, Posey, Spencer, Vanderburgh, Warrick	\$72,605

A Sample of What's New for FY2002

- ★ Continuation of the State's current programs and activities
- ★ Research statewide homebuyer counseling program
- ★ Continue statewide Fair Housing Campaign
- ★ Use Section 8 homeownership program
- ★ Creation of Interagency Council for the Homeless
- ★ Implement Continuum of Care concept; organize HMIS
- ★ Continue CDBG funding dedicated to basic skills training and employment building activities

APPENDIX E.
Public Comment and Response

APPENDIX E.

Public Comments and Response

The 30-day public comment period for the FY2002 State of Indiana Consolidated Plan was held between April 1 and April 30. Two public hearings were conducted on April 8 and 9 2002, between 4 and 6 p.m. in the cities of Noblesville and Columbus. A total of 15 individuals attended the public hearings. The participants and the organizations they represent are shown in the table below.

<i>Name</i>	<i>Organization</i>	<i>Address</i>
Molly Miller	Ball State University	AR201 SSRC Muncie 47306
Charlene Hederick	Casey Family Programs	4530 Berkshire Road Indianapolis 46326
Susan Solimon	Salvation Army Social Service Center	540 North Alabama Indianapolis
Diana Rice-Wilkenson	Habitat for Humanity of Indiana	4606 Melbourne Road Indianapolis 46228
Pam McConey	NAMI IN	P.O. Box 22697 Indianapolis 46222
Ronda R. Ames	Key Consumer Org.	2506 Willow Brook Parkway Indianapolis 46023
Robert G. Lucas	Grant Blackford Mental Health	206 W. 8 th Street Marion 460553
Bruce Miller	CC	
Jack Norton	CC	
Kyle J. Westafer	CC	2900 S. Carey Street Appt. 8
Kathy Luckey	CC	2900 S. Carey St. Appt. 11 Marion 46953
Karen Welsh	Grant Blackfoot Mental Health	206 W. 8 th Street Marion 460553
Dennis L. Williams	Room	33016 ½ S. Washington Marion 46953
Trena Carter	Ara	3200 Sycamore Ct. 1A Columbus 47203
Pat Smith	Turning Point	

Much of the discussion at the public hearings centered on the types of facilities needed for individuals with developmental disabilities and severe and persistent mental illnesses. The participants expressed their support for small to medium size facilities that provide housing, supportive services, and a community tailored to these special needs groups. Other participants believed that scattered site single family housing is preferred to such facilities. All participants agreed that, ideally, a community should offer a variety of housing options to meet the differing needs of special needs groups.

Two participants who work with youth who are homeless reported that in the state of Indiana 775 youth leave foster care each year; 40 percent of these youth end up homeless. These participants are interested in having funding for homeless shelters and activities be allocated to programs that are targeted to youth.

Participants also advocated for scoring preferences in grant applications for housing developments that are located near services (e.g., health care, transportation, etc.).

In addition to the public participation in the hearings, written comments were received from citizens. Copies of these comments, along with the Consolidated Plan Coordinating Committee's response, follow.

MAR 14 2002

FOUR COUNTY COUNSELING CENTER

Healing with Compassion and Respect

March 5, 2002

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Fax: 219-722-9523
TTY: 219-722-5993

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OUTPATIENT SERVICES**

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Logansport, IN 46947-1576
Phone: 219-732-1414
Fax: 219-732-0504

FULTON COUNTY
321 E. 8th St., Suite 204
Rochester, IN 46975-1513
Phone: 219-223-8565
Fax: 219-223-8786

MIAMI COUNTY
16 S. Broadway
Peru, IN 46970-2368
Phone: 765-472-1931
Fax: 765-472-1945

PULASKI COUNTY
616 W. 11th St.
Winamac, IN 46996-1208
Phone: 219-946-4233
Fax: 219-946-4365

Indiana Housing Finance Authority
115 West Washington St., #1350, South Tower
Indianapolis, IN 46204

Dear Sirs,

In response to the public forum concerning the housing needs in local communities held in Plymouth, Indiana, March 5, I would like to provide the following information;

Four County Counseling Center is one of 31 community mental health centers located in the State of Indiana. We recently were able to renovate our local Masonic Temple building and provide 23 single bedroom apartments for the mentally ill clients which we serve.

In addition, we own a 7-unit apartment building in Logansport. However, we continually find need for additional housing for our clients. We have approximately 60 to 75 individuals regularly involved in programming, which involves placing them in adequate apartments, based on their income and financial situation.

We currently have, combined in the four communities that we serve, approximately 15 people who we could place in affordable housing. Most of those are programmed in Logansport, because that is the location of the main center for our facility; however, from time to time, we have needs in each of the cities of Peru, Rochester and Winamac.

We would support any efforts that we can in the state to provide housing for clients such as ours. If you need additional information, please don't hesitate to contact me.

Yours truly,



Lawrence R. Ulrich
Executive Director/CEO



Joint Commission
an Accredited Provider of Healthcare Organizations



A Community Partner Since 1975



March 15, 2002

Lawrence R. Ulrich
Executive Director/CEO
Four County Counseling Center
1015 Michigan Ave.
Logansport, IN 46947-1597

Dear Mr. Ulrich:

Thank you for taking the time to provide us with written comments for the State of Indiana's Consolidated Plan public input process. We will be sure to include your comments in our report and, more importantly, we will take them into consideration when deciding how to allocate the funding we receive from the U.S. Department of Housing and Urban Development. I am glad that you were able to attend the public meeting held in Plymouth, Indiana on March 5th. I hope you found it informative.

When you are ready to begin plans for developing additional housing for your clients, I would encourage you to contact the IHFA field representative for your area, Brian Philps. He would be glad to sit down and review the specific aspects of your development and explain how IHFA might be able to assist with the financing. You can reach him at (800) 872-0371.

Thank you again for your comments. We look forward to working with you in the future to provide more affordable housing for Indiana citizens.

Sincerely,

Wendy C. Landes
Assistant Development Manager

Cc: **Heidi Aggeler, BBC Research & Consulting**
Sheryl M. Sharpe, IHFA Director of Development
Brian Philps, IHFA Development Specialist



115 WEST WASHINGTON STREET, SUITE 1350 SOUTH TOWER, INDIANAPOLIS, INDIANA 46204-3413
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HISTORIC
LANDMARKS
FOUNDATION OF
INDIANA

Eastern Regional Office
Huddleston Farmhouse Inn Museum
838 National Road, Mt. Auburn
P.O. Box 284
Cambridge City, IN 47327
e-mail: east@historiclandmarks.org
765 478 3172 Fax: 765 478 3410



March 6, 2002

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, IN 46204

RE: Richmond

To Whom It May Concern:

This letter is being written to share my ideas how Community Development Block Grants (CDBG), Home Investment Partnership Program (HOME) and Emergency Shelter Grants should be allocated within the State of Indiana.

Richmond is a community that is moving forward in a positive direction. But like most communities, there are issues that need serious attention. Richmond's sewers are in need of repair, owner-occupied housing is in need of rehabilitation and issues surrounding economic development can oftentimes be challenging.

My suggestions in regards to how funding should be allocated in the state include funding for ongoing programs. The City of Richmond could use an ongoing stream of CDBG funds, for example, for ongoing programs or projects including housing and infrastructure. Richmond could better compete for industry and improve the quality of life for residents.

From a historic preservation standpoint, Richmond has in place a Historic Preservation Commission. Economic development and preservation go hand in hand. I see a "mini-entitlement" program under the existing programs as a welcomed change in the funding cycles. And these changes will lead to a ripple effect that will undoubtedly assist with preservation in Richmond.

Sincerely,

Wayne Goodman

Cc: Mayor Shelley D. Miller, City of Richmond



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Wayne Goodman
838 National Road, Mt. Auburn
P.O. Box 284
Cambridge City, Indiana 47327

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Goodman:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director



Richmond-Wayne County Chamber of Commerce

33 South 7th Street – Suite 2 • Richmond, Indiana 47374

Phone: 765/962-1511 • Fax: 765/966-0882

<http://www.rwchamber.org>

March 8, 2002

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, IN 46204-2248



RE: Richmond

To Whom It May Concern:

This letter is being written to share my ideas how Community Development Block Grants (CDBG), Home Investment Partnership Program (HOME) and Emergency Shelter Grants should be allocated within the State of Indiana.

Richmond is a community that is moving forward in a positive direction. But like most communities, there are issues that need serious attention. Richmond's sewers are in need of repair, owner-occupied housing is in need of rehabilitation and issues surrounding economic development can oftentimes be challenging.

My suggestions in regards to how funding should be allocated in the state include funding for ongoing programs. The City of Richmond could use an ongoing stream of CDBG funds, for example, for ongoing programs or projects including housing and infrastructure. We are currently competing for new industry that would create many new "entitlement communities" that have a direct and continuous flow of funding for community and economic development projects. If Richmond had the same type of continuous funding, we could better compete for much needed industry and improve the quality of life for our residents. A "mini-entitlement" program under the existing programs would be a welcomed change in the funding cycles.

Thank you for your consideration of my thoughts and ideas.

Sincerely,

Frank E. Mazzei
Frank E. Mazzei
President & CEO





3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Frank Mazzei
Richmond-Wayne County Chamber of Commerce
33 South 7th Street, Suite 2
Richmond, Indiana 47374

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Mazzei:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Larry L. Parker
117 Chestnut Circle
Richmond, Indiana 47374

Office: (765) 962-0368
(765) 962-0858

Home: (765) 935-1327
Fax: (765) 965-9088

March 9, 2002



Consolidated Plan
Indiana Department Of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, Indiana 46204-2248

RE: Richmond, Indiana

To Whom It May Concern:

The citizens of Richmond and myself are concerned as to how the allocation of the following grants should be distributed.

Community Development Block Grants
Home Investment Partnership Program
Emergency Shelter Grants

Richmond, like other communities, is moving forward and competing with larger "Entitlement Communities" and find it extremely difficult to compete with these communities. Our needs for improvement to our infrastructure, housing rehabilitation and economic development challenge our available dollars.

We are presently in dire need for improvement and expansion of emergency shelter in our community and would welcome a means of funding under the existing grant program.

Thank you for your consideration.

If you need additional in-depth information, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read "Larry L. Parker". The signature is fluid and cursive, with a large loop at the end.

Larry L. Parker
6th District Councilman
Richmond, Indiana



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Larry Parker
117 Chestnut Circle
Richmond, Indiana 47374

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Parker:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director



"People
helping people
help
themselves"

Frank O'Bannon, Governor
State of Indiana

***Division of Family and Children
Gibson County Office***

321 SOUTH 5TH AVENUE
PRINCETON, IN 47670-3519
812-385-4727
FAX: 812-385-2197
Child Abuse Hotline: 812-385-3533

March 11, 2002

Consolidated Plan
Indiana Department of Commerce
Controller's Office -- Grants Management Division
One N. Capitol Avenue Suite 700
Indianapolis, Indiana 47204-2248

I am the Director of the Gibson County Office of Family and Children and I am making this presentation today representing the County Offices from the 16 county Southwest Region. This presentation is to bring your attention to an unserved population and ask your consideration as you develop Indiana's Consolidated Plan for the use of housing and community development funds.

We want to raise your awareness of the needs of youth and young adults who are transitioning from out-of-home care, primarily as their needs relate to housing. We are requesting that the housing needs of such youth and young adults be identified as a priority in the State's Consolidated Plan.

The following points are made:

- ◆ As of August 2001, Indiana had 2,410 CHINS in out-of-home care over the age of 14
- ◆ The majority of youth in out-of-home care are emancipated at age 18
- ◆ From national studies we know that 12-18 months after emancipation the outcomes for these young adults are NOT good:
 - ◆ 40% end up homeless
 - ◆ 50% are unemployed
 - ◆ 37% do not have a high school diploma or GED
 - ◆ 33% are on public assistance
 - ◆ 30% have children
 - ◆ 27% of males and 10% of females have been incarcerated
- ◆ We also know from national studies regarding the general homeless population that 65% have had some involvement with the child welfare system
- ◆ Housing is the number one issue identified by the young adults from out-of-home care

Equal Opportunity / Affirmative Action Employer



- ◆ These young adults need
 - ◆ Transitional housing with supportive services
 - ◆ Rental vouchers with supportive services
 - ◆ Affordable housing/apartments

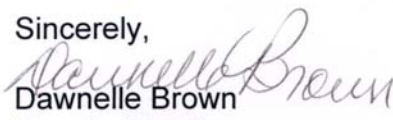
The Foster Care Independence Act of 1999 established the John H. Chaffee Foster Care Independence Program. The program among other points increases funding for independent living activities; offers increased assistance, including room and board, for youth ages 18 to 21 who are leaving foster care; and increases the state accountability for outcomes.

According to the Indiana Child Welfare Information System, in the calendar year 2000, there were 776 children 18 or older who were in substitute care and released. A continuum of housing options would assist the transition to adulthood and complement their own efforts to achieve self-sufficiency as they begin to recognize and accept the responsibilities of adulthood.

Research shows that youth leaving foster care face a significant risk of homelessness. Please consider this population – youth 18 to 21 who are leaving the foster care system – for inclusion as a target population for transitional housing options in the state's Consolidated Plan. This inclusion would enhance the state's capability of maximizing housing options for youth.

Thank you for the opportunity to provide input on behalf of the 16 Southwest Indiana County Offices of Family and Children.

Sincerely,


Dawnelle Brown
County Director



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Ms. Dawnelle Brown
County Director
Gibson County Office of Family and Children
321 South 5th Avenue
Princeton, IN 47670

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Brown:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate you supplying us with statistics on the demonstrated need for transitional and affordable housing for youth in the State.

The Consolidated Plan covers four federal grant programs – the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), the Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding from these programs is available to meet the needs you identified for youth, including transitional housing with supportive services, rental housing with supportive services and affordable housing/apartments.

CDBG and HOME funds can be used for construction and rehabilitation of transitional housing, rehabilitation and new construction of affordable rental units, and rehabilitation and new construction of owner-occupied units. In FY2002, the State's goal is to provide approximately \$12.5 million for these activities. (The total amount of actual funding will depend on the types of applications the State receives for program funding, as well as how well the applications score). ESG funding is used for supportive services, primarily for individuals who are homeless, and include services related to health care, employment, transportation, and assistance in finding permanent housing. In addition, ESG provides funding for homeless prevention activities. More than \$500,000 of ESG funding is expected to fund supportive service and homeless prevention activities in FY2002. The HOPWA program is targeted to serve persons with HIV/AIDS and provides housing subsidies and supportive service assistance. In FY2002, the HOPWA grant will provide an estimated \$730,000 of such funding. All of these programs and activities support youth in need.

The State does not currently prioritize funding based on certain population groups. This is due to the method of how HUD funds are allocated at the state level. Each year, the State issues an announcement of funding availability. Local governments and nonprofit organizations apply for funding. The State then evaluates these applications and funds the programs with the greatest housing and community development needs. Within individual programs, the agencies that administer the grants may establish priorities for applications that serve special groups or address specific needs. However, if the State were to establish *overall* funding priorities for certain population groups, there is a risk that the programs with the greatest needs would not be adequately funding and/or that funds would not be equitably distributed. That said, the agencies that administer the individual HUD programs will take into account the information you have provided about the needs of the State's youth when they are making funding decisions during FY2002.

The full Consolidated Plan contains more information about how to access the HUD funds and eligible funding activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in cursive script, reading "Heidi Aggeler".

Heidi Aggeler
Director

John Gowans
GENERAL

Lt. Colonel Jack C. Getz
DIVISIONAL COMMANDER

Corps Community Center
1040 North Fulton Avenue
Evansville, IN 47710-1856
(812) 425-1375
(812) 423-2317 Fax



The Salvation Army

Founded in 1865 by William Booth

P. O. Box 4055 (all Mail)
Evansville, IN 47724-0055

Commissioner Lawrence R. Moretz
TERRITORIAL COMMANDER

Majors Jon and Linda Fjellman
CORPS OFFICERS

Family Care Center
1615 North Fulton Avenue
Evansville, IN 47710-2755
(812) 422-4673
Fax (812) 422-3370

March 11, 2002

Consolidated Plan, Indiana Department of Commerce
Controllers's Office, Grants Management Division
One North Capital Ave. Suite 700
Indianapolis, IN 46204-2248

Dear Committee,

Over the past several years I have worked as director of social services for The Salvation Army's Family Care Center and Emergency Homeless Shelter. I have seen a 20% increase of families with children and single women in need of shelters. The need has been so great we increased the number of beds from 24 to 36, and cribs from 2 to 5. At the end of December 2001 we had a needs list of people who needed shelter, but had no place to go due to all shelters being at capacity. Our needs list showed:

- 75 families with 342 children
- 50 couples no children
- 62 single women
- 44 single men

In the year 2001 we served 10,773 nights lodgings and 31,162 meals in our shelter. This does not include the low to moderate income and homeless and near homeless who come 5 days per week to participate in our noontime feeding program which fed 12,523 at the end of December 2001. Since the beginning of time we have had homeless people and they could be anyone that you might have known sometime in your life and we need money to care for them so that one day they can care for themselves. Lets provide money to get them as independent as possible so they can be healthier and happier. So that the cause will not be the effect of poor health and long term illness and death. Over the last 10 years ECHO Homeless Team has been able to track at least 36 individuals who have died homeless. These are only the homeless that we have been able to track, I am sure there are others that have died who have gone undetected. We are now in the 21 century know one needs to die homeless of nameless in this country or in our own state or city.

Money is needed to do the right things for the homeless in our Indiana community. So when you are thinking about who and where the needs are for money, remember our poor and homeless and what we need to do as a community to help them fight homelessness and made a better Indiana

Sincerely in Christ,

Gwen Rode, Director of Social Services





3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Ms. Gwen Rode
Director of Social Services
Salvation Army
P.O. Box 4055
Evansville, IN 47724

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Rode:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate you supplying us with statistics on the demonstrated need for shelters in your community.

The primary dedicated source of funding to assist persons who are homeless at the State level is the Emergency Shelter Grant (ESG) program. The ESG provides operating funds for emergency shelters, homeless prevention activities, and supportive services to persons and families who are homeless. In FY2002, the State expects to receive more than \$1.75 million in ESG funding to address the needs of the homeless. In the past, the majority of this funding has been allocated to support shelter operations. In addition to the ESG, the State provides funds for shelter construction through the HOME Investment Partnerships Program (HOME) and the Community Development Block Grant Program (CDBG). In FY2002, the State plans to allocate \$1 million to shelter rehabilitation and new shelter construction.

The full Consolidated Plan contains more information about how to access the HUD funds and eligible funding activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent than the last name 'Aggeler'.

Heidi Aggeler
Director

March 11, 2002



Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, IN 46204-2248

RE: Richmond Mini-entitlement Program

To Whom It May Concern:

This letter is in support of a mini-entitlement program for the City of Richmond through the CDBG and HOME programs. A continuous and direct flow of money for community and economic development projects is an essential element in the City's plans for growth. Competing in the economic development arena against larger communities is difficult enough without the handicap of not having a continuing source of funding for infrastructure improvements.

If Richmond had the same type of "entitlement" program as larger communities with respect to CDBG funds, it would be in a much better position to compete for much needed industry. Quality of life improvements could also be better planned. A "mini-entitlement" program under the existing programs would be a welcome improvement to the funding process.

As a member of the Wayne County Council who represents part of the north side of Richmond, I believe the program I have outlined should receive your thoughtful consideration. Thank you for your time and attention.

Sincerely,

Jeff Plasterer
Wayne County Council District 2



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Jeff Plasterer
Wayne County Council District 2
401 East Main
Richmond, Indiana 47374

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Plasterer:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

March 12, 2002

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, Indiana 46204-2248



RE: Richmond

To Whom It May Concern:

This letter is being written to share my ideas how Community Development Block Grants (CDBG), Home Investment Partnership Program (HOME) and Emergency Shelter Grants should be allocated within the State of Indiana.

Richmond is a community that is moving forward in a positive direction. But like most communities, there are issues that need serious attention. Richmond's sewers are in need of repair, owner-occupied housing is in need of rehabilitation and issues surrounding economic development can oftentimes be challenging.

My suggestions in regards to how funding should be allocated in the state include funding for ongoing programs. The City of Richmond could use an ongoing stream of CDBG funds, for example, for ongoing programs or projects including housing and infrastructure. We are currently competing for new industry that would create many new jobs in Richmond. We are at a disadvantage because we are competing with larger "entitlement communities" that have a direct and continuous flow of funding for community and economic development projects. If Richmond had the same type of continuous funding, we could better compete for much needed industry and improve the quality of life for residents. A "mini-entitlement" program under the existing programs would be a welcomed change in the funding cycles.

Thank you for your consideration of my thoughts and ideas.

Sincerely,

David W. Stidham



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. David Stidham
NO ADDRESS PROVIDED

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Stidham:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Aggeler, Heidi

From: Wendy Landes [wlandes@ihfa.state.in.us]
Sent: Tuesday, March 19, 2002 8:46 AM
To: 'Larry.Gautsche@LaCasaGoshen.org'
Subject: FW: IHFA Hearings

Thank you for taking time to share your comments and concerns with our agency regarding our funding programs. We will include your comments in the Consolidated Plan Update that we submit to the U.S. Department of Housing and Urban Development (HUD). But more importantly, we will take your comments into consideration when we are making decisions about how to allocate the funds we receive from HUD.

The draft executive summary of the Consolidated Plan Update will be available to view on our website on April 1, 2002. You can download this report at <http://www.indianahousing.org>. We will be accepting additional comments on April 8th and April 9th at two more public hearings. You can call 1-800-842-2476 for information about the times and locations of these hearings.

Thank you again for your comments. If I can answer any questions you have about our agency and its programs, feel free to contact me at (800) 872-0371.

Wendy C. Landes
Assistant Development Manager
Indiana Housing Finance Authority
115 W. Washington St.
Suite 1350 South Tower
Indianapolis, IN 46204
(317) 233-1810
(800) 872-0371 (only in Indiana)
wlandes@ihfa.state.in.us

-----Original Message-----

From: Larry Gautsche [mailto:Larry.Gautsche@LaCasaGoshen.org]
Sent: Tuesday, March 12, 2002 12:30 PM
To: jsipe@ihfa.state.in.us
Subject: IHFA Hearings

If you have the IACED Public Comments paper that Anne presented at the meeting, I don't have anything substantial to add, but wanted to reinforce the points most critical to LaCasa:

1. The \$1,000,000 annual cap per CHDO is very important to our plans for homeownership and reducing this amount would be a setback.
2. You know my opinion on the environmental review. IACED makes some good recommendations. Something needs to be done!
3. We are beginning our second neighborhood revitalization project and there is a high level of interest in this work in Goshen. City administration has a list of 5 more neighborhoods they would like us to work in. Rental properties in these neighborhoods can be significant impediments. This is IACED's issue #7 and we very much support the recommendations outlined in their position.

Aggeler, Heidi

From: Wendy Landes [wlandes@ihfa.state.in.us]
Sent: Tuesday, March 19, 2002 8:17 AM
To: 'piggy46864@yahoo.com'
Subject: Consolidated Plan 2002

Follow Up Flag: Follow up
Flag Status: Flagged

Dear Ms. Wood:

Thank you for taking time to share your comments and concerns with our agency regarding your needs as someone with disabilities. We will include your comments in the Consolidated Plan Update that we submit to the U.S. Department of Housing and Urban Development (HUD). But more importantly, we will take your comments into consideration when we are making decisions about how to allocate the funds we receive from HUD.

You may take some comfort in knowing that the funds we receive from HUD are not directly effected by the State budget cuts that Indiana is now experiencing. They are Federal funds and therefore the funding levels are determined by Congress and not the State General Assembly. Everything we have heard so far about funding for the coming fiscal year (which begins July 1, 2002) is that it will at least stay the same as this year. Although these funds cannot assist you with your medical needs, we hope that with them we can make access to safe, decent, and affordable housing easier for you and other Indiana citizens.

The draft executive summary of the Consolidated Plan Update will be available to view on our website on April 1, 2002. You can download this report at <http://www.indianahousing.org>. We will be accepting additional comments on April 8th and April 9th at two more public hearings. You can call 8-800-842-2476 for information about the times and locations of these hearings.

Thank you again for your comments. If you would like additional information about our agency and its programs, feel free to let me know and I will send it to you.

Wendy C. Landes
Assistant Development Manager
Indiana Housing Finance Authority
115 W. Washington St.
Suite 1350 South Tower
Indianapolis, IN 46204
(317) 232-7777
(800) 872-0371 (only in Indiana)
wlandes@ihfa.state.in.us

-----Original Message-----

From: Linda Wood [mailto:piggy46864@yahoo.com]

Sent: Tuesday, March 12, 2002 3:30 PM

To: namurphy@ihfa.state.in.us

Subject: [WWW] Consolidated Plan 2002

subject: Consolidated Plan 2002

Name: Linda Wood

Organization: Disable Person

Email: piggy46864@yahoo.com

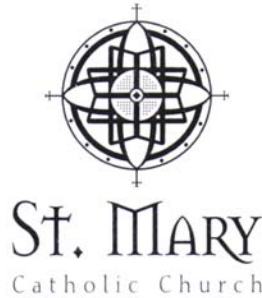
Comments:

Here in Indiana more needs to be done for the disabled, and how can your plan work if the Governor is cutting programs to balance the budget.

There are a lot of people who need help, and with so many companies closing and people losing there home, how can the disabled get fair treatment when it comes to a single mother with small children?

Please consider that because you have a lot more people applying for help through TANF, and so the disabled is forgotten. For myself I need help with getting medicine for my arm, and it cost 85.00 and I know that if I apply for help I will be turned down due to no children in my home. But see I have only one arm.

B1: Submit



March 13, 2002

Indiana Department of Commerce
Grant Management Office
One North Capitol Suit 700
Indianapolis, IN 46204-2288

To Whom It May Concern:

I am responding to the request for suggestions on how to spend \$50 million in funds in serving low-to-moderate income people, the homeless and people with HIV/AIDS.

St. Mary Church has a very active St. Vincent DePaul Society as well as an outreach ministry, which includes a food pantry and financial assistance for the needy in our parish area. I personally meet with a number of persons on a daily basis so I feel that I have an understanding of what some of the needs are for this group of people.

I would like to recommend three areas where I feel that the funds mentioned could be used.

- 1) Day care assistance is greatly needed for single mothers/fathers who want to work and make a change in their lives. The cost of day care is so expensive that it is not feasible for them to even try to get a job when the majority of their income would be used for their children's care.
- 2) Travel assistance in the form of bus tokens, gasoline or cab fare is also an area that I feel the funds could be well spent. Most clients that I see are walking all over the city to even receive the assistance that many of our area agencies can provide to them both in monetary assistance and food orders. Most clients do not have cars but for those who do an incentive for car-pooling might also be offered in exchange for gasoline. Those who are dealing with illnesses are particularly in need of this service. People helping people is a great way to encourage community and increase self-esteem.


613 Cherry Street • Evansville, Indiana 47713 • (812) 425-1577 • fax (812) 426-1416

- 3) Both the homeless and those who are fortunate to have a place to reside but are dealing with mental illnesses seem to be the group who “fall through the cracks”. We see many who are dealing with this problem but are unable to get anyone to help them not only with the mental illness but with a place to stay, food to eat and someone to help them work with the systems that are in place in our area. Most of these clients seem to be so helpless in how to deal with the difficulties in their lives. When they add in the stress of trying to locate assistance for their needs it becomes almost more that they can comprehend or deal with in a daily environment.

I know there are many other areas where the funds could be spent but the above 3 suggestions seem to be those that I deal with on a daily basis. Every one in our outreach program tries to do the best we can with our limited funds to give support and assistance to those who are less fortunate and to be the hands and feet of Jesus Christ. Hopefully, with these additional funds more clients can be given the assistance they really need to change their own situations into successes. That would be the best return on the money in my estimation.

I appreciate the opportunity you have given to the general public to voice their opinions concerning the way these funds will be spent.

Sincerely,

A handwritten signature in cursive script that reads "Sally M. Duncan".

Sally M. Duncan
Administrative Assistant



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Ms. Sally Duncan
St. Mary Catholic Church
613 Cherry Street
Evansville, Indiana 47713

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Duncan:

Thank you very much for your comments about the needs of low and moderate income individuals, persons who are homeless, and persons with HIV/AIDS. We value hearing from service providers, such as your organization, who work closely with the State's citizens and understand their needs.

In your letter, you recommended three areas to which you believe funding should be allocated in the upcoming program year: 1) day care assistance; 2) travel assistance; and 3) services and housing for persons with mental illnesses.

The State currently provides funds for construction of day care facilities that assist low and moderate income families under the Community Development Block Grant Program (CDBG). In FY2002, \$24.6 million of CDBG funds will be allocated to construction and improvement of community facilities including day care centers. The State also provides funds to assist families who are homeless with emergency service needs, such as childcare and transportation, through the Emergency Shelter Grant and the Housing Opportunities for Persons with AIDS Programs. In FY2002, an estimated \$350,000 will be available for emergency service needs.

Unfortunately, the grants from the U.S. Department of Housing and Urban Development (HUD), which are covered by the Consolidated Plan, provide only very limited funding for transportation needs. Some of the grant programs include provision of bus tokens as an eligible activity. Unfortunately, the carpooling incentive that you suggested is not an eligible activity.

The State recognizes that individuals who are homeless often have other challenges, such as mental illnesses. Similarly, the State understands that persons with mental illnesses who are not receiving appropriate care can be at risk of losing their housing. The HUD grants can provide a range of assistance to persons with mental illnesses who are in need of housing – from shelters to transitional

housing, to community facilities for persons with mental illness, to subsidized housing. In addition, during the past few years, the State has been moving toward a system that provides a continuum of services to persons who are homeless and/or are in need of affordable housing and who face additional challenges. Finally, one of the State's goals during the upcoming year is to seek input from organizations that work with special needs populations to further guide funding and program formation.

The full Consolidated Plan contains more information about how to access the HUD funds and eligible funding activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in cursive script, reading "Heidi Aggeler".

Heidi Aggeler
Director



INTERFAITH MISSION, INC.

P.O. Box 446, Columbia City, IN 46725
PHONE: (219) 244-5266 FAX: (219) 244-1864
EMAIL: imission@whitleynet.org



March 14, 2002

Consolidated Plan
Indiana Department of Commerce
Controller's Office
Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, IN 46204-2248

Dear Sir or Madam:

I am the executive director of a homeless shelter in Columbia City, Indiana. We provide food, shelter, clothing and support services to the homeless or those at risk of becoming homeless in our area. In today's economy, we find there are more displaced people than seen in the most recent past. This creates more clients that are seeking our help to get back on their feet. These people will not be receiving food stamps, utility support or welfare while in our establishment. We work with each resident to secure fulltime employment and self-sufficiency in a timely manner.

I am asking that the state continue supporting our shelter and those like us who cater to the homeless population. We are providing a very important need for the community and may help to deter the crimes that are associated with those who are in desperate situations. Thank you for your continued support of this very important mission for the homeless in Indiana.

Very Sincerely,

Tania Keirn
Executive Director

To meet the physical, emotional and spiritual needs of the homeless in the Whitley County area.





3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Ms. Tania Keirn
Executive Director
Interfaith Mission, Inc.
P.O. Box 446
Columbia City, IN 46725

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Keirn:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate you supplying us with information on the demonstrated need for shelters in your community.

As you know, the primary dedicated source of funding to assist persons who are homeless at the State level is the Emergency Shelter Grant (ESG) program. The ESG provides operating funds for emergency shelters, homeless prevention activities, and supportive services to persons and families who are homeless. In FY2002, the State expects to receive more than \$1.75 million in ESG funding to address the needs of the homeless. In the past, the majority of this funding has been allocated to support shelter operations. In addition to the ESG, the State provides funds for shelter construction through the HOME Investment Partnerships Program (HOME) and the Community Development Block Grant Program (CDBG). In FY2002, the State's goal is to provide \$1 million in shelter rehabilitation and new shelter construction program activities.

The full Consolidated Plan (specifically, Section VI and Appendix G) contains more information about the FY2002 program year funding levels and activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', with a stylized, cursive script.

Heidi Aggeler
Director

Gary Stanford Bush

1714 Plaza Drive
Evansville, Indiana 47715

Phone: 812-476-3140
email: GSBiker@aol.com

March 15, 2002



Indiana Department of Commerce
Grant Management Office
One North Capitol Suite 700
Indianapolis, Indiana 46204

Re: Money for the Poor

It is my understanding there is money to be granted for assisting the poor and a period of time where information is being collected from citizens as to how to best help the poor.

I worked with a group St. Vincent DePaul out of our Catholic Parish for 12 years. During that time, we assisted the poor in the inner-city including the projects. When we visited those people it was clear to me that the outstanding issue for poor was the fact that they could not obtain transportation to even keep a minimum job. The situation is like this. A person is on ADC, gets a minimum amount but is able to stay with their children. OR a person try's to earn a living , usually a minimum wage, loses ADC and has to pay for transportation to a job and a baby-sitter. ***Spend your money on transportation and day care for the poor so the person can get a minimum job and pay taxes.*** If anyone is aware at all regarding the poor, this is a no brain situation.

Sincerely,

Gary Bush



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Gary Stanford Bush
1714 Plaza Drive
Evansville, Indiana 47715

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Bush:

Thank you very much for your comments about how best to assist low income individuals with their housing and service needs. In your letter, you recommended two areas to which you believe funding should be allocated in the upcoming program year: transportation and day care.

The State currently provides funds for construction of day care facilities that assist low and moderate income families under the Community Development Block Grant Program (CDBG). In FY2002, the State's goal is to provide approximately \$25 million of CDBG funds to construction and improvement of community infrastructure and facilities, including day care centers. The State also provides funds to assist families who are homeless with emergency service needs, such as childcare and transportation, through the Emergency Shelter Grant Program. In FY2002, an estimated \$350,000 will be available for emergency service needs.

Unfortunately, the grants from the U.S. Department of Housing and Urban Development (HUD), which are covered by the Consolidated Plan, provide only very limited funding for transportation needs. The Indiana Department of Transportation provides funding for transportation systems that assist low income persons and persons with special needs. A recent example is the Catch-A-Ride program, which was recently implemented in southeastern Indiana.

The full Consolidated Plan contains more information about how to access the HUD funds and eligible funding activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', is written over the typed name.

Heidi Aggeler
Director



CITY OF RICHMOND

DEPARTMENT OF PLANNING, PERMITS AND INSPECTIONS
50 NORTH FIFTH STREET - RICHMOND, IN 47374
PLANNING (765) 983-7342 - PERMITS (765) 983-7341 - FAX (765) 962-7024

SHELLEY D. MILLER
Mayor

ROBERT B. GOODWIN
Director

LARRY H. CASH
Assistant Director

March 18, 2002

Consolidated Plan, Indiana Department of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis, IN 46204-2248



RE: Richmond

Dear Grants Manager:

This is being written to share my ideas on how Community Development Block Grants (CDBG), Home Investment Partnership Program (HOME) and Emergency Shelter Grants should be allocated within the State of Indiana.

Richmond is a community that is moving in a positive direction. But like most communities, there are issues that need serious attention. Richmond's sewers are in need of repair, owner-occupied housing is in need of rehabilitation and issues surrounding economic development can be challenging.

My suggestions in regards to how funding should be allocated in the state include funding for ongoing programs. The City of Richmond could use a stream of CDBG funds for ongoing programs and projects including housing and infrastructure. We are currently competing for new industry that will create many new jobs in Richmond. We are at a disadvantage because we are competing with larger "entitlement communities" that have a direct and continuous flow of funding for community and economic development projects. If Richmond had the same type of continuous funding, we could better compete for much needed industry and improve the quality of life for our residents. A "mini-entitlement" program would be a welcomed change in the funding cycles.

Thank you for your consideration of my thoughts and ideas.

Sincerely,

A handwritten signature in blue ink that reads "Robert B. Goodwin". The signature is written in a cursive style with a large, looping "R" at the beginning.

Robert B. Goodwin
Director Planning, Permits and Inspections

cc: Tony Foster



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Robert Goodwin
City of Richmond
50 North Fifth Street
Richmond, Indiana 47374

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Goodwin:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director



COLLEGE OF SCIENCES AND HUMANITIES
SOCIAL SCIENCE RESEARCH CENTER

Muncie, Indiana 47306-0527
Phone: 765-285-1015
Fax: 765-285-5462

March 27, 2002

Indiana Department of Commerce
Controller's Office
Grants Management Division
One North Capitol Avenue, Suite 700
Indianapolis, IN 46204-2248



To Whom It May Concern:

Please accept these written comments as an effort to raise the awareness of the needs of young adults who are transitioning from out-of-home care, primarily as their needs relate to housing. There is a need to have the housing needs of these young people identified as a **"priority"** in the 2002 Consolidated Plan Update. As of August 2001, Indiana had 2,410 CHINS (Child In Need Of Services) over the age of 14 who were in out-of-home care. The majority of youth in out-of-home care are emancipated at age 18. From national studies, we know that 12-18 months after emancipation the outcomes for these young adults are NOT good:

- 40% end up homeless
- 50% are unemployed
- 37% do not have a high school diploma or GED
- 33% are on public assistance
- 30% have children
- 27% of the males and 10% of the females have been incarcerated

(National Foster Care Awareness Project)

We also know from national studies regarding the general homeless population that 65% have had some involvement with the child welfare system. The need for safe, affordable housing is the number one issue identified by young adults who have aged out of substitute care. These young adults need:

- Transitional housing with supportive services
- Rental vouchers with supportive services
- Affordable housing/apartments

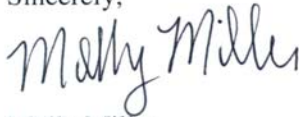
The Foster Care Independence Act of 1999 established the John H. Chafee Foster Care Independence Program. This program increases funding for independent living activities, offers increased assistance for young people ages 18-21 who are leaving foster care, emphasizes the importance of securing permanent families, and expands the opportunity for states to offer Medicaid for those transitioning from care. While the new law does allow some funds to be used for housing needs for young people over the age of 18, it is such a limited amount of money that

it will not go very far towards providing a comprehensive package of housing services. According to data obtained from the Indiana Child Welfare Information System, a computer networked system that links child welfare services in the state, 776 youths 18 or older were released from substitute care in calendar year 2000. A continuum of housing options would assist their transition to adulthood and complement their own efforts to achieve self-sufficiency.

Research shows that youth leaving foster care face a significant risk of homelessness. Please consider these young adults, those who are 18-21 and have left our foster care system, as a population that is in need of transitional housing options. Inclusion of this target population in the Consolidated Plan would enhance the state's capability of maximizing housing options for youth.

Thank you for allowing the opportunity to express the need for transitional housing services for those youth leaving the foster care system.

Sincerely,

A handwritten signature in black ink that reads "Molly Miller". The signature is written in a cursive, flowing style.

Molly Miller
Independent Living Program Coordinator
Social Science Research Center, AR 201
Ball State University
Muncie, IN 47306



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Ms. Molly Miller
Independent Living Program Coordinator
Social Science Research Center, AR 201
Ball State University
Muncie, IN 47306

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Miller:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate you supplying us with statistics on the demonstrated need for transitional and affordable housing for youth in the State.

The Consolidated Plan covers four federal grant programs – the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), the Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding from these programs is available to meet the needs you identified for youth, including transitional housing with supportive services, rental housing with supportive services and affordable housing/apartments.

CDBG and HOME funds can be used for construction and rehabilitation of transitional housing, rehabilitation and new construction of affordable rental units, and rehabilitation and new construction of owner-occupied units. In FY2002, the State's goal is to provide approximately \$12.5 million for these activities. (The total amount of actual funding will depend on the types of applications the State receives for program funding, as well as how well the applications score). ESG funding is used for supportive services, primarily for individuals who are homeless, and include services related to health care, employment, transportation, and assistance in finding permanent housing. In addition, ESG provides funding for homeless prevention activities. More than \$500,000 of ESG funding is expected to fund supportive service and homeless prevention activities in FY2002. The HOPWA program is targeted to serve persons with HIV/AIDS and provides housing subsidies and supportive service assistance. In FY2002, the HOPWA grant will provide an estimated \$730,000 of such funding. All of these programs and activities support youth in need.

The State does not currently prioritize funding based on certain population groups. This is due to the method of how HUD funds are allocated at the state level. Each year, the State issues an announcement of funding availability. Local governments and nonprofit organizations apply for funding. The State then evaluates these applications and funds the programs with the greatest housing and community development needs. Within individual programs, the agencies that administer the grants may establish priorities for applications that serve special groups or address specific needs. However, if the State were to establish *overall* funding priorities for certain population groups, there is a risk that the programs with the greatest needs would not be adequately funding and/or that funds would not be equitably distributed. That said, the agencies that administer the individual HUD programs will take into account the information you have provided about the needs of the State's youth when they are making funding decisions during FY2002.

The full Consolidated Plan contains more information about how to access the HUD funds and eligible funding activities. You can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in cursive script, reading "Heidi Aggeler".

Heidi Aggeler
Director



**ECONOMIC
DEVELOPMENT
CORPORATION**
of Wayne County, Indiana



March 28, 2002

Consolidated Plan, Indiana Dept. of Commerce
Controller's Office, Grants Management
One North Capitol, Suite 700
Indianapolis IN 46204-2248

To Whom It May Concern,

This letter is being written to share my ideas how Community Development Block Grants (CDBG), Home Investment Partnership Program (HOME) and Emergency Shelter Grants should be allocated within the State of Indiana.

Richmond is a community that is moving forward in a positive direction. But like most communities, there are issues that need serious attention. Richmond's sewers are in need of repair, owner-occupied housing is in need of rehabilitation and issues surrounding economic development can oftentimes be challenging.

My suggestions in regards to how funding should be allocated in the state include funding for ongoing programs. The City of Richmond could use an ongoing stream of CDBG funds, for example, for ongoing programs or projects including housing and infrastructure. We are currently competing for new industry that would create many new jobs in Richmond. We are at a disadvantage because we are competing with larger "entitlement communities" that have a direct and continuous flow of funding for community and economic development projects. If Richmond had the same type of continuous funding, we could better compete for much needed industry and improve the quality of life for our residents. A "mini-entitlement" program under the existing programs would be a welcome change in the funding cycles.

Thank you for your consideration of my thoughts and ideas.

Sincerely,

Renee Doty
Manager of Community Development

P.O. Box 1919 • RICHMOND, INDIANA 47375 • 765-983-GROW (4769) • 800-410-4769 • FAX 765-966-8956
E-mail: info@edwc.com <http://www.richmond-in.com>



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bbc@bbcresearch.com

May 8, 2002

Ms. Renee Doty
Economic Development Corporation
P.O. Box 1919
Richmond, Indiana 47375

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms. Doty:

Thank you for your comments about establishing a mini-entitlement program for the City of Richmond. You and the others who wrote about the issue raised some interesting ideas about the distribution of housing and community development funding to nonentitlement cities in the State of Indiana.

We understand that Richmond has a range of housing and community development needs, which CDBG and HOME funding could help mitigate. Many of the needs mentioned in the letters we received about Richmond – water and sewer infrastructure improvements, housing rehabilitation, economic development, emergency shelter rehabilitation and development – are prevalent in most communities in the State. One of the potential risks of establishing a mini-entitlement program of funding is that funds could be directed away from areas in the State where they are most needed.

However, the State is in the process of researching the issue, to determine the effects of establishing a mini-entitlement program for Richmond. The Department of Commerce has met with the Mayor of Richmond to collect additional information about the city's needs. After the information is collected and analyzed, the State will make a decision about establishing a mini-entitlement program for Richmond.

Thank you again for your comments.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Aggeler, Heidi

From: Aggeler, Heidi
Sent: Wednesday, May 08, 2002 3:49 PM
To: 'neltner@in.net'
Cc: 'kboe@commerce.state.in.us'
Subject: RE: [WWW] Consolidated Plan 2002

Mr. Nelter;

Thank you again for sharing your comments about the State of Indiana Consolidated Plan.

In addition to Ms. Landes' comments, I wanted to let you know that for the upcoming program year, the State is proposing to allocate \$16 million to water and sewer infrastructure improvement projects in rural areas throughout the State. You can get find more information about the Community Focus Fund, which provides monies for water and sewer improvements, from the full Consolidated Plan (which, as Ms. Landes mentioned, is located on the web at www.indianahousing.org). Section VI of the Plan contains information about the activities the State will undertake in the next year to address housing and community development needs; Appendix G contains information on the grant programs.

If you have additional questions or would like more information, feel free to contact me at 1-800-748-3222, x256 or aggeler@bbcresearch.com. (BBC Research & Consulting, the firm for which I work, prepared the State Consolidated Plan for FY2002).

Heidi Aggeler

-----Original Message-----

From: Wendy Landes [<mailto:wlandes@ihfa.state.in.us>]
Sent: Friday, April 05, 2002 11:30 AM
To: 'neltner@in.net'
Cc: Heidi Aggeler (E-mail); Kelly Boe (E-mail); Sheryl Sharpe
Subject: FW: [WWW] Consolidated Plan 2002

Thank you for taking time to share your comments and concerns regarding Indiana infrastructure and affordable housing. We will include your comments in the Consolidated Plan Update that we submit to the U.S. Department of Housing and Urban Development (HUD). But more importantly, we will take your comments into consideration when we are making decisions about how to allocate the funds we receive from HUD.

I have forwarded your comments on to Kelly Boe with the Department of Commerce. That agency provides funding for infrastructure improvements through the Community Development Block Grants. I am sure she will see that your concerns are shared with the appropriate people.

The draft executive summary of the Consolidated Plan Update is available to view on our website. You can download this report at <http://www.indianahousing.org>. We will be accepting additional comments at two more public hearings listed below:
April 8: Noblesville Council Chamber
Noblesville City Hall
16 S 10th Street
Noblesville, IN 46060
(317) 776-6324

April 9: Columbus City Hall
123 Washington Street
Columbus, IN 47201
(812) 376-2570

Thank you again for your comments. If I can answer any questions you have about our agency and its programs, feel free to contact me at (800) 872-0371.

-----Original Message-----

From: Tom Neltner [mailto:neltner@in.net]
Sent: Saturday, March 30, 2002 12:41 PM
To: namurphy@ihfa.state.in.us
Subject: [WWW] Consolidated Plan 2002

subject: Consolidated Plan 2002

Name: Tom Neltner
Organization: Improving Kids' Environment
Email: neltner@in.net

Comments:

Two comments:

1. Failing septic systems pose a serious threat to affordable housing. Far more serious than Table 7 suggests. Marion County has 18,000 septic systems many of which must be removed and the homes connected to sewer system. Homeowners are expected to foot most of the bill. The cost of replacement and the limited access to funds to make that happen is likely to result in the foreclosure in many homes. It will undermine the integrity of these neighborhoods. In another example, Allen County is ordering as many as 4000 rural residents to pump and treat their sewage. They estimated that the cost to homeowners may be \$5000 per year. The soil problems that lead to the decision by Allen County Health Department affects many areas of Northeast Indiana. We must find a way to address failing septic systems!

2. Lead poisoning. The HUD regulations have resulted in tremendous progress to reduce lead poisoning. IHFA has taken a leadership role in that progress. However, the HUD rules create a disparity in treatment. Renters and homeowners who do not receive HUD assistance are not protected by the HUD rule. They will continue to get lead poisoned by shoddy contractors who avoid HUD work so they can continue to use dangerous work practices. Recent legislation, HEA-1171 and HEA-1013, will reduce this disparity but more coordination is needed. We need to ensure a low-income family that is not in HUD-subsidized housing can have a home that is just as safe as a HUD Section 8 home.

Thanks for the opportunity to comment.

B1: Submit



"People
helping people
help
themselves"

April 18, 2002



Frank O'Bannon, Governor
State of Indiana

Logansport State Hospital
Division of Mental Health and Addiction
1098 S. STATE ROAD 25
LOGANSPORT, IN 46947-9699
219-722-4141
FAX: 219-735-3414
TDD: 219-732-0069

John Hamilton, Secretary

To Whom It May Concern:

My name is Terry Schrock. I am the Social Service Coordinator at Logansport State Hospital. I have reviewed the 2002 Indiana Consolidated Plan update. I would agree that there is a housing shortage for the mentally ill. At Logansport State Hospital we assess patients need for inpatient stay.

We also are able to determine when a patient is ready for community placement. Unfortunately there are times when a patient has to wait for placement because of lack of housing. This is an area as the Continuum of Care Coordinator that I would like to improve. Is there any information that I could provide that would enhance our chances of obtaining more housing? Please let me know of any upcoming meetings.

Thank you.

Terry Schrock
Social Service Coordinator
Continuum of Care Coordinator

TS/mjh

J.C.A.H.O. Accredited
Equal Opportunity/Affirmative Action Employer





3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Terry Schrock
Social Service Coordinator
Family and Social Services Administration
Logansport State Hospital
1098 South State Road 25
Logansport, IN 46947-9699

Re: The State of Indiana Consolidated Plan FY2002

Dear Terry:

Thank you for your offer to provide the State with additional data for the FY2002 Consolidated Plan Update. As you know, data on the needs of special populations, including persons with mental illnesses, are scarce.

Unfortunately, by the time we received your letter, the public forums and hearings that are part of each State Consolidated Plan process had been completed for 2002. However, the State would appreciate your input in the FY2003 Consolidated Plan process. The State typically holds regional public forums in February or March and public hearings in April. The schedule for the 2003 meetings will most likely be available in December 2002. You may contact Heidi Aggeler at 800.748.3222, x256 or aggeler@bbcresearch.com to get information about the meetings.

Thank you again for your comments. We look forward to your participation in the process in 2003.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Aggeler, Heidi

From: Aggeler, Heidi
Sent: Monday, May 13, 2002 5:04 PM
To: 'George "Bud" Shipley, Jr.'
Subject: RE: Consolidated Plan Public Comment

Mr. Shipley;

Thank you again for your comments about the State Consolidated Plan. We will include your comments in the final Consolidated Plan, which is submitted to and reviewed by HUD. The State will also take your comments into consideration as funds are allocated to housing and community development programs.

The State recognizes that persons with HIV/AIDS often face multiple challenges, e.g., lack of affordable housing, lack of health care, and need for supportive services. Similarly, the State understands that persons with HIV/AIDS who are not receiving appropriate care can be at risk of losing their housing. During the past few years, the State has been moving toward a system that provides a continuum of services to persons with HIV/AIDS and/or are in need of affordable housing and who face additional challenges.

As you know, the primary source of housing and related service needs for persons with HIV/AIDS is the HOPWA grant. For FY2002, the State anticipates receiving about \$750,000 in HOPWA funding. These dollars can be used for rental assistance and supportive services, among other activities. In addition, a variety of affordable housing programs are provided through the HOME and CDBG grants the State also receives from the U.S. Department of Housing and Urban Development (HUD). These programs provide funds for rehabilitation of ownership and rental housing, new construction of affordable housing, and down payment assistance to qualifying homebuyers, among other housing activities.

The Consolidated Plan Committee does not oversee the Section 8 program; it is administered by the Indiana Family and Social Services Administration (FSSA). We are sorry to hear of the problems you encountered in receiving Section 8 assistance and will inform FSSA of your difficulties.

If you would like more information about the HOPWA program and the State's other affordable housing programs funded by HUD, you can find a copy of the draft Plan on the web at <http://www.indianahousing.org>. Hard copies of the final Plan will be available from the Indiana Department of Commerce (1-800-824-2476) in mid-summer.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Heidi Aggeler

Heidi Aggeler
BBC Research & Consulting
3773 Cherry Creek North Drive
Suite 850
Denver, CO 80209
aggeler@bbcresearch.com
303.321.2547

-----Original Message-----

From: Lisa Coffman [<mailto:lcoffman@ihfa.state.in.us>]
Sent: Monday, April 22, 2002 3:14 PM
To: 'George "Bud" Shipley, Jr.'
Cc: Michelle Kincaid; Wendy Landes; Sheryl Sharpe; 'Aggeler, Heidi'

5/14/2002

Subject: Consolidated Plan Public Comment

Mr.. Shipley,

Thank you for taking the time to share your comments and concerns regarding affordable housing options for people living with HIV/AIDS in Indiana. The Indiana Housing Finance Authority (IHFA) will include your comments in the Consolidated Plan Update that we submit to the U.S. Department of Housing and Urban Development (HUD). But more importantly, we will take your comments into consideration when we are making decisions about how to allocate the funds we receive from HUD.

I have forwarded your comments to the Consolidated Plan Committee which includes representation from IHFA, Indiana Department of Commerce and the Family and Social Services Administration. The draft executive summary of the Consolidated Plan Update is available to view on our website. You can download this report at <http://www.indianahousing.org>.

Thank you again for your comments. If I can answer any questions you have about our agency and our response to the housing needs of low income persons with HIV/AIDS in Indiana, feel free to contact me at (800) 872-0371.

Lisa Coffman
IHFA HOPWA Coordinator

-----Original Message-----

From: George "Bud" Shipley, Jr. [mailto:b.shipley@worldnet.att.net]

Sent: Monday, April 22, 2002 11:26 AM

To: Lisa Coffman

Subject: Please forward to Cons. Planning Comm.

P.O. Box 14223
Evansville, IN 47728-6223
812-424-5967
b.shipley@att.net

April 22, 2001

Indiana Consolidated Planning Committee
Indianapolis, Indiana

Ref: HIV Housing Needs for Indiana

Dear Committee Members:

I would ask that when considering the future needs for HIV/AIDS Housing in the State of Indiana that you please consider the needs of those living with HIV and AIDS in your issues.

Some of the problems that consumers face are the limited availability of Section 8 housing assistance. I know that in our community the Section 8 program is limited by having specific/certain time of year enrollment days, then a delayed wait until you are called for your housing appointment and certification to receive assistance. In my case, once I enrolled for

5/14/2002

assistance at one of the open enrollment days it then took at least another six months before I was given an appointment and called in to be certified for receiving Section 8 assistance. I also know for fact that many times on the open enrollment days that the lines of people waiting to sign up may be as long as several hours waiting in line. For someone dealing with health issues such as presented with HIV/AIDS this can be an extreme burden physically.

In our District that is served by the local AIDS Service Organization, 95% of our clients are at or below poverty level. Due to the cost of living, paying rent & utilities, putting food on the table, possibly being faced with Medicaid spend downs that are a burden to meet; and then add in the cost of HIV medications and health care, the need for adequate and appropriate housing becomes that much more important.

If a person is surviving in substandard housing, it becomes very difficult to have or build the appropriate self-esteem and positive mental attitude that is involved and needed to fight and survive this illness. Day to day struggles become insurmountable if one's living conditions cause them to not be able to "hold their head up" and pursue the things they need for survival.

Please, I urge you to take into consideration any housing opportunities that are available to persons living with HIV/AIDS.

Sincerely,
George "Bud" Shipley, Jr.
Chair, Indiana HIV Consumer Advisory Board
Statewide Representative for District 12

"It takes more courage to wear a dress for an hour than it does to wear a suit for a lifetime!"



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 8, 2002

Mr. Jack Norton
605 West 30th Street
Marion, Indiana 46953

Re: Indiana Consolidated Plan Public Hearing

Dear Mr. Norton:

Thank you very much for attending the public hearing about the FY2002 Indiana Consolidated Plan, which was held in Noblesville on April 8, 2002. Your comments about the types of housing you believe the State should provide for persons with mental illnesses and substance abuse were much appreciated. The State will take into account your opinions when funding decisions are being made this year.

In addition to your comments, you asked the State if your rent is scheduled to increase in the near future. On behalf of the State, we have contacted the Joyce House and asked about how they establish rent prices. Your rent is set by a formula that considers how much you earn each month. We are unable to provide you with specific information about your situation, however, because of confidentiality requirements. To know if your rent is going to go up, you will need to talk to your case manager, Jorge Berry. He can be reached at 765.668.6746.

Thank you again for your participation in the Consolidated Planning process.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Date: 4/4/02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1718 North 18th St
Lafayette, IN 47904

Sincerely Yours,

Virginia Clark



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Virginia Clare
1718 N. 18th St.
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Clare:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

In addition to your letter we also received 37 other letters from residents who would prefer to be housed in heterogeneous housing rather than that specifically designated for persons with mental illnesses. We received five letters indicating a preference for being housed in an apartment complex that is solely for persons with mental illnesses. The State will take these preferences into account when allocating project funding in the upcoming program year.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Date: 4/4/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1901 Union St. Apt 250
Lafayette, IN 47904

Sincerely Yours,

James Harris



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. James Harris
1901 Union St. Apt. 250
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Harris:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-4-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 308 Perrin Ave.

Sincerely Yours,

Jeanne Griffith



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Jeanne Griffith
308 Perrin Ave
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Griffith:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: 4/4/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1901 Union #338 21p42904

Sincerely Yours,

Larry L. Brown, II



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Larry Brown, II
1901 Union St. #338
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Brown, II:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-5-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

- ☒ An apartment complex that is for anyone, whether or not they have a mental illness.
- ☐ An apartment complex that is solely or primarily for the mentally ill.
- ☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2258 Yeager Rd
apt A
West Lafayette, In 47906

Sincerely Yours,

Sergio Butz



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Sergio Butz
2258 Yeager Rd. Apt. A
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Butz:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: 4-5-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2525 Richmond CT
APT D
Ellettsville, IN. 4790

Sincerely Yours,

Roger C. Smith



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Roger Smith
2525 Richmond Ct. Apt. D
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Smith:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-5-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 3226 S. 9th ST
APT B
Indianapolis, IN 46209

Sincerely Yours,

Kathleen Howard



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Kathleen Howard
3226 S. 9th St. Apt. B
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Howard:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

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Heidi Aggeler
Director

Date: 4/5/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

 An apartment complex that is for anyone, whether or not they have a mental illness.

 X An apartment complex that is solely or primarily for the mentally ill.

 A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1829 Shoshone Drive Apt 13
Lafayette, IN 47909

Sincerely Yours,

Stephen Phipps



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Stephen Phipps
1829 Shoshone Dr. Apt. 13
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Phipps:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', is written over the printed name.

Heidi Aggeler
Director

Date: 4-8-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 225 S. 4th St. Apt. 2
Lafayette, IN 47909

Sincerely Yours,

Polly Brown



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Polly Brown
225 S. 4th St. Apt. 2
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Brown:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

4-9-02

To Whom it may concern
I am a mental health
consumer from valparaiso In.
I am opposed to housing dollars
being used to construct and
fund group homes for
mentally ill person in
In. My preference and the
preference of others is
to have subsidized ~~fully~~
housing in the general community.
Please consider my input
in your deliberation on
this issue

Sincerely
David Mcieght
2646 VALPARAISO
VALPARAISO IN
46383





3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 14, 2002

Mr. David Moffet
2646 Valparaiso
Valparaiso, IN 46383

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Moffet:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in cursive script that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4/9/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: STEVE SPURGEON
1901 Union St. Apt. 333
LAFAYETTE, IN 47904

Sincerely Yours,

Steve Spurgeon



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Steve Spurgeon
1901 Union St. Apt. 333
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Spurgeon:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

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Sincerely,

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Heidi Aggeler
Director

Date: 4/9/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Frank Gunnix
3859 Wembley Dr. Apt. D
LAFAYETTE, IN. 47905

Sincerely Yours,

Franklin Gunnix



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Frank Gunniott
3859 Wembley Dr. Apt. D
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Gunniott:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

In addition to your letter we also received 37 other letters from residents who would prefer to be housed in heterogeneous housing rather than that specifically designated for persons with mental illnesses. We received five letters indicating a preference for being housed in an apartment complex that is solely for persons with mental illnesses. The State will take these preferences into account when allocating project funding in the upcoming program year.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Brian

Date: 4-9-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

Maybe ☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Brian Snoddy
1817 Shoshone #23
Lafayette, IN 47905

Sincerely Yours,

X Brian K Snoddy



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Brian Snoddy
1817 Shoshone #23
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Snoddy:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-9-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2450 S. Earl Ave. #18
Laf. Ind. 47905

Sincerely Yours,

Sandra Beavers



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Sandra Beavers
2450 S. Earl Ave. #18
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Beavers:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Heidi Aggeler', written in black ink.

Heidi Aggeler
Director

Date: 4/10/02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Jerry R. Wilson
1901 Union St. Apt 218
LA Fayette, IN 47904

Sincerely Yours,

Jerry R Wilson



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Jerry Wilson
1901 Union St. Apt. 218
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Wilson:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4/10/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Michael Dailay
2015 MEhanny St.
LAFAYETTE, IN 47904

Sincerely Yours,

Michael D. Dailay



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Michael Dailey
2015 Mehanny St.
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Dailey:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', is written over the printed name.

Heidi Aggeler
Director

Date: 4/19/08

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Glenn Mosey
1901 Union St Apt 118
LAFAYETTE, IN 47904

Sincerely Yours,

Glenn Mosey



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Gean Mosey
1901 Union St. Apt. 118
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Mosey:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: 4-10-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1901 Union St. #342
Lafayette, IN 47905

Sincerely Yours,

X Lathey Wampler



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Lathey Wampler
1901 Union St. #342
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Wampler:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

2/10/02

Date: 4-10-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 276 Smith St
Lafayette, In 47905

Sincerely Yours,

Lisa Jensen



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Lisa Jensen
276 Smith St.
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Jensen:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: 4-10-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Sylvia Carnes
601 Tippecanoe St. Apt. 12
Lafayette, Indiana 47904

Sincerely Yours,

Sylvia Carnes



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Sylvia Carnes
601 Tippecanoe St. Apt. 12
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Carnes:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

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Heidi Aggeler
Director

Wend

Date: 4-11-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 3220 S 9th #C
Lafayette, In 47909

Sincerely Yours,

X David J Engstrom



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. David Engstrom
3220 S. 9th #C
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Engstrom:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Date: 4/11/2002

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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- ☒ An apartment complex that is for anyone, whether or not they have a mental illness.
- ☐ An apartment complex that is solely or primarily for the mentally ill.
- ☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Robb A Weth
3595 Chauncey Village
Ind. Ind 47907

Sincerely Yours,

Robb A Weth



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Ruth Welth
3595 Chauncy Village
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Welth:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4/22/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

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☐ An apartment complex that is for anyone, whether or not they have a mental illness.

☒ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 115 Stonecrest Apt. 1901
Union Lafayette Indiana 47905
1901 Union St. Apt. #115
Laf, IN 47904

Sincerely Yours,

John Charles Schoonover



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. John Schoorover
1901 Union St. Apt. 115
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Schoorover:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

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Heidi Aggeler
Director

Date: 4-22-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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_____ An apartment complex that is for anyone, whether or not they have a mental illness.

☒ An apartment complex that is solely or primarily for the mentally ill.

_____ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Susan Dietz
1022 N. 21st St
Lat., IN 47904

Sincerely Yours,

Susan Dietz



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Susan Diety
1022 N. 21st St.
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Diety:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-22-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

~~☐ An apartment complex that is solely or primarily for the mentally ill.~~

~~☐ A group home for the mentally ill.~~

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1022^{N.} 21ST. STREET
LAFAYETTE IND.

Sincerely Yours,

Craig A. Stevenson



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Craig Stevenson
1022 N. 21st St.
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Stevenson:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: 4-13-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2023 Stillwell St Apt A
Lafayette, In.
47905

Sincerely Yours,

Lani L. Ramey



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Lori Ramey
2023 Stillwell St. Apt. A
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Ramey:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Date: 4-23-2002

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Tippecanoe Villa
5307 N. 50 W.
West Laf, IN 47906

Sincerely Yours,

Elaine Stapan



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Elaine Stapan
5307 N. 50 W.
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Stapan:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4/23/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1022 W. 21st street

Sincerely Yours,

James Mailland



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. James Maillant
1022 N. 21st St.
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Maillant:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Date: 4/23/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2102 BANSTEAD COURT
LAFAYETTE, INDIANA
47904

Sincerely Yours,

Beth Ann Halt



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Beth Halt
2102 Banstead Court
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Halt:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4/23/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1817-14 Shoshone Drive
Lafayette, Ind.
47909

Sincerely Yours,

Lori A. Bacon



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Lori Bacon
1817-14 Shoshone Dr.
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Bacon:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent than the last name 'Aggeler'.

Heidi Aggeler
Director

Date: 4/23

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☐ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☒ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2304 Gregory Ave
LAFAYET

Sincerely Yours,

Steve Wade



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Steve Wade
2304 Gregory Ave.
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Wade:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-5-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248



Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

- ☒ An apartment complex that is for anyone, whether or not they have a mental illness.
- ☐ An apartment complex that is solely or primarily for the mentally ill.
- ☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2525 Richmond CT
APT D
Lyonsville, IN. 4790

Sincerely Yours,

Roger C. Smith



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Fred Smith
1901 Union St. Apt. 112
Lafayette, IN 47904

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Smith:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is fluid and cursive, with the first name 'Heidi' being more prominent.

Heidi Aggeler
Director

Date: 4/23/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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- ☒ An apartment complex that is for anyone, whether or not they have a mental illness.
- ☐ An apartment complex that is solely or primarily for the mentally ill.
- ☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 5307⁵⁰ N. West
West Lafayette Ind
47906

Sincerely Yours,

Pauline Conley



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Pauline Conley
5307 N. 50 W.
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Conley:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-23-02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 3232 South 9th Street
Apt. B
La Fayette Indiana 47909

Sincerely Yours,

Donald L. Prather



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Donald Prather
3232 South 9th St. Apt. A
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Prather:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 7-23-08

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☐ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☒ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Tipacave Villa
W. Laf. In
47706

Sincerely Yours,

Marilyn Berkshire



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Marilyn Burkshire
5307 N. 50 W.
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Burkshire:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: 4-5-02



Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 3226 S. 9th ST
APT B
Indianapolis, IN. 46209

Sincerely Yours,

Kathleen Howard



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Vivian Howard
5307 N. 50 W.
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Howard:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Date: _____

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

- ☒ An apartment complex that is for anyone, whether or not they have a mental illness.
- ☐ An apartment complex that is solely or primarily for the mentally ill.
- ☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address:

Walter McNeal
407 Perry Street Apt 512
Bourgette Rd 47190

Sincerely Yours,

Walter McNeal



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Walter McNeal
407 Ferry St. Apt. 312
Lafayette, IN 47909

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. McNeal:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

In addition to your letter we also received 37 other letters from residents who would prefer to be housed in heterogeneous housing rather than that specifically designated for persons with mental illnesses. We received five letters indicating a preference for being housed in an apartment complex that is solely for persons with mental illnesses. The State will take these preferences into account when allocating project funding in the upcoming program year.

Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: _____

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: Gregory!
2304 Gregory Avenue
Lafayette, Indiana 47905

Sincerely Yours,

Diane S Bee



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Diane Bee
2304 Gregory Ave.
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Bee:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Date: 4/23/02

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

It has been brought to my attention that there seems to be some confusion about housing preferences of persons diagnosed with a mental illness. To help clarify my preferences, I have checked the housing option I most prefer.

☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 1901 Union St. Apt. 112
Lafayette, In. 47904
Fred Smith

Sincerely Yours,

Fred Smith



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Ronald Smith
3852 Harrow Ct. Apt. C
Lafayette, IN 47905

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Smith:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'.

Heidi Aggeler
Director

Date: _____

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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☒ An apartment complex that is for anyone, whether or not they have a mental illness.

☐ An apartment complex that is solely or primarily for the mentally ill.

☐ A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: 2450 SYCAMORE LANE APT. 4A
WEST LAFAYETTE, IN 47906-1951

Sincerely Yours,

Michael E. Mortenson



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Ms Michael Mortenson
2450 Sycamore Lane. Apt. 4A
West Lafayette, IN 47909-1951

Re: The State of Indiana Consolidated Plan FY2002

Dear Ms Mortenson:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Sincerely,

A handwritten signature in black ink that reads 'Heidi Aggeler'. The signature is written in a cursive, flowing style.

Heidi Aggeler
Director

Date: _____

Consolidated Plan, IN. Dept. Of Commerce
Controller's Office, Grants Management Division
One North Capital Ave., Suite 700
Indianapolis, In. 46204-2248

Re: Housing Preferences

To Whom It May Concern,

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a An apartment complex that is for anyone, whether or not they have a mental illness.

a An apartment complex that is solely or primarily for the mentally ill.

a A group home for the mentally ill.

If you have further questions about my housing preferences, please contact me at the following address.

Address: my home 90 NEWMIAN
ROAD West Lafayette

Sincerely Yours,

Mike Teuler



3773 Cherry Creek North Drive
Suite 850
Denver, Colorado 80209-3827
303.321.2547 fax 303.399.0448
www.bbcresearch.com
bbc@bbcresearch.com

May 15, 2002

Mr. Mike Teler
90 S. Newman Rd.
West Lafayette, IN 47906

Re: The State of Indiana Consolidated Plan FY2002

Dear Mr. Teler:

Thank you for your comments on the State of Indiana's Draft Consolidated Plan. The state worked hard to involve citizens and stakeholders in the planning process. We appreciate your writing about your preference for housing persons with mental illnesses.

Special needs groups, including persons with mental illnesses, need a combination of housing and community services to ensure quality of life. The State recognizes that the needs of special needs groups range from an intensive, high level of services to very minor assistance, and that State programs must be flexible to accommodate all levels of need. The four programs covered under the Consolidated Plan – the Community Development Block Grant, the HOME Investment Partnerships Program, the Emergency Shelter Grant Program, and the Housing Opportunities for Persons with AIDS Program – all fund a range of housing and supportive services for Indiana's citizens.

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Thank you again for your comments. We hope you will stay involved in the Consolidated Plan process in the future.

Sincerely,

A handwritten signature in black ink, appearing to read 'Heidi Aggeler', written in a cursive style.

Heidi Aggeler
Director

Rhode, Glissen

From: Aggeler, Heidi
Sent: Monday, May 13, 2002 5:11 PM
To: Rhode, Glissen
Subject: FW: New Indiana Consolidated Plan

To whom it concerns:

I want my comments to be taken in consideration regarding the new consolidated plan for Indiana. I am a 29 yr old single mom with a mental illness. I have been a Hoosier all of my life. I want to live in housing with persons of all diverse types, disabled or not. I believe anyone with a mental disability should be able to live in an integrated community. I would never want to be placed in a group home with just other mentally challenged people. The suggestion of such a thing reminds me of how the blacks and whites use to be segregated. It's just inhumane. I am currently living in Columbus, IN. I would love to attend the public hearing but I have scheduled group therapy. I hope you take my comments in consideration. Thanks!

APPENDIX F.
2001 Fund Allocations

APPENDIX F.

2001 Fund Allocations

Funding allocations for the 2001 fiscal year are presented in this appendix. The following provides summary distributions for each of the respective programs.

Indiana Department of Commerce, CDBG Program

The State was awarded approximately \$38.1 million in CDBG funds in 2001. The majority of this funding, \$25 million, was allocated to the Community Focus Fund (CFF) Program. A variety of projects were funded through the CFF, including:

- Community service and family service centers totaling \$1.2 million;
- A facility for adults who are disabled at \$470,000;
- A Head Start center at \$490,000;
- Several fire stations and fire trucks at \$2 million;
- A library at \$925,000;
- \$860,000 in historic preservation grants;
- \$1.4 million in neighborhood revitalization grants;
- Senior centers at \$1.2 million;
- Improvements to storm water systems at \$3.4 million;
- Improvements to water and sewer infrastructure at \$12.6 million; and
- Affordable housing infrastructure at \$330,000.

The Community Economic Development Fund received \$3 million in 2001. These funds were used for projects that supported economic development, including construction of infrastructure; purchase of real property and equipment; job-training costs for low and moderate income individuals; and environmental improvements. The Housing Development Fund was allocated \$5 million; uses of these funds are discussed in the IHFA allocation section below. The Planning Fund was allocated \$1.5 million to support planning activities that assist local governments with community development. Three cities received a total of \$988,000 of grants through the Brownfield Initiative.

Indiana Housing Finance Authority, HOME Program

IHFA was awarded \$14.1 million in HOME funds during FY2001. In addition, IHFA administered \$5 million of CDBG funds through the IDOC Housing Development Fund. About 95 percent of the \$5 million in the Housing Development Fund (HDF) was dedicated to the Housing from Shelters to Homeownership program; 5 percent, or about \$240,000, funded housing needs assessments and feasibility studies. The majority of the CDBG funds (\$4 million or 83 percent) dedicated to Housing from Shelters to Homeownership funded owner occupied rehabilitation projects. The funds were also used for rental housing and migrant/seasonal farmworker housing.

The majority (\$7 million or 60 percent) of HOME grant monies were allocated to Housing from Shelters to Homeownership, which funded a variety of projects, including transitional housing, rental housing, lease purchase units, owner occupied housing and homeownership counseling and downpayment assistance. The HOME grant also funded predevelopment loans, seed money loans, and operating grants for CHDOs; first time homebuyer downpayment assistance; and supplemented rental housing tax credit programs.

A complete accounting of these allocations is located with the HOME Allocation Plan in Appendix G.

Indiana Housing Finance Authority, HOPWA Program

IHFA was awarded \$686,000 in HOPWA funding for program year 2001. Funds were distributed to eleven organizations across the state. HOPWA grant dollars funded the following activities in 2001:

- Tenant based rental assistance at \$362,000;
- Short term rental assistance at \$138,000;
- Acquisition, rehabilitation, and repair at \$12,000;
- Supportive services at \$82,050;
- Housing information services at \$25,000;
- Resource identification services at \$2,000;
- Program administration at \$43,071;
- Operating costs at \$5,162; and
- Technical assistance at \$1,000.

Indiana Family and Social Services Administration

The total dollar amount awarded to ESG grantees during FY2001 was \$1.7 million. ESG funds were allocated to essential services (\$344,000 or 20 percent of funding), shelter operations (approximately \$1.2 million, or 66 percent of funding) and homeless prevention activities (\$182,000 or 10 percent of funding). This allocation supported more than 3,400 beds and 25,000 clients. The funds provided support to individuals representing the following population groups:

- Chemically dependent persons;
- Unaccompanied/pregnant unaccompanied women;
- Single parent families;
- Two parent families;
- Adult couples with kids;
- Victims of domestic violence;
- Victims of sexual assault;
- Neglected and abused children;
- Persons living with AIDS/HIV;
- Unaccompanied adult males and adult males; and
- Complete families.

APPENDIX G.
2002 Allocation Plan

APPENDIX G.

2002 Allocation Plan

This appendix presents the FY2002 allocation plans for the Indiana Department of Commerce – administrator of the CDBG grant program; the Indiana Housing Finance Authority – administrator of HOME funding and HOPWA funding; and the Family and Social Services Administration – administrator of the ESG program.

CDBG Allocation Plan

STATE OF INDIANA
STATE COMMUNITY DEVELOPMENT BLOCK GRANT
(CDBG) PROGRAM (CFDA: 14-228)
INDIANA DEPARTMENT OF COMMERCE
FY 2002 PROGRAM DESIGN AND METHOD OF DISTRIBUTION

GENERAL BACKGROUND INFORMATION AND NATIONAL CDBG OBJECTIVES

The State of Indiana, through the Indiana Department of Commerce, assumed administrative responsibility for Indiana's Small Cities Community Development Block Grant (CDBG) Program in 1982, under the auspices of the U.S. Department of Housing and Urban Development (HUD). In accordance with 570.485(a) and 24 CFR Part 91, the State must submit a Consolidated Plan Update to HUD by May 15th of each year following an appropriate citizen participation process pursuant to 24 CFR Part 91.325, which prescribes the State's Consolidated Plan Update process as well as the proposed method of distribution of CDBG funds for 2002. **The State of Indiana's anticipated allocation of federal Community Development Block Grant (CDBG) funds for FY 2002 is \$37,879,000.**

This document applies to all federal Small Cities CDBG funds allocated by HUD to the State of Indiana, through its Department of Commerce. **During FY 2002, the State of Indiana does not propose to pledge a portion of its present and future allocation(s) of Small Cities CDBG funds as security for Section 108 loan guarantees provided for under Subpart M of 24 CFR Part 570 (24 CFR 570.700).**

The primary objective of Indiana's Small Cities CDBG Program is to assist in the development and re-development of viable Indiana communities by using CDBG funds to provide a suitable living environment and expand economic opportunities, principally for low and moderate income persons.

Indiana's program will place emphasis on making Indiana communities a better place in which to reside, work, and recreate. Primary attention will be given to activities, which promote long term community development and create an environment conducive to new or expanded employment opportunities for low and moderate income persons.

Activities and projects funded by the Department of Commerce must be eligible for CDBG assistance pursuant to 24 CFR 570, et. seq., and meet one of the three (3) national objectives prescribed under the Federal Housing and Community Development Act, as amended (Federal Act). To fulfill a national CDBG objective a project must meet one (1) of the following requirements pursuant to Section 104 (b)(3) of the Federal Act, and 24 CFR 570.483, et seq., and must be satisfactorily documented by the recipient:

1. Principally benefit persons of low and moderate income families; or,
2. Aid in the prevention or elimination of slums and blight; or,
3. Undertake activities, which have urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where no other financial resources are available to meet such needs.

In implementing its FY 2002 CDBG Consolidated Plan Update, the Indiana Department of Commerce will pursue the following goals respective to the use and distribution of FY 2002 CDBG funds:

GOAL 1: Invest in the needs of Indiana's low and moderate income citizens in the following areas:

- a. Safe, sanitary and suitable housing
- b. Child care
- c. Health services
- d. Homelessness
- e. Job creation, retention and training
- f. Self-sufficiency for special needs groups
- g. Senior lifestyles

The Department of Commerce will pursue this goal of **investing in the needs of Indiana's low and moderate income citizens** and all applicable strategic priorities by distributing CDBG funds in a manner which promotes suitable housing, viable communities and economic opportunities.

GOAL 2: Invest in the needs of Indiana's communities in the following areas:

- a. Housing preservation, creation and supply of suitable rental housing
- b. Neighborhood revitalization
- c. Public infrastructure improvements
- d. Provision of clean water and public solid waste disposal
- e. Special needs of limited-clientele groups
- f. Assist local communities with local economic development projects, which will result in the attraction, expansion and retention of employment opportunities for low and moderate income persons

The Department of Commerce will pursue this goal of investing in the needs of Indiana's communities and all applicable strategic priorities by distributing CDBG funds in a manner which promotes suitable housing, preservation of neighborhoods, provision and improvements of local public infrastructure and programs which assist persons with special needs. The Department of Commerce will also pursue this goal by making CDBG funds available to projects, which will expand and/or retain employment opportunities for low and moderate income persons.

GOAL 3: Invest CDBG funds wisely and in a manner which leverages all tangible and intangible resources:

- a. Leverage CDBG funds with all available federal, state and local financial and personal resources
- b. Invest in the provision of technical assistance to CDBG applicants and local capacity building
- c. Seek citizen input on investment of CDBG funds
- d. Coordination of resources (federal, state and local)
- e. Promote participation of minority business enterprises (MBE) and women business enterprises (WBE)
- f. Use performance measures and continued monitoring activities in making funding decisions

The Department of Commerce will pursue this goal of **investing CDBG wisely** and all applicable strategic priorities by distributing CDBG funds in a manner, which promotes exploration of all alternative resources (financial and personal) when making funding decisions respective to applications for CDBG funding.

PROGRAM AMENDMENTS

The Indiana Department of Commerce reserves the right to transfer up to ten percent (10%) of each fiscal year's available allocation of CDBG funds (i.e. FY 2002 as well as prior-years' reversions balances) between the programs described herein in order to optimize the use and timeliness of distribution and expenditure of CDBG funds, without formal amendment of this Consolidated Plan Update.

The Department of Commerce will provide citizens and general units of local government with reasonable notice of, and opportunity to comment on, any substantial change proposed to be made in the use of FY 2002 CDBG as well

as reversions and residual available balances of prior-years' CDBG funds. "Substantial Change" shall mean the movement between programs of more than ten percent (10%) of the total allocation for a given fiscal year's CDBG funding allocation, or a major modification to programs described herein. The Department of Commerce, in consultation with the Indianapolis office of the US Department of Housing and Urban Development (HUD), will determine those actions, which may constitute a "substantial change".

The State (IDOC) will formally amend its FY 2002 Consolidated Plan Update if the Department of Commerce's **Method of Distribution for FY 2002 and prior-years funds** prescribed herein is to be significantly changed. The IDOC will determine the necessary changes, prepare the proposed amendment, provide the public and units of general local government with reasonable notice and opportunity to comment on the proposed amendment, consider the comments received, and make the amended FY 2002 Consolidated Plan Update available to the public at the time it is submitted to HUD. In addition, the Department of Commerce will submit to HUD the amended Consolidated Plan Update before the Department implements any changes embodied in such program amendment.

ELIGIBLE ACTIVITIES/FUNDABILITY

All activities, which are eligible for federal CDBG funding under Section 105 of the Federal Housing and Community Development Act of 1974, as amended (Federal Act), are eligible for funding under the Indiana Department of Commerce's FY 2002 CDBG program. However, the Indiana Department of Commerce reserves the right to prioritize its method of funding; the Department of Commerce prefers to expend federal CDBG funds on activities/projects which will produce tangible results for principally low and moderate income persons in Indiana. Funding decisions will be made using criteria and rating systems, which are used for the State's programs and are subject to the availability of funds. It shall be the policy under the state program to give priority to using CDBG funds to pay for actual project costs and not to local administrative costs. **The State of Indiana certifies that not less than seventy-percent (70%) of FY 2002 CDBG funds will be expended for activities principally benefiting low and moderate income persons, as prescribed by 24 CFR 570.484, et. seq.**

ELIGIBLE APPLICANTS

1. All Indiana counties, cities and incorporated towns which do not receive CDBG entitlement funding directly from HUD or are not located in an "urban county" or other area eligible for "entitlement" funding from HUD.
2. All Indian tribes meeting the criteria set forth in Section 102 (a)(17) of the Federal Act.

In order to be eligible for CDBG funding, applicants may not be suspended from participation in the HUD-funded CDBG Programs or the Indiana Department of Commerce due to findings/irregularities with previous CDBG grants or other reasons. In addition, applicants may not be suspended from participation in the state CDBG-funded projects administered by the Indiana Housing Finance Authority (IHFA), such funds being subcontracted to the IHFA by the Department of Commerce.

Further, in order to be eligible for CDBG funding, applicants may not have overdue reports, overdue responses to monitoring issues, or overdue grant closeout documents for projects funded by either the Department of Commerce or IHFA projects funded using state CDBG funds allocated to the IHFA by the Department of Commerce. All applicants for CDBG funding must fully expend all CDBG Program Income as defined in 24 CFR 570.489(e) prior to, or as a part of the proposed CDBG-assisted project, in order to be eligible for further CDBG funding from the State. This requirement shall not apply to principal and interest balances within a local CDBG Revolving Loan Fund approved by the Department of Commerce pursuant to 24 CFR 570.489.

Other specific eligibility criteria are outlined in **General Selection Criteria** provided herein.

FY 2002 FUND DISTRIBUTION

Sources of Funds:

FY 2002 CDBG Allocation	\$ 37,879,000
CDBG Program Income(a)	<u>0</u>
Total:	<u>\$ 37,879,000</u>

Uses of Funds:

1. Community Focus Fund (CFF)	\$ 24,642,630
2. Housing Program	5,000,000
3. Community Economic Development Fund	4,000,000
4. Quick Response Fund	0
5. Brownfield Initiative	1,400,000
6. Technical Assistance Fund	378,790
7. Planning Fund	1,600,000
8. Administration	<u>857,580</u>
Total:	<u>\$ 37,879,000</u>

(a) The State of Indiana (Department of Commerce) does not project receipt of any CDBG program income for the period covered by this FY 2002 Consolidated Plan Update. In the event the Department of Commerce receives such CDBG Program Income, such moneys will be placed in the Community Focus Fund for the purpose of making additional competitive grants under that program. Reversions of other years' funding will be placed in the Community Focus Fund for the specific year of funding reverted. The State will allocate and expend all CDBG Program Income funds received prior to drawing additional CDBG funds from the US Treasury. However, the following exceptions shall apply:

1. This prior-use policy shall not apply to housing-related grants made to applicants by the Indiana Housing Finance Authority (IHFA), a separate agency, using CDBG funds allocated to the IHFA by the Department of Commerce.
2. CDBG program income funds contained in a duly established local Revolving Loan Fund(s) for economic development or housing rehabilitation loans which have been formally approved by the Department of Commerce. However, all local revolving loan funds must be "revolving" and cannot possess a balance of more than \$50,000 at the time of application of additional CDBG funds.
3. Program income generated by CDBG grants awarded by the Department of Commerce (State) using FY 2002 CDBG funds must be returned to the Department of Commerce, however, such amounts of less than \$25,000 per calendar year shall be excluded from the definition of CDBG Program Income pursuant to 24 CFR 570.489.

All obligations of CDBG program income to projects/activities, except locally-administered revolving loan funds approved by the Department of Commerce, require prior approval by the Department of Commerce. This includes use of program income as matching funds for CDBG-funded grants from the IHFA. Applicable parties should contact the Grants Management Section of the Controller's Office of the Indiana Department of Commerce at (317) 232-8333 for application instructions and documents for use of program income prior to obligation of such funds.

Furthermore, U.S. Department of Treasury regulations require that CDBG program income cash balances on hand be expended on any active CDBG grant being administered by a grantee before additional federal CDBG funds are requested from the Department of Commerce. These US Treasury regulations apply to projects funded both by

IHFA and the Department of Commerce. Eligible applicants with CDBG program income should strive to close out all active grant projects presently being administered before seeking additional CDBG assistance from the Department of Commerce or IHFA.

Eligible applicants with CDBG program income should contact the Grants Management Section of the Controller's Office of the Department of Commerce at (317) 232-8333 for clarification before submitting an application for CDBG financial assistance.

METHOD OF DISTRIBUTION

The choice of activities on which the State (Department of Commerce) CDBG funds are expended represents a determination by Department of Commerce and eligible units of general local government, developed in accordance with the Department's CDBG program design and procedures prescribed herein. The eligible activities enumerated in the following Method of Distribution are eligible CDBG activities as provided for under Section 105(a) of the Federal Act, as amended.

All projects/activities funded by the State (Department of Commerce) will be made on a basis which addresses one (1) of the three (3) national objectives of the Small Cities CDBG Program as prescribed under Section 104(b)(3) of the Federal Act and 24 CFR 570.483 of implementing regulations promulgated by HUD. CDBG funds will be distributed according to the following Method of Distribution (program descriptions):

A. Community Focus Fund (CFF): \$24,642,630

The Department Commerce will award community Focus Fund (CFF) grants to eligible applicants to assist Indiana communities in the areas of public facilities, housing-related infrastructure, and all other eligible community development needs/projects. Applications for economic development activities may not be appropriate for the CFF Program. Applications for funding, which are applicable to local economic development and/or job-related training projects, should be pursued under the Department of Commerce's Community Economic Development Fund (CEDF). Projects eligible for consideration under the CEDF program under this Method of Distribution shall generally not be eligible for consideration under the CFF Program. Eligible activities include applicable activities listed under Section 105(a) of the Federal Act. Typical Community Focus Fund (CFF) projects include, but are not limited to:

1. Local infrastructure improvements (i.e. water, sewer, street and related improvements);
2. Construction of other public facilities (i.e. day-care centers, senior centers, etc.);
3. Commercial rehabilitation and downtown revitalization projects; and,
4. Special purpose facilities for "limited clientele" populations;

Applications will be accepted and awards will be made on a competitive basis two (2) times a year. Approximately one-half of available CFF funds shall be budgeted for each funding round and awards will be scored competitively based upon the following criteria (total possible numerical score of 1,000 points):

1. Economic and Demographic Characteristics: 450 Points - Variable by Each Application:

- a. National Objective Score: 200 points
- b. Community distress factors: 250 points

2. Project Design Factors: 450 Points - Variable by Each Application:

- a. Financial impact
- b. Project need
- c. Local effort

3. Local Match Contribution: 100 Points - Variable by Each Application

The specific threshold criteria and basis for project point awards for CFF grant awards are provided in attachments hereto. The Community Focus Fund (CFF) Program shall have a maximum grant amount of \$500,000 for each project and each applicant may apply for only one project in a grant cycle. The only exception to this \$500,000 limit will be for those CFF applicants who apply for the Department of Commerce's Minority Business Enterprise (MBE) Utilization Program. Under this program, the Department of Commerce will allocate an additional amount

of CDBG-CFF grant funds to those applicants who apply for participation in the MBE program and who are awarded CFF grants. The maximum additional allocation to the CFF grant amount will be five-percent (5%) of the total amount of CDBG allocated to each CFF budget line item to be considered participatory for such MBE utilization, limited to \$25,000 ($\$500,000 \times 0.05 = \$25,000$).

Projects will be funded in two (2) cycles each year with approximately a six (6) month pre-application and final-application process. Projects will compete for CFF funding and be judged and ranked according to a standard rating system (Attachment D). The highest ranking projects will be funded to the extent of funding available for each specific CFF funding cycle/round. The Department of Commerce will provide eligible applicants with adequate notice of deadlines for submission of CFF proposal (pre-application) and full applications. Specific threshold criteria and point awards are explained in Attachments C and D to this Consolidated Plan Update.

For the CFF Program, the cost/beneficiary ratio for CDBG funds granted will be maintained at a reasonable rate, except for daycare and housing-related projects where that ratio will not exceed \$10,000 per beneficiary.

B. Housing Program: \$5,000,000

The State (Department of Commerce) has contracted with the Indiana Housing Finance Authority (IHFA) to administer funds allocated to the State's Housing Program. The Indiana Housing Finance Authority will act as the administrative agent on behalf of the Indiana Department of Commerce. Please refer to the Indiana Housing Finance Authority's portion of this FY 2002 Consolidated Plan Update for the method of distribution of such subcontracted CDBG funds from the Department of Commerce to the IHFA.

C. Community Economic Development Fund/Program: \$4,000,000

The Community Economic Development Fund (CEDF) will be available through the Development Finance Division of the Indiana Department of Commerce. This fund will provide funding for various eligible economic development activities pursuant to 24 CFR 507.203. The CEDF Program will have a sub-program entitled the Industrial Development Infrastructure Program (IDIP), hereunder the Department of Commerce will give priority for CEDF-IDIP funding to construction of off-site and on-site infrastructure projects in support of low and moderate income employment opportunities.

Eligible CEDF activities will include any eligible activity under 24 CFR 570.203, to include the following:

1. Construction of infrastructure (public and private) in support of economic development projects;
2. Loans or grants by applicants for the purchase of manufacturing equipment;
3. Loans or grants by applicants for the purchase of real property and structures (includes vacant structures);
4. Loans or grants by applicants for the rehabilitation of facilities (vacant or occupied);
5. Loans or grants by applicants for the purchase and installation of pollution control equipment;
6. Loans or grants by applicants for the mitigation of environmental problems via capital asset purchases;

Eligible CEDF activities will also include grants to applicants for job-training costs for low and moderate income persons as a limited clientele activity under 24 CFR 570.483(b)(2)(v).

Projects/applications will be evaluated using the following criteria:

1. The importance of the project to Indiana's economic development goals;
2. The number and quality of new jobs to be created;
3. The economic needs of the affected community;
4. The economic feasibility of the project and the financial need of the affected for-profit firm, or not-for-profit corporation; the availability of private resources;
5. The level of private sector investment in the project.

Grant applications will be accepted and awards made until funding is no longer available. The intent of the program is to provide necessary public improvements and/or job training for an economic development project to encourage the creation of new jobs. In some instances, the Department of Commerce may determine that the needed facilities/improvements may also benefit the project area as a whole (i.e. certain water, sewer, and other public facilities improvements), in which case the applicant will be required to also meet the “area basis” criteria for funding under the Federal Act.

1. Beneficiaries and Job Creation/Retention Assessment:

The assistance must be reasonable in relation to the expected number of jobs to be created or retained by the benefiting business(es) within 12 months following the date of substantial completion of project construction activities. Before CDBG assistance will be provided for such an activity, the applicant unit of general local government must develop an assessment, which identifies the businesses located or expected to locate in the area to be served by the improvement. The assessment must include for each identified business a projection of the number of jobs to be created or retained as a result of the public improvements.

2. Public Benefit Standards:

The Department of Commerce will conform to the provisions of 24 CFR 570.482(f) for purposes of determining standards for public benefit and meeting the national objective of low and moderate income job creation or retention will be all jobs created or retained as a result of the public improvement, financial assistance, and/or job training by the business(es) identified in the job creation/retention assessment in 1 above. The investment of CDBG funds in any economic development project shall not exceed an amount of \$35,000 per job created; at least fifty-one percent (51%) of all such jobs, during the project period, shall be given to, or made available to, low and moderate income persons.

Projects will be evaluated on the amount of private investment to be made, the number of jobs for low and moderate income persons to be created or retained, the cost of the public improvement and/or job training to be provided, the ability of the community (and, if appropriate, the assisted company) to contribute to the costs of the project, and the relative economic distress of the community. Actual grant amounts are negotiated on a case by case basis and the amount of assistance will be dependent upon the number of new full-time permanent jobs to be created and other factors described above. Construction and other temporary jobs may not be included. Part-time jobs are ineligible in the calculating equivalents. Grants made on the basis of job retention will require documentation that the jobs will be lost without such CDBG assistance and a minimum of fifty-one percent (51%) of the beneficiaries are of low and moderate income.

Pursuant to Section 105(e)(2) of the Federal Act as amended, and 24 CFR 570.209 of related HUD regulations, CDBG-CEDF funds allocated for direct grants or loans to for-profit enterprises must meet the following tests, (1) project costs must be reasonable, (2) to the extent practicable, reasonable financial support has been committed for project activities from non-federal sources prior to disbursement of federal CDBG funds, (3) any grant amounts provided for project activities do not substantially reduce the amount of non-federal financial support for the project, (4) project activities are determined to be financially feasible, (5) project-related return on investment are determined to be reasonable under current market conditions, and, (6) disbursement of CDBG funds on the project will be on an appropriate level relative to other sources and amounts of project funding.

A need (financial gap), which is not directly available through other means of private financing, should be documented in order to qualify for such assistance; the Department of Commerce will verify this need (financial gap) based upon historical and/or pro-forma projected financial information provided by the for-profit company to be assisted. Applications for loans based upon job retention must document that such jobs would be lost without CDBG assistance and a minimum of fifty-one percent (51%) of beneficiaries are of low-and-moderate income, or the recipient for-profit entity agrees that for all new hires, at least 51% of such employment opportunities will be given to, or made available to, persons of low and moderate income. All such job retention/hiring performance must be documented by the applicant/grantee, and the DOC reserves the right to track job levels for an additional two (2) years after administrative closeout.

D. Brownfields Initiative

The Department of Commerce will set aside \$1,400,000 of its FY 2002 CDBG funds for a brownfields initiative. The Department of Commerce will make grants to units of local government to carry out various activities eligible under 24 CFR 507.201-203, in order to facilitate the redevelopment of brownfield properties. The Department will award such grants on a competitive basis. The Department's Community Development Division will coordinate this initiative.

E. The Quick Response Fund: \$0

The Quick Response Fund will be available to eligible applicants on a continuing basis. These activities must be eligible for funding under the "urgent need" national objective of the Federal Act and requirements of 24 CFR 570.208 and 24 CFR 570.483 of applicable HUD regulations.

The Quick Response Fund program will be available to eligible applicants to meet an imminent threat to the health and safety of local populations. The grants may be funded as made available through Focus Fund or reversions when not budgeted from the annual allocation. Special selection factors include need, proof of recent threat of a catastrophic nature, statement of declared emergency and inability to fund through other means. Projects will be developed with the assistance of the Community Development Division as a particular need arises. To be eligible, these projects and their activities must meet the "urgent need" national objective of Section 104(b)(3) of the Federal Act. Generally, projects funded are those, which need immediate attention and are, therefore, inappropriate for consideration under the Community Focus Fund. The types of projects, which typically receive funding, are municipal water systems (where the supply of potable water has been threatened by severe weather conditions) and assistance with demolition or cleanup after a major fire, flood, or other natural disaster. Although all projects will be required to meet the "urgent need" national objective, the Department of Commerce may choose to actually fund the project under one of the other two national objectives, if it deems it expedient to do so. Applicants must adequately document that other financial resources are not available to meet such needs pursuant to Section 104(b)(3) of the Federal Act and 24 CFR 570.483 of HUD regulations.

Only that portion of a project, which addresses an immediate need, should be addressed. This is particularly true of municipal water or sewer system projects, which tend to need major reinvestment in existing plants or facilities, in addition to the correction of the immediate need. The amount of grant award is determined by the individual circumstances surrounding the request for emergency funds. A community may be required to provide a match through cash, debt or provision of employee labor.

The Quick Response Fund will also be available to eligible activities, which meet the "benefit to low and moderate income" or "prevention and elimination of slums and blight" goals of the Federal Act. The community must demonstrate that the situation requires immediate attention (i.e., that participation in CFF program would not be a feasible funding alternative or poses an immediate or imminent threat to the health or welfare of the community) and that the situation is not the result of negligence on the part of the community. Communities must be able to demonstrate that reasonable efforts have been made to provide or obtain financing from other resources and that such efforts were unsuccessful, unwieldy or inadequate. Alternatively, communities must be able to demonstrate that an opportunity to complete a project of significant importance to the community would be lost if required to adhere to the timetables of competitive programs.

F. Technical Assistance: \$378,790

Pursuant to the federal Housing and Community Development Act (Federal Act), specifically Section 106(d)(5), the State of Indiana is authorized to set aside up to one percent (1%) of its total allocation for technical assistance activities. The amount set aside for such Technical Assistance in the State's FY 2002 Consolidated Plan Update is \$378,790, which constitutes one-percent (1%) of the State's FY 2002 CDBG allocation of \$37,879,000. The State of Indiana reserves the right to set aside up to one percent (1%) of open prior-year funding amounts for the costs of providing technical assistance on an as-needed basis.

The amount set aside for the Technical Assistance Program will not be considered a planning cost as defined under Section 105(a)(12) of the Federal Act or an administrative cost as defined under Section 105(a)(13) of the Federal Act. Accordingly, such amounts set aside for Technical Assistance will not require matching funds by the State of Indiana. The Department reserves the right to transfer a portion or all of the funding set aside for Technical Assistance to another program hereunder as deemed appropriate by the Department of Commerce, in accordance with the "Program Amendments" provisions of this document. The Technical Assistance Program is designed to provide, through direct Department of Commerce staff resources or by contract, training and technical assistance to units of general local government, nonprofit and for-profit entities relative to community and economic development initiatives, activities and associated project management requirements.

1. Distribution of the Technical Assistance Program Setaside: Pursuant to HUD regulations and policy memoranda, the Department of Commerce may use alternative methodologies for delivering technical assistance to units of local government and nonprofits to carry out eligible activities, to include:

- a. Provide the technical assistance directly with Department of Commerce or other State staff;
- b. Hire a contractor to provide assistance;
- c. Use subrecipients such as Regional Planning Organizations as providers or securers of the assistance;
- d. Directly allocate the funds to non-profits and units of general local governments to secure/contract for technical assistance.
- e. Pay for tuition, training, and/or travel fees for specific trainees from units of general local governments and nonprofits;
- f. Transfer funds to another state agency for the provision of technical assistance; and,
- g. Contracts with state-funded institutions of higher education to provide the assistance.

2. Ineligible Uses of the Technical Assistance Program Setaside: The 1% setaside may not be used by the Department of Commerce for the following activities:

- a. Local administrative expenses not related to community development;
- b. Any activity that can not be documented as meeting a technical assistance need;
- c. General administrative activities of the State not relating to technical assistance, such as monitoring state grantees, rating and ranking State applications for CDBG assistance, and drawing funds from the Department of Commerce; or,
- d. Activities that are meant to train State staff to perform state administrative functions, rather than to train units of general local governments and non-profits.

G. Planning Fund: \$ 1,600,000

The State (Department of Commerce) will set aside \$1,600,000 of its FY 2002 CDBG funds for planning-only activities, which are of a project-specific nature. The Department of Commerce will make planning-only grants to units of local government to carry out planning activities eligible under 24 CFR 570.205 of applicable HUD regulations. The Department will award such grants on a competitive basis and grant the Department's Community Development Division will review applications monthly. The Department will give priority to project-specific applications having planning activities designed to assist the applicable unit of local government in meeting its community development needs by reviewing all possible sources of funding, not simply the Department's Community Focus Fund or Community Economic Development Fund.

CDBG-funded planning costs will exclude final engineering and design costs related to a specific activity which are eligible activities/costs under 24 CFR 570.201-204.

G. Administrative Funds Setaside: \$ 857,580

The State (Department of Commerce) will set aside \$857,580 of its FY 2002 CDBG funds for payment of costs associated with administering its State Community Development Block Grant (CDBG) Program (CFDA Number 14.228). This amount (\$857,580) constitutes two-percent (2%) of the State's FY 2002 CDBG allocation (\$757,580), plus an amount of \$100,000 ($\$37,879,000 \times 0.02 = \$757,000 + \$100,000 = \$857,580$). The amount

constituted by the 2% setaside (\$757,580) is subject to the \$1-for-\$1 matching requirement of HUD regulations. The \$100,000 supplement is not subject to state match. These funds will be used by the Department of Commerce for expenses associated with administering its State CDBG Program, including direct personal services and fringe benefits of applicable Department of Commerce staff, as well as direct and indirect expenses incurred in the proper administration of the state's program and monitoring activities respective to CDBG grants awarded to units of local government (i.e. telephone, travel, services contractual, etc.). These administrative funds will also be used to pay for contractors hired to assist the Department of Commerce in its consolidated planning activities.

PRIOR YEARS' METHODS OF DISTRIBUTION

This Consolidated Plan, statement of Method of Distribution is intended to amend all prior Consolidated Plans for grant years where funds are still available to reflect the new program designs. The Methods of Distribution described in this document will be in effect commencing on June 1, 2002, and ending May 31, 2002, unless subsequently amended, for all FY 2002 CDBG funds as well as remaining residual balances of previous years' funding allocations, as may be amended from time to time subject to the provisions governing "Program Amendments" herein. The existing and amended program budgets for each year are outlined below (administrative fund allocations have not changed and are not shown below). Adjustments in the actual dollars may occur as additional reversions become available.

At this time there are only nominal funds available for reprogramming for prior years' funds. If such funds should become available, they will be placed in the CFF Fund. This will include reversions from settlement of completed grantee projects., there are no fund changes anticipated. For prior years' allocations there are no fund changes anticipated. Non-expended funds, which revert from the financial settlement of projects funded from other programs, will be placed in the Community Focus Fund (CFF).

PROGRAM APPLICATION

The Community Economic Development Fund Program (CEDF), Quick Response Program (QR), and Planning Fund/Program (PL) will be conducted through a single-stage, continuous application process throughout the program year. The application process for the Community Focus Fund (CFF) will be divided into two stages. Eligible applicants will first submit a short program proposal for such grants. Proposers with projects eligible under the Federal Act will be invited to submit a full application. For each program, the full application will be reviewed and evaluated. The IDOC's Community Development Division and Development Finance Division, as applicable, will provide technical assistance to the communities in the development of proposals and full applications.

An eligible applicant may submit only one Community Focus Fund (CFF) application per cycle. Additional applications may be submitted under the other state programs. The Department of Commerce reserves the right to negotiate Planning-Only grants with CFF applicants for applications lacking a credible readiness to proceed on the project or having other planning needs to support a CFF project.

OTHER REQUIREMENTS

While administrative responsibility for the Small Cities CDBG program has been assumed by the State of Indiana, the State is still bound by the statutory requirements of the applicable legislation passed by Congress, as well as federal regulations promulgated by the U. S. Department of Housing and Urban Development (HUD) respective to the State's CDBG program as codified under Title 24, Code of the Federal Register. HUD has passed on these responsibilities and requirements to the State and the State is required to provide adequate evidence to HUD that it is carrying out its legal responsibilities under these statutes.

As a result of the Federal Act, applicants who receive funds through the Indiana Department of Commerce selection process will be required to maintain a plan for minimizing displacement of persons as a result of activities assisted with CDBG funds and to assist persons actually displaced as a result of such activities. Applicants are required to

provide reasonable benefits to any person involuntarily and permanently displaced as a result of the use of assistance under this program to acquire or substantially rehabilitate property. The State has adopted standards for determining reasonable relocation benefits in accordance with HUD regulations.

CDBG “Program Income” may be generated as a result of grant implementation. The State of Indiana may enter into an agreement with the grantee in which program income is retained by the grantee for eligible activities. Federal guidelines require that program income be spent prior to requesting additional draw downs. Expenditure of such funds requires prior approval from the Department of Commerce (IDOC). The State (Department of Commerce) will follow HUD regulations set forth under 24 CFR 570.489(e) respective to the definition and expenditure of CDBG Program Income.

All statutory requirements will become the responsibility of the recipient as part of the terms and conditions of grant award. Assurances relative to specific statutory requirements will be required as part of the application package and funding agreement. Grant recipients will be required to secure and retain certain information, provide reports and document actions as a condition to receiving funds from the program. Grant management techniques and program requirements are explained in the IDOC’s CDBG Grantee Implementation Manual, which is provided to each grant recipient.

Revisions to the Federal Act have mandated additional citizen participation requirements for the State and its grantees. The State has adopted a written Citizen Participation Plan, which is available for interested citizens to review. Applicants must certify to the State that they are following a detailed Citizen Participation Plan which meets Title I requirements. Technical assistance will be provided by the Department of Commerce to assist program applicants in meeting citizen participation requirements.

The State has required each applicant for CDBG funds to certify that it has identified its housing and community development needs, including those of low and moderate income persons and the activities to be undertaken to meet those needs.

INDIANA DEPARTMENT OF COMMERCE (IDOC)

The Indiana Department of Commerce intends to provide the maximum technical assistance possible for all of the programs to be funded from the CDBG program. Lieutenant Governor Joseph E. Kernan heads the Department of Commerce. Principal responsibility within the IDOC for the CDBG program is vested in the Executive Director, Thomas F. McKenna. The Deputy Executive Director of the Department of Commerce (Charles R. Martindale) has the responsibility of administering compliance activities respective to CDBG grants awarded to units of local government by the IDOC’s Development Finance and Community Development Divisions.

Primary responsibility for providing “outreach” and technical assistance for the Community Focus Fund and Planning Fund process resides with the Community Development Division. Primary responsibility for providing “outreach” and technical assistance for the Community Economic Development Program and award process resides with the Development Finance Division. Primary responsibility for providing “outreach” and technical assistance for the Housing award process resides with the Indiana Housing Finance Authority who will act as the administrative agent on behalf of the Indiana Department of Commerce.

The Controller’s Office will also provide internal fiscal support services for program activities. The Grants Management Section of the Controller’s Office has overall responsibilities for CDBG program management, compliance and financial monitoring of all CDBG programs. The Indiana State Board of Accounts pursuant to the federal Office of Management and Budget Circular A-133 will conduct audits. Potential applicants should contact the Department of Commerce with any questions or inquiries they may have concerning these or any other programs operated by the Department.

Information regarding the past use of CDBG funds is available at the:

**Indiana Department of Commerce
Community Development Division
One North Capitol, Suite 700
Indianapolis, Indiana 46204-2288
Attention: Charles Martindale, Deputy Executive Director
Telephone: (317) 232-8801
FAX: (317) 233-6503**

DEFINITIONS

Low and moderate income - is defined as 80% of the median family income (adjusted by size) for each county. For a county applicant, this is defined as 80% of the median income for the state. The income limits shall be as defined by the U. S. Department of Housing and Urban Development Section 8 Income Guidelines for “low income families.” Certain persons are considered to be “presumptively” low and moderate income persons as set forth under 24 CFR 570.208(a)(2); inquiries as to such presumptive categories should be directed to the IDOC’s Grants Management Office, Attention: Ms. Kelly Boe at (317) 232-8831.

Matching funds - local public or private sector in-kind services, cash or debt allocated to the CDBG project. The **minimum** level of local matching funds for Community Focus Fund (CFF) projects is ten-percent (10%) of the **total estimated project costs**. This percentage is computed by adding the proposed CFF grant amount and the local matching funds amount, and dividing the local matching funds amount by the total sum of the two amounts. The 2002 definition of match has been adjusted to include a maximum of 5% pre-approved and validated in-kind contributions. The balance of the ten (10) percent must be in the form of either cash or debt. Any in-kind over and above the specified 5% may be designated as local effort. Funds provided to applicants by the State of Indiana such as the Build Indiana Fund are not eligible for use as matching funds.

Private investment resulting from CDBG projects does not constitute local match for all IDOC-CDBG programs except the Community Economic Development Fund (CEDF); such investment will, however, be evaluated as part of the project’s impact, and should be documented. The Development Finance Division reserves the right to determine sources of matching funds for CEDF projects.

Proposal (synonymous with “pre-application) - A document submitted by a community which briefly outlines the proposed project, the principal parties, and the project budget and how the proposed project will meet a goal of the Federal Act. If acceptable, the community may be invited to submit a full application.

Reversions - Funds placed under contract with a community but not expended for the granted purpose because expenses were less than anticipated and/or the project was amended or canceled and such funds were returned to the Department of Commerce upon financial settlement of the project.

Slums or Blight - an area/parcel which: (1) meets a definition of a slum, blighted, deteriorated, or deteriorating area under state or local law (Title 36-7-1-3 of Indiana Code); and (2) meets the requirements for “area basis” slum or blighted conditions pursuant to 24 CFR 570.208(b)(1) and 24 CFR 570.483(c)(1), or “spot basis” blighted conditions pursuant to 24 CFR 570.208(b)(2) and 24 CFR 570.483(c)(2).

Urgent Need - is defined as a serious and immediate threat to health and welfare of the community. The Chief Elected Official must certify that an emergency condition exists and requires immediate resolution and that alternative sources of financing are not available. An application for CDBG funding under the “urgent need” CDBG national objective must adhere to all requirements for same set forth under 24 CFR 570.208(c) and 24 CFR 570.483(d).

DISPLACEMENT PLAN

1. The State shall fund only those applications, which present projects and activities, which will result in the displacement of as few persons or businesses as necessary to meet the goals and objectives of the state and local CDBG-assisted program.
2. The State will use this criterion as one of the guidelines for project selection and funding.
3. The State will require all funded communities to certify that the funded project is minimizing displacement.
4. The State will require all funded communities to maintain a local plan for minimizing displacement of persons or businesses as a result of CDBG funded activities, pursuant to the federal Uniform Relocation and Acquisitions Policies Act of 1970, as amended.
5. The State will require that all CDBG funded communities provide assistance to all persons displaced as a result of CDBG funded activities.
6. The State will require each funded community to provide reasonable benefits to any person involuntarily and permanently displaced as a result of the CDBG funded program.

GENERAL SELECTION CRITERIA

The Department of Commerce (IDOC) will consider the following general criteria when evaluating a project proposal. Although projects will be reviewed for this information at the proposal stage, no project will be eliminated from consideration if the criteria are not met. Instead, the community will be alerted to the problem(s) identified. Communities must have corrected any identified deficiencies by the time of application submission for that project to be considered for funding.

A. General Criteria (all programs - see exception for program income and housing projects through the IHFA in 6 below):

1. The applicant must be a legally constituted general purpose unit of local government and eligible to apply for the state program.
2. The applicant must possess the legal capacity to carry out the proposed program.
3. If the applicant has previously received funds under CDBG, they must have successfully carried out the program. An applicant must not have any overdue closeout reports, State Board of Accounts OMB A-133 audit or IDOC monitoring finding resolutions (where the community is responsible for resolution.) Any determination of “overdue” is solely at the discretion of the Indiana Department of Commerce.
4. An applicant must not have any overdue CDBG semi-annual Grantee Performance Reports, subrecipient reports or other reporting requirements of the IDOC. Any determination of “overdue” is solely at the discretion of the Indiana Department of Commerce.
5. The applicant must clearly show the manner in which the proposed project will meet one of the three national CDBG objectives and meet the criteria set forth under 24 CFR 570.483.
6. The applicant must show that the proposed project is an eligible activity under the Act.
7. The applicant must first encumber/expend all CDBG program income receipts before applying for additional grant funds from the Department of Commerce; EXCEPTION - this general criteria will not apply to applications made directly to the Indiana Housing Finance Authority (IHFA) for CDBG-funded housing projects.

B. Community Focus Fund (CFF) and Planning Fund (PL):

1. To be eligible to apply at the time of application submission, an applicant must not have any:
 - a. Overdue grant reports, subrecipient reports or project closeout documents; or
 - b. More than one open or pending CDBG-CFF grant or CDBG-Planning grant (Indiana cities and incorporated towns).
 - c. For those applicants with one open CFF, a “Notice of Release of Funds and Authorization to Incur Costs” must have been issued for the construction activities under the open CFF contract, and a contract for construction of the principal (largest funding amount) construction line item (activity) must have been executed prior to the deadline established by IDOC for receipt of applications for CFF funding.
 - d. For those applicants who have open Planning Fund grants, the community must have final plan approved by the Community Development Division prior to submission of a CFF application for the project.

- f. An Indiana county may have two (2) open CFF's and/or Planning Grants and apply for a third CFF or Planning Grant. A county may have only three (3) open CFF's or Planning Grants. Both CFF contracts must have an executed construction contract by the application due date.
2. The cost/beneficiary ratio for CFF funds will be maintained at a reasonable rate, except for daycare and housing-related projects where that ratio will not exceed \$10,000. Housing-related projects are to be submitted directly to the Indiana Housing Finance Authority (IHFA) under its programs, except for projects entailing construction of infrastructure (to be publicly dedicated right-of-way) in support of housing-related projects. Projects for infrastructure in support of housing needs may be submitted to the IDOC for CFF funding.
3. At least 10% leveraging (as measured against the CDBG project, see definitions) must be proposed. The Indiana Department of Commerce may rule on the suitability and eligibility of such leveraging.
4. The applicant may only submit one proposal or application per round. Counties may submit either for their own project or an "on-behalf-of" application for projects of other eligible applicants within the county. However, no application will be invited from a county where the purpose is clearly to circumvent the "one application per round" requirement for other eligible applicants.
5. The application must be complete and submitted by the announced deadline.
6. For area basis projects, applicants must provide convincing evidence that circumstances in the community have so changed that a survey conducted in accordance with HUD survey standards is likely to show that 51% of the beneficiaries will be of low-and-moderate income. This determination is not applicable to specifically targeted projects.

C. Housing Programs: Refer to Method of Distribution for Indiana Housing Finance Authority within this FY 2002 Consolidated Plan Update

D. Quick Response Program:

Applicants for the Quick Response Program funds must meet the General Criteria set forth in Section A above, plus the specific program income requirements set forth in the "Method of Distribution" section of this document.

E. Community Economic Development Program/Fund (CEDF):

Applicants for the Community Economic Development Fund assistance must meet the General Criteria set forth in Section A above, plus the specific program requirements set forth in the "Method of Distribution" section of this document.

GRANT EVALUATION CRITERIA – 1,000 POINTS TOTAL

Economic and Demographic Characteristics (450 points):

National Objective Score (200 points):

Depending on the National Objective to be met by the project, one of the following two mechanisms will be used to calculate the score for this category.

1. National Objective = Benefit to Low- and Moderate-Income Persons: 200 points maximum awarded according to the percentage of low- and moderate-income individuals to be served by the project. The total points given are computed as follows:

National Objective Score = % Low/Mod Beneficiaries X 2.5

The point total is capped at 200 points or 80% low/moderate beneficiaries, i.e., a project with 80% or greater low/moderate beneficiaries will receive 200 points. Below 80% benefit to low/moderate-income persons, the formula calculation will apply.

National Objective = Prevention or Elimination of Slums or Blight: 200 points maximum awarded based on the characteristics listed below. The total points given are computed as follows:

National Objective Score = (Total of the points received in each category below) X 2.5

___ Slum/Blight Area or Spot designated by resolution of the local unit of government (50 pts.)

___ Community is an Indiana Main Street Senior Partner or Partner, and the project relates to downtown revitalization (5 pts.)

___ The project is located in an Indiana Urban Enterprise Zone (5 pts.)

___ The project site is a brownfield* (5 pts.)

___ The project is located in a designated redevelopment area under IC 36-7-14 (5 pts.)

___ The building or district is listed on the Indiana or National Register of Historic Places (10 pts.)

___ The building or district is eligible for listing on the Indiana or National Register of Historic Places (5 pts.)

___ The building is on the Historic Landmarks Foundation of Indiana's "10 Most Endangered List" (10 pts.)

* The State of Indiana defines a brownfield as an industrial or commercial property that is abandoned, inactive, or underutilized, on which expansion or redevelopment is complicated due to actual or perceived environmental contamination.

Community Distress Factors (250 Points): the community distress factors used to measure the economic conditions of the applicant community are listed below. Each is described with an explanation and an example of how the points are determined. Each factor can receive a maximum of 50 points with the total distress point calculation having a maximum of 250 points. The formula calculation for each measure is constructed as a percentage calculation along a scale range. The resulting percentage is then translated into a point total on a fifty point scale for each measure.

- a. **Unemployment Rate (50 points maximum):** Unemployment rate for the county of the lead applicant. The average rate for the previous 12 months is used.
- a. If the unemployment rate is 10% or higher, 50 points are awarded.
- b. If the unemployment rate is 2% or below, 0 points are awarded.
- c. Between those values, the points are calculated by taking the unemployment rate, subtracting 2%, dividing by 8% and multiplying by 50, where 2% is the bottom point of the scale and 8% is the range of the scale.

$$\text{Unemployment Rate Points} = [(\text{Unemployment rate} - 2\%) / 8\%] \times 50$$

For example, if the unemployment rate is 5%, take unemployment rate of 5%, subtract 2%, divide by 8%, and multiply by 50. The score would be 18.75 point of a possible 50; $((5-2)/8 \times 50 = 18.75)$

- b. **Net Assessed Value/capita (50 points maximum):** Net assessed value per capita for lead applicant. (Note: The following calculations will be changed as appropriate when the State adjusts the Net Assessed Value.)

To determine the net assessed value per capita, take the appropriate net assessed value and divide by the total 2000 population (from census data) of the lead applicant;

$$\text{NAV/capita} = \text{NAV} / \text{Total Population}$$

- c. If the net assessed value/capita for the lead applicant is above \$10,000, 0 points are awarded.
- d. If the net assessed value/capita for the lead applicant is \$3,000 or under, 50 points are awarded.

- e. Between those values, the points are calculated by subtracting the NAV/capita from \$10,000, dividing by \$7000 and multiplying by 50, where \$10,000 is the top of the scale and \$7000 is the range of the scale.

$$\text{NAV/capita points} = [(\$10,000 - \text{NAV/capita})/\$7000] \times 50$$

For example, if the Net Assessed Value/capita is \$4,000, take \$10,000, subtract the NAV/capita of \$4,000, divide by \$7,000, and multiply by 50. The score would be 42.86 points of a possible 50 points; $((10,000 - 4,000)/7000) \times 50 = 42.86$.

- f. **Median Housing Value (50 points maximum):** Median Housing Value for lead applicant.

$$\text{Median Housing Value Points} = [(\$75,000 - \text{median housing value})/\$50,000] \times 50$$

- g. If the median housing value for the lead applicant is \$75,000 or higher, no points are awarded.

- h. If the median housing value for the lead applicant is \$25,000 or lower, 50 points are applicable.

For example, if the median housing value is \$35,000, take \$75,000, subtract the median housing value of \$35,000, divide by \$50,000, and multiply by 50. The score would be 40 points out of a total possible of 50; $((75,000 - 35,000)/50,000) \times 50 = 40$.

- i. **Median Household Income (50 points maximum):**

$$\text{Median Household Income Points} = [(\$50,000 - \text{median household income})/\$25,000] \times 50$$

- j. If the median household income is \$50,000 or higher, no points are awarded.

- k. If the median household income is \$25,000 or lower, 50 points are awarded.

- l. Between those values, the points are calculated by subtracting the median household income from \$50,000, dividing by \$25,000 and multiplying by 50, where \$50,000 is the top of the scale and \$25,000 is the range of the scale.

For example, if the Median Household Income is \$32,500, take \$50,000, subtract the median household income of \$32,500, divide by \$25,000, and multiply by 50. The score would be 35 points out of a possible 50; $((50,000 - 32,500)/25,000) \times 50 = 35$.

- m. **Percentage Population Change (50 points maximum):** Percentage population change (1990-2000).

The percentage change is computed by subtracting the 1990 population from the 2000 population and dividing by the 1990 population. Convert this decimal to a percentage by multiplying by 100.

Percentage Population Change = [(2000 population - 1990 population)/1990 population] X 100

- a. If the population increased by 15% or greater, 0 points are awarded.
- b. If the population decreased by 10% or greater, 50 points are awarded.
- c. Between those values, the points are calculated by subtracting the Percent Population Change from 15%, dividing by 25%, and multiplying by 50, where 15% is the top of the scale and 25% is the range of the scale.

Percentage Population Change points = [(15% - Percentage Population Change)/25%] X 50

For example, if the population increased by 3%, take 15%, subtract 3%, divide by 25%, and multiply by 50. The score would be 24 points out of a total possible of 50; $(15-3)/25 \times 50 = 24$.

Local Match Contribution (100 points):

Up to 100 points possible based on the percentage of local funds devoted to the project. This total is determined as follows:

Total Match Points = % Eligible Local Match X 2

Eligible local match can be local cash or debt. Government grants, including Build Indiana Funds, are not considered eligible match. In-kind sources may provide eligible local match for the project, but the amount that can be counted as local match is limited to 5% of the total project budget, up to a maximum of \$25,000. Use of in-kind donations as eligible match is subject to prior approval from the Indiana Department of Commerce, Community Development Division.

Project Design Factors (450 points):

450 points maximum awarded according to the evaluation in three areas:

Project Need - why does the community need this project?

Financial Impact - why is grant assistance necessary to complete this project?

Local Effort - what has/is the community doing to move this project forward?

The project can receive a total of 150 points in each category. The project design points are awarded in 25-point increments. The points in these categories are awarded by the IDOC review team when evaluating the projects. Applicants should work with their IDOC field representative to identify ways to increase their project's scores in these areas.

CITIZEN PARTICIPATION PLAN INDIANA DEPARTMENT OF COMMERCE (STATE)

The State of Indiana, Department of Commerce, pursuant to 24 CFR 91.115, 24 CFR 570.431 and 24 CFR 570.485(a) wishes to encourage maximum feasible opportunities for citizens and units of general local government to provide input and comments as to its Methods of Distribution set forth in the Department's annual Consolidated Plan for CDBG funds submitted to HUD as well as the Department's overall administration of the State's Small Cities Community Development Block Grant (CDBG) Program. In this regard, the Department of Commerce will perform the following:

1. Require each unit of general local government to comply with citizen participation requirements for such governmental units as specified under 24 CFR 570.486(a), to include the requirements for accessibility to information/records and to furnish citizens with information as to proposed CDBG funding assistance as set forth under 24 CFR 570.486(a)(3), provide technical assistance to representatives of low-and-moderate income groups, conduct a minimum of two (2) public hearings on proposed projects to be assisted by CDBG funding, such hearings being accessible to handicapped persons, provide citizens with reasonable advance notice and the opportunity to comment on proposed projects as set forth in Title 5-3-1 of Indiana Code, and provide interested parties with addresses, telephone numbers and times for submitting grievances and complaints.
2. Consult with local elected officials and the Department's Grant Administrator Networking Group in the development of the Method of distribution set forth in the State's Consolidated Plan for CDBG funding submitted to HUD.
3. Publish a proposed or "draft" Consolidated Plan and afford citizens, units of general local government, and the CDBG Policy Advisory committee the opportunity to comment thereon;
4. Furnish citizens and units of general local government with information concerning the amount of CDBG funds available for proposed community development and housing activities and the range/amount of funding to be used for these activities;
5. Hold one (1) or more public hearings respective to the State's proposed/draft Consolidated Plan, on amendments thereto, duly advertised in newspapers of general circulation in major population areas statewide pursuant to I.C. 5-3-1-2 (B), to obtain the views of citizens on proposed community development and housing needs. The Consolidated Plan Committee published the enclosed legal advertisement to twelve (12) regional newspapers of general circulation statewide respective to the public hearings (April 23 and April 24, 2002) held on the 2002 Consolidated Plan Update. In addition, this notice was distributed by mail to over 3,000 local officials, non-profit entities, and interested parties statewide in an effort to maximize citizen participation in the FY 2002 consolidated planning process:

**The Republic, Columbus, IN
Indianapolis Star, Indianapolis, IN
The Journal-Gazette, Fort Wayne, IN
The Chronicle-Tribune, Marion, IN
The Courier Journal, Louisville, KY
Gary Post Tribune, Gary, IN
Tribune Star, Terre Haute, IN**

**Journal & Courier, Lafayette, IN
Evansville Courier, Evansville, IN
South Bend Tribune, South Bend, IN
Palladium-Item, Richmond, IN
The Times, Munster, IN**

6. Provide citizens and units of general local government with reasonable and timely access to records regarding the past and proposed use of CDBG funds,
7. Make the Consolidated Plan available to the public at the time it is submitted to HUD, and;
8. Follow the process and procedures outlined in items 2 through 7 above with respect to any amendments to a given annual CDBG Consolidated Plan and/or submission of the Consolidated Plan to HUD.

In addition, the State also will solicit comments from citizens and units of general local government on its CDBG Performance Review submitted annually to the U.S. Department of Housing and Urban Developments (HUD). Prior to its submission of the Review to HUD, the State will advertise regionally statewide (pursuant to I.C. 5-3-1) in newspapers of general circulation soliciting comments on the Performance and Evaluation Report.

The State will respond within thirty (30) days to inquiries and complaints received from citizens and, as appropriate, prepare written responses to comments, inquiries or complaints received from such citizens.

**NOTICE OF PUBLIC HEARING
FY 2002 CONSOLIDATED PLAN FOR FUNDING**

**INDIANA DEPARTMENT OF COMMERCE
INDIANA HOUSING FINANCE AUTHORITY
INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION
INDIANA DEPARTMENT OF HEALTH**

Pursuant to 24 CFR Part 91.115(a)(2), the State of Indiana wishes to encourage citizens to participate in the development of the State of Indiana Consolidated Plan for 2002. In accordance with this regulation, the State is providing the opportunity for citizens to comment on the 2002 Consolidated Plan Update draft report, which will be submitted to the US Department of Housing and Urban Development (HUD) on or before May 15, 2002. The Consolidated Plan defines the funding sources for the State of Indiana's four (4) major HUD-funded programs and provides communities a framework for defining comprehensive development planning. The FY 2002 Consolidated Plan will set forth the method of distribution of funding for the following state agencies and HUD-funded programs:

**Indiana Department of Commerce - State Community Development Block Grant (CDBG) Program
Indiana Housing Finance Authority - Home Investment Partnership Program
Indiana Housing Finance Authority - Housing Opportunities for Persons With Aids Program
Indiana Family and Social Services Administration - Emergency Shelter Grant Program**

These public hearings will be conducted as follows:

**April 8, 2002 – Noblesville Council Chamber
Noblesville City Hall
16 South 10th Street
Noblesville, IN 46060**

**April 9, 2002 – Columbus City Hall
123 Washington Street
Columbus, IN 47201**

If you are unable to attend the public hearings, written comments are invited through April 30, 2002, at the following address:

**Grants Management Office
Indiana Department of Commerce
One North Capitol - Suite 700
Indianapolis, IN 46204-2288**

Please direct all questions to the Grants Management Office of the Department of Commerce at its toll free telephone number (800-246-7064) during normal business hours.

HOME Allocation Plan



Program Descriptions and Allocation Plan

Program Year 2002

**Community Development Block Grant (CDBG)
HOME Investment Partnerships Program (HOME)**

Methods of Distribution

The Indiana Housing Finance Authority (IHFA) allocates CDBG and HOME funds through the programs shown below. Each program area has unique criteria upon which funding decisions are based. For full program information, please refer to IHFA's full application packages and/or program guides.

PROGRAM NAME	FUNDING SOURCE	TIMING OF FUNDING
Foundations	CDBG and HOME	3 annual competitive funding cycles
CHDO Works	HOME	3 annual competitive funding cycles
Housing from Shelters to Homeownership	CDBG and HOME	CDBG – 2-3 annual competitive funding cycles HOME - 3 annual competitive funding cycles
Rental Housing Tax Credits (RHTC)/HOME	HOME	1-2 annual funding cycles
HOME Administrative Subrecipients	HOME	2-3 annual funding cycles
First Home/Plus	HOME	Continuous throughout the year
First Home/One Down	HOME	Continuous throughout the year
First Home 100	HOME	Continuous throughout the year
HomeChoice	HOME	Continuous throughout the year in Bartholomew, Knox, and Marion Counties

Foundations

The most successful housing programs are those that grow out of careful planning and assessment of the needs of a particular community. For this reason, IHFA provides funds to finance planning activities related to the development of affordable housing through the Foundations program.

Eligible Applicants / Eligible Activities

Housing needs assessments are used to gather data, prepare housing related community plans, and identify actions that need to be taken in order to create, develop, or preserve affordable housing. These studies are broad in nature and not specific to a particular site or activity. This activity is funded through CDBG. Only non-entitlement local units of government are eligible to apply for up to \$50,000 for this activity.

Feasibility studies are more specific to a particular site or housing activity and are similar to a market study. Through these studies, applicants can, among other things, identify a site for a particular housing activity, develop a preliminary estimate of costs, or identify whether or not there is adequate demand for a particular type of affordable housing. This activity is also funded through CDBG. Only non-entitlement local units of government are eligible to apply for up to \$30,000 for this activity.

Predevelopment loans are similar to feasibility studies except that State-certified Community Housing Development Organizations (CHDOs) are allowed to go even further into the planning process, to the point of obtaining an option to purchase the site or developing preliminary architectural plans.

Seed money loans can be used by CHDOs to pay for such things as final architectural and engineering plans, loan reservation fees, or building permit fees. Once a housing activity is deemed feasible and site control is obtained, a CHDO can apply for a seed money loan.

The CHDO must pay back either loan if the housing activity goes forward. The CHDO can borrow up to \$30,000 of HOME funds for a term of 24 months at a zero percent interest rate. If the housing activity is deemed infeasible or unable to go forward, the applicant may request that the loan be forgiven.

Scoring Criteria

If an application satisfies all applicable requirements, it will be evaluated and scored based on criteria in the following categories: Constituency Served; Project Design; Organizational Capacity; Readiness to Proceed; Market; and Minority or Women Business Enterprise Participation. Applicants can receive up to 100 total possible points. No award shall be made to any application that scores below a total of 50 points.

Notwithstanding the point ranking system set forth above, IHFA, through its Board of Directors, reserves the right and shall have the power to allocate funds irrespective of its point ranking, if such intended allocation is: (1) in compliance with the applicable federal regulations; (2) in furtherance of the overall goals of the Authority; and (3) determined by the Board to be in the interests of the citizens of the State of Indiana.

CHDO Works

Eligible Applicants

Eligible applicants are not-for-profit organizations that have successfully obtained certification from IHFA as a Community Housing Development Organization (CHDO), are in good standing with IHFA, and serve non-participating jurisdiction areas (unless they will be developing transitional housing).^{*} Organizations that have not yet received CHDO certification (or whose certification is pending) are not eligible for operating funds.

^{*}Participating Jurisdiction areas include:

Anderson	Gary	Muncie
Bloomington	Hammond	St. Joseph County Consortium
East Chicago	Indianapolis	Terre Haute
Evansville	Lake County	Tippecanoe County Consortium
Fort Wayne		

Eligible Activities

Eligible activities are those directly related to promoting the agency's ability to develop, sponsor, and/or own HOME CHDO-eligible affordable housing, such as homebuyer, rental, and transitional housing. Any applicant who successfully competes for operating funds is required to implement direct HOME CHDO-eligible housing activities within twenty-four (24) months from the date that an operating award is made.

According to 24 CFR §92.208, eligible costs include reasonable and necessary costs for the operation of the CHDO. Such costs include, but are not limited to, salaries, wages, and other employee compensation and benefits; employee education, training, and travel; rent; utilities; communication costs; taxes; insurance; equipment, including filing cabinets; materials; supplies; annual financial audit; and costs associated with a strategic long-range plan. Other costs may also be eligible. Applicants are encouraged to consider computer equipment needs, especially hardware and software updates.

Administrative costs associated with implementing the lead based paint regulations are eligible for funding under CHDO Works. These expenses include training staff on the regulations, staff certification for Lead Inspector/Risk Assessor and Lead Construction Supervisor, and special equipment purchases such as protective clothing or XRF machines.

Eligible costs do not include furniture or other office décor.

Scoring Criteria

If an application satisfies all applicable requirements, it will be evaluated and scored based on criteria in the following categories: Organizational Capacity; Community Need; Readiness to Proceed; Training; and Financial Management. Applicants can receive up to 100 total possible points. The minimum scoring threshold for applications will vary as follows:

<u>Number of Previous "CHDO Works" Awards</u>	<u>Threshold</u>
0 awards	50 points
1 award	65 points
2 or more awards	75 points

Any application that falls below its respective threshold will not be recommended for funding.

Notwithstanding the point ranking system set forth above, IHFA, through its Board of Directors, reserves the right and shall have the power to allocate funds irrespective of its point ranking, if such intended allocation is: (1) in compliance with the applicable statutes; (2) in furtherance of promoting affordable housing; and (3) determined by IHFA's Board of Directors to be in the interests of the citizens of the State of Indiana.

Funding Limitations

Applicants may apply for up to \$30,000 in operating assistance. CHDOs may receive no more than one operating grant each year. CHDO Works funding (along with all other HOME-funded CHDO operating expenses) is limited to: (1) 50% of the CHDO's total operating expenses in any one fiscal year, or (2) \$50,000, whichever is greater.

Housing from Shelters to Homeownership

The Housing from Shelters to Homeownership program provides grants and loans to public and private organizations for the rehabilitation or new construction of affordable housing. The types of housing activities that can be funded and the eligible applicants depend on the source of funding. The chart below briefly outlines what activities are eligible for CDBG and HOME and the type of applicant that is eligible to apply for those funds.

<u>Eligible Applicants / Eligible Activities</u>	Local Units of Government (Non-CDBG Entitlement Communities)¹	Local Units of Government (Non-HOME Participating Jurisdictions) & Townships²	Community Housing Development Organization (CHDO)²	501(c)3 or (4) Organizations, Public Housing Authorities, & Joint Ventures
Emergency Shelter Rehabilitation/New Construction	CDBG			
Youth Shelter Rehabilitation/New Construction	CDBG			
Transitional Housing Rehabilitation ³	CDBG	HOME	HOME	HOME
Transitional Housing New Construction ³		HOME	HOME	HOME
Migrant/Seasonal Farm Worker Housing Rehabilitation/New Construction	CDBG			
Rental Rehabilitation	CDBG	HOME	HOME	HOME
Rental Rehabilitation/Refinance		HOME	HOME	HOME
Rental New Construction		HOME	HOME	HOME
Homebuyer Rehabilitation/New Construction		HOME	HOME	HOME
Owner-Occupied Rehabilitation	CDBG	HOME		HOME
Homeownership Counseling/Down Payment Assistance		HOME		HOME

¹ The following entitlement communities are not eligible to apply for CDBG funds. However, non-entitlement applicants may apply for a housing activity located within an entitlement community if the applicant can demonstrate that beneficiaries will come from outside of the entitlement community's boundaries:

Anderson	Evansville	Goshen	Indianapolis	Mishawaka	South Bend
Bloomington	Fort Wayne	Hammond	Lafayette	Muncie	Terre Haute
East Chicago	Gary	Kokomo	Lake County	New Albany	West Lafayette
Elkhart					

² Applications from, or housing activities located within, the following participating jurisdictions are not eligible for HOME funds unless the request is for transitional housing:

Anderson	Gary	St. Joseph County Consortium
Bloomington	Hammond	Terre Haute
East Chicago	Indianapolis	Tippecanoe County Consortium
Evansville	Lake County	
Fort Wayne	Muncie	

³ IHFA will accept applications for HOME-funded transitional housing regardless of the development's location within the state.

Scoring Criteria

Through the scoring criteria listed below, preference is given to housing activities that:

- meet the needs of their specific community
- attempt to reach very low-income levels of 30% of area median income
- are ready to proceed with the housing activity upon receipt of the award
- revitalize existing neighborhoods

If an application satisfies all applicable requirements, it will be evaluated and scored based on criteria in the following categories: Constituency Served; Development Characteristics; Financing; Market; Organizational Capacity; Readiness to Proceed; and Minority and Women Business Enterprise Participation.

No award shall be made to any application that scores below 40 points. Where applicable, the funding agreement and any restrictive covenants recorded with the property will contain restrictions applicable to the points received.

Notwithstanding the point ranking system set forth above, IHFA, through its Board of Directors, reserves the right and shall have the power to allocate funds to a development irrespective of its point ranking, if such intended allocation is: (1) in compliance with applicable statutes; (2) in furtherance of promoting affordable housing; and (3) determined by IHFA's Board of Directors to be in the interests of the citizens of the State of Indiana.

Assistance may be provided in the form of grants or loans; however, funds will be awarded only in amounts appropriate to the scope of the identified need. IHFA reserves the right to determine the exact amount and type of assistance needed for each individual housing activity.

Funding Limitations

In general, eligible applicants can apply for up to \$500,000 in CDBG or \$750,000 in HOME funds through the Housing from Shelters to Homeownership program. Applicants for owner-occupied rehabilitation and homeownership counseling/down payment assistance, though, are limited to a maximum of \$300,000.

The CDBG or HOME applicant's request for funding must not exceed the per unit subsidy limitations listed below:

- \$3,500 per unit in down payment assistance or 10% of the purchase price, whichever is lower, for beneficiaries of homeownership counseling/down payment assistance activities that are at or below 80% of the area median income for that county
- \$7,000 per unit in down payment assistance or 10% of the purchase price, whichever is lower, for beneficiaries of homeownership counseling/down payment assistance activities that are at or below 50% of the area median income for that county
- \$20,000 per bed for emergency shelters, youth shelters, or migrant/seasonal farm worker housing
- \$35,000 per 0 bedroom unit for transitional, rental, homebuyer, or owner-occupied rehabilitation activities
- \$40,000 per 1-2 bedroom unit for transitional, rental, homebuyer, or owner-occupied rehabilitation activities
- \$50,000 per 3 or more bedroom unit for transitional, rental, homebuyer, or owner-occupied rehabilitation activities

Provisions for Rental Rehabilitation/Refinance

- Applicants for rental rehabilitation/refinance must demonstrate that:
 - Refinancing is necessary to maintain current affordable units and/or create additional affordable units.
 - The primary activity is rehabilitation. The applicant must budget a minimum of 51% of the HOME funds for rehabilitation.
 - The development will satisfy a minimum 15-year affordability period.
 - Disinvestment in the property has not occurred.
 - The long term needs of the development can be met.
 - It is feasible to serve the targeted population over the affordability period.
- The amount of funds applied to the refinance budget line item will be made as an amortized loan to the applicant. The applicant should propose an interest rate, term, and amortization period. If the applicant proposes a balloon payment at the end of the term, a commitment letter from a lender willing to pay off the HOME loan at the end of the term must also be enclosed with the application.
- Applicants for rental rehabilitation/refinance cannot use HOME funds to refinance multifamily loans made or insured by any other Federal program, including, but not limited to, FHA, CDBG, or Rural Development.

Rental Housing Tax Credits (RHTC)/HOME

In an effort to streamline the multi-family application process, developers applying for Rental Housing Tax Credits (RHTCs) may simultaneously request funds from the HOME Investment Partnerships Program (HOME). Outside of this process, applications for HOME financing for a RHTC Development will only be considered in accordance with IHFA's Housing from Shelters to Homeownership application criteria and Supplemental HOME Funding Guidelines. Further, a Development that receives an allocation of tax-exempt bond authority will not be eligible to apply for IHFA HOME funding.

Eligible Applicants

The applicant for HOME funds must be the same entity identified as the Development's RHTC applicant. The award of HOME funds will be made as follows:

1. State-Certified Community Housing Development Organization (CHDO) – HOME funds will be granted to CHDOs that meet the "qualified not-for-profit organization" definition as given in the Rental Housing Tax Credit Qualified Allocation Plan for the State of Indiana.
2. Limited Partnership or Limited Liability Corporation – For Developments not involving a qualified CHDO, HOME funds will be loaned to the ownership entity (existing or to be formed).

Form of Assistance

HOME awards to state-certified CHDOs will be in the form of a grant. If the CHDO structures the HOME funds into the Development as a loan, the CHDO will be permitted to retain the repayments of principal and interest for use in other affordable housing developments. The CHDO may use the repayment stream (both principal and interest): (1) to buy the property at the end of the partnership; (2) to pay the exit fees for other partners in the Development at the end of the affordability period; (3) to provide services to the tenants of the particular Development; (4) to exert influence over the conditions of sale of the property; or (5) for the CHDO's other affordable housing activities that benefit low-income families.

Alternatively, for Developments that do not involve an eligible CHDO, IHFA will loan HOME funds to the Limited Partnership or Limited Liability Corporation. Principal and interest will be deferred for a 15-year term. The interest rate will be set at the Applicable Federal Rate as of the RHTC application deadline, and will be compounded annually. At the end of the loan term, a balloon payment of principal and all accrued interest will be due and payable to IHFA. The HOME loan must be fully secured. While it can be subordinated to other financing, there must be sufficient collateral to fully cover the amount of the loan.

Eligible Activities

HOME funds are available statewide for the development of transitional housing. Otherwise, applications for Developments located within the following participating jurisdictions are not eligible for HOME funds.

Anderson
Bloomington
East Chicago
Evansville

Gary
Hammond
Indianapolis
Lake County

St. Joseph County Consortium
Terre Haute
Tippecanoe County Consortium

HOME funds may be used for acquisition, construction or rehabilitation hard costs, and testing for lead hazards for HOME-assisted units. HOME funds may not be used toward the refinancing of existing permanent debt.

HOME funds may assist rental or transitional housing. These units can be in the form of traditional apartments or single-room-occupancy units (SROs). SRO housing consists of single room dwelling units that are the primary residence of the occupant(s). If the Development consists of conversion of non-residential space or reconstruction, SRO units must contain either kitchen or bathroom facilities (they may contain both). For Developments involving acquisition or rehabilitation of an existing residential structure, neither kitchen nor bathroom facilities are required to be in the unit. However, if individual units do not contain bathroom facilities, the building must contain bathroom facilities that are shared by tenants.

HOME funds are generally not available for units identified as part of an approved RHTC lease-purchase program, unless the purchase will occur after the termination of the HOME affordability period. In such case, the assisted units will be considered rental for purposes of the HOME award. Prior to the HOME affordability period expiration, IHFA will consider requests to permit tenants to purchase HOME-assisted rental units on a case-by-case basis only.

Scoring Criteria

There are no scoring criteria for HOME/RHTC awards. Eligibility for the HOME funds will be determined based on:

1. Whether the development demonstrates a need for HOME funds in order to make a greater number of rental units affordable to lower income households.
2. Whether the development meets State and Federal requirements of all programs for which it is applying.
3. If the development ranking is sufficient for it to be awarded RHTCs pursuant to the Tax Credit program guidelines.
4. The availability of HOME funds.

Funding Limitations

The maximum HOME request is \$300,000. IHFA has established a per unit subsidy limitation for HOME-assisted units of \$35,000 for 0-bedroom units, \$40,000 for 1- and 2-bedroom units, and \$50,000 for units with 3 or more bedrooms.

HOME Administrative Subrecipients

IHFA staff generally oversees the implementation of the HOME program; however, IHFA accepts proposals from organizations interested in participating in specific areas of administration that compliment and/or expand IHFA's efforts. Proposals are accepted during published funding cycles.

IHFA reserves the right, however, to initiate subrecipient agreements with not-for-profit organizations or public agencies for specific HOME administrative activities. These subrecipient agreements will be made available throughout the year upon approval of the activity by the IHFA Board of Directors.

Eligible Applicants

- Not-for-profit corporations, as designated under section 501(c)(3) or 501(c)(4) of the Internal Revenue Code
- Public agencies

Eligible Activities

- Only those activities allowed under the HOME regulations (24 CFR 92.207) are eligible for funding with IHFA's HOME administration funds.
- HOME subrecipient activities must comply with the requirements of 24 CFR 84 (a.k.a. OMB Circular A-110) "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Not-for-profit Organizations."
- In general, IHFA looks for proposals that have a statewide impact and serve to further the Authority's efforts in one or more of the following areas:
 - General management, oversight, and coordination of the HOME program
 - Providing public information to residents and citizen organizations participating in the planning, implementation, or assessment of housing activities being assisted with HOME funds
 - Affirmatively furthering fair housing
 - Compiling data in preparation for the State Consolidated Plan
 - Complying with other Federal requirements such as affirmative marketing; minority outreach; environmental review; displacement, relocation, and acquisition; labor standards; lead-based paint; and conflicts of interest.

Scoring Criteria

There are no scoring criteria for HOME Administrative Subrecipient awards. Eligibility for these funds will be determined based on:

1. Whether proposed activities have a statewide impact.
2. Whether the proposal demonstrates a need for HOME funds.
3. Whether proposed activities meet the HOME regulatory requirements of an administrative subrecipient.
4. Whether proposed activities serve to further IHFA staff efforts.
5. The availability of HOME administrative funds.

Funding Limitations

As allowed by HOME regulations (24 CFR 92.207), IHFA may expend up to 10% of the annual allocation for payment of reasonable administrative and planning costs of the HOME program.

First Home/Plus

Difficulty in coming up with cash for a down payment is often the biggest obstacle for first-time homebuyers. Subsequently, IHFA has developed the First Home/Plus program, through which IHFA links HOME funds in the form of down payment assistance with its Mortgage Revenue Bond (MRB) program.

Eligible Applicants

The borrower must meet the following eligibility requirements:

1. Must be a first-time homebuyer (i.e. has not, at any time during the three years preceding the date of loan closing had an ownership interest in his/her principal residence), unless the buyer is purchasing a home located in a targeted area as published in IHFA's First Home/Plus Program Guide.
2. Must be income-eligible as published in IHFA's First Home/Plus Program Guide.
3. If a borrower is separated from their spouse, a legal separation agreement or a petition for the dissolution is required prior to preliminary approval.
4. Must reasonably expect to reside in the property as his/her principal residence within 60 days after the loan closing date on existing homes and within 60 days of completion for a newly constructed home.
5. Must currently be or intend to become a resident of the State of Indiana.
6. Must successfully complete a homeownership training program.

Eligible Activities

Income-eligible homebuyers can receive up to 10% of the home purchase price in down payment assistance in conjunction with a below-market interest rate mortgage through IHFA. The First Home/Plus program is operated through a partnership between IHFA and participating local lending institutions throughout Indiana. HOME down payment assistance is provided as a 0%, forgivable second mortgage. If the buyer resides in the property for five years, the second mortgage is forgiven. For the purchase of an existing home, for three months prior to the sale, the home must have been vacant, occupied by the seller, or rented to the household that is buying the home.

Funds are allocated on a first-come, first-served basis. Interested borrowers must contact a participating lender to apply for the program. Borrowers are encouraged to contact a participating lender for loan "pre-approval" before they begin looking for a house.

Borrowers must successfully complete a homeownership training program. The participating lender may choose the type of training the borrower receives; however, IHFA strongly recommends a face to face or classroom course given by a HUD approved counselor. A certificate of completion or achievement is required in the loan application package.

Funding Limitations

Depending upon their income, borrowers receive HOME funded down payment assistance of 5% or 10% (capped at \$3,500 and \$7,000, respectively) of the sales price or the appraised value of the property, whichever is less. Acquisition cost of the home may not exceed the lesser of the maximum as set forth in IHFA's First Home/Plus Program Guide or FHA 203(b) Mortgage Limits as published periodically by HUD.

First Home/One Down

IHFA and Fannie Mae jointly offers the First Home/One Down program, which allows qualified first-time home buyers to obtain mortgages with an investment as little as 1%. The loans are offered through IHFA and its statewide network of participating mortgage lenders. In many ways, the First Home/One Down program is operated in the same manner as IHFA's First Home/Plus program, as described in the previous section. Differences between the two programs are highlighted below.

IHFA/Fannie Mae's First Home/One Down program offers homebuyers affordable conventional financing. The qualified homebuyer obtains a first mortgage at a below market interest rate. HOME down payment assistance of 5% or 10% (capped at \$3,500 and \$7,000, respectively), depending upon the buyer's income, is provided in the form of a 0% forgivable second mortgage.

Borrowers must have at least 1% of their own funds invested in the transaction. Sellers may pay up to 3% of the sales price in closing cost. The normal Fannie Mae requirement of having cash reserves left in the bank after closing equal to two months mortgage payments is waived. Pre- and post-purchasing counseling, as well as a whole-house inspection, are requirements of the program.

First Home 100

The First Home 100 program combines IHFA's First Home program and Rural Development's Direct Loans to stretch resources and reach a broader number of eligible borrowers. It is available in areas that are served by Rural Development. Hoosiers can apply for the program through Rural Development offices.

IHFA and Rural Development have combined their income and purchase price limits to make it simpler to determine eligibility for the program. Under First Home 100, an eligible borrower would receive two mortgages, one from IHFA's First Home program, with a below market interest rate, and one from Rural Development, with an interest rate based on the applicant's ability to pay. In some cases, a borrower may also qualify for IHFA's HOME funded down payment assistance, which would result in a forgivable third mortgage to further reduce the borrower's monthly payments.

While IHFA's First Home programs are primarily restricted to first-time homebuyers, this requirement is waived in 30 rural Indiana counties that are designated as targeted areas by the U.S. Department of Housing and Urban Development. These areas largely coincide with the areas served by Rural Development.

HomeChoice

The HomeChoice program was created by Fannie Mae to provide affordable housing for low- to moderate-income individuals who are disabled or who have disabled dependents living with them. Fannie Mae has approved Indiana's HomeChoice Program, and a public announcement was made on January 24, 2001. The availability of this program in Indiana is the result of a team effort among IHFA, Fannie Mae, the Back Home in Indiana Alliance, and Irwin Mortgage. The program is tailored to meet the unique needs of people with disabilities by offering lower down payment requirements; flexible qualifying and underwriting standards; and use of non-traditional credit histories.

To be eligible for the HomeChoice, program applicants must meet certain requirements. Borrowers must be classified as disabled as established in the Americans with Disabilities Act of 1990 or be defined as handicapped by the Fair Housing Amendments of Act of 1988. Also, borrowers must be low- or moderate-income as defined by the U.S. Department of Housing and Urban Development (HUD), which varies by county. In addition, the borrower must occupy the home within 60 days of the loan's closing or completion.

During the pilot phase, HomeChoice will be offered in three counties: Bartholomew, Knox, and Marion, with hopes of going statewide in the future. IHFA has earmarked \$1 million in revenues from its non-taxable mortgage revenue bonds (MRBs) to finance the first mortgages. Additionally, borrowers receive HOME funded down payment assistance of 10% of the sales price or the appraised value of the property, whichever is less. Irwin Mortgage will originate the mortgages, and the Back Home in Indiana Alliance will market, screen applicants, and coordinate counseling for the program. If the program is deemed successful, the HomeChoice partners will assist IHFA in broadening the program throughout the state, and additional sources of funds will be identified.

HOME Investment Partnerships Program – Funds Transfer

IHFA, at its discretion, may authorize HUD to transfer a portion of the State's allocation of HOME Investment Partnerships Program funds to qualifying communities to meet a \$500,000 threshold funding level.

HOME Investment Partnerships Program - Resale/Recapture Guidelines

In accordance with the HOME Investment Partnerships Program, 24 CFR Part 92.254(a)(4), the State of Indiana is establishing policy guidelines to ensure affordability for low-income homebuyers. Because of the diversity of program designs throughout the State, recapture provisions will be appropriate for some housing activity designs and resale provisions will be appropriate for others.

Affordability Periods

HOME-assisted housing must meet the affordability requirements listed below, beginning after project completion. Project completion, as defined by HUD, means that:

- all necessary title transfer requirements and construction work have been performed;
- the project complies with the HOME requirements, including the property standards requirement under 24 CFR 92.251;
- the final drawdown has been disbursed for the project; and
- the project completion information has been entered into HUD's IDIS system.

Homeownership Assistance HOME amount per unit	Minimum period of affordability
under \$15,000	5 years
\$15,000 - \$40,000	10 years
over \$40,000	15 years

Termination of Affordability Period

The affordability restrictions must terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure, or assignment of an FHA insured mortgage to HUD. The housing provider of HOME funds may use purchase options, rights of first refusal, or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, or any entity that includes the former owner or those with whom the former owner has or had family or business ties, obtains an ownership interest in the development.

Resale Guidelines

Where the program design calls for no recapture or where a program sponsor so chooses, the guidelines for resale may be adopted in lieu of recapture guidelines. Resale restrictions will require the seller to sell the property only to a low-income family that will use the property as their principal residence. The term "low-income family" shall mean a family whose gross annual income does not exceed 80% of the median family income for the geographic area as published annually by HUD.

The purchasing family should pay no more than 30% of its gross family income towards the principal, interest, taxes, and insurance for the property on a monthly basis. Individual grantees may, however, establish guidelines that better reflect their mission and clientele. Such guidelines should be described in the application, program guidelines, or award agreement. The housing shall remain affordable to a reasonable range of low-income buyers for the period described in the HOME regulations, as from time to time may be amended.

The homeowner selling the property will be allowed to receive a fair return on investment, which will include the homeowner's investment and any capital improvements made to the property.

Recapture Guidelines

The amount of HOME funds subject to recapture is based on the amount of HOME assistance that enabled the homebuyer to buy or lease the dwelling unit. This includes any HOME assistance that reduced the purchase price from the fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value (i.e., development subsidy). IHFA will calculate the amount of HOME recapture based on the lesser of (1) the prorated amount remaining to be forgiven each year for the term of the affordability period; or (2) the net proceeds of from the sale of the house shared between IHFA and the homeowner.

Proration

The affordability period is determined by the amount of HOME funds that went into the unit. IHFA's grantees must determine in their program guidelines the amount of prorata share that will be forgiven each year over the affordability period.

Net Sale Proceeds

The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. If the net proceeds are not sufficient to recapture the full amount of the HOME investment plus recover the amount of the homeowner's down payment and any capital improvement made by the owner since purchase, IHFA will share the net proceeds with the homeowner.

The net proceeds may be divided proportionally as set forth in the following mathematical formula:

$$\text{HOME Recapture Amount} = (HI/(HI + HOI)) \times \text{Net Proceeds}$$

$$\text{Homeowner Amount} = (HOI/(HI + HOI)) \times \text{Net Proceeds}$$

HI = HOME Investment

HOI = Homeowner Investment

Capital Improvements

Capital improvements are defined as the cost of improvements that increase the value of property or lengthen its life. Examples include, but are not limited to, putting a recreation room in an unfinished basement, adding another bathroom or bedroom, putting up a fence, putting in new plumbing or wiring, installing a new roof, or paving the driveway.

**Indiana Housing Finance Authority
2002 Proposed CDBG and HOME Allocations**

Community Development Block Grant (CDBG)

	Proposed FY 00		Awards During PY 00 7/1/00 - 6/30/01		Proposed FY 01		Awards To Date During PY 01 7/1/01 - 2/28/02		Proposed FY 02	
Foundations	\$200,000	4%	\$311,200	6%	\$300,000	6%	\$236,050	5%	\$500,000	10%
-Housing Needs Assessments	\$100,000	2%	\$295,000	6%	\$200,000	4%	\$170,000	3%	\$350,000	7%
-Site-Specific Feasibility Studies	\$100,000	2%	\$16,200	0%	\$100,000	2%	\$66,050	1%	\$150,000	3%
Housing from Shelters to Homeownership	\$4,800,000	96%	\$4,954,259	94%	\$4,700,000	94%	\$4,822,600	95%	\$4,500,000	90%
-Emergency Shelters ¹	\$500,000	10%	\$1,296,759	25%	\$500,000	10%	\$0	0%	\$500,000	10%
-Youth Shelters ¹	\$500,000	10%	\$0	0%	\$500,000	10%	\$0	0%	\$500,000	10%
-Transitional Housing ¹	\$500,000	10%	\$0	0%	\$500,000	10%	\$0	0%	\$500,000	10%
-Migrant/Seasonal Farmworker Housing	\$500,000	10%	\$0	0%	\$500,000	10%	\$427,600	8%	\$500,000	10%
-Rental Housing	\$750,000	15%	\$500,000	9%	\$750,000	15%	\$200,000	4%	\$600,000	12%
-Owner-Occupied Units	\$2,050,000	41%	\$3,157,500	60%	\$1,950,000	39%	\$4,195,000	83%	\$1,900,000	38%
Total ²	\$5,000,000	100%	\$5,265,459	100%	\$5,000,000	100%	\$5,058,650	100%	\$5,000,000	100%

HOME Investment Partnerships Program (HOME)

	Proposed FY 00		Awards During PY 00 7/1/00 - 6/30/01		Proposed FY 01		Awards To Date During PY 01 7/1/01 - 2/28/02		Proposed FY 02	
Foundations	\$200,000	1%	\$253,075	2%	\$450,000	3%	\$176,200	1%	\$500,000	3%
-CHDO Predevelopment Loans	\$200,000		\$225,075	2%	\$250,000	2%	\$156,200	1%	\$300,000	2%
-CHDO Seed Money Loans	\$0		\$28,000	0%	\$200,000	1%	\$20,000	0%	\$200,000	1%
Housing from Shelters to Homeownership	\$7,218,800	51%	\$8,852,732	61%	\$7,009,900	43%	\$7,106,796	58%	\$9,642,300	59%
-Transitional Housing ¹	\$1,500,000	11%	\$1,768,097	12%	\$1,500,000	9%	\$580,537	5%	\$1,000,000	6%
-Rental Housing	\$2,000,000	14%	\$2,786,535	19%	\$1,500,000	9%	\$2,507,715	21%	\$2,500,000	15%
-Lease-Purchase Units	\$1,000,000	7%	\$490,000	3%	\$1,000,000	6%	\$490,000	4%	\$0	0%
-Homebuyer Units	\$1,000,000	7%	\$936,700	6%	\$1,000,000	6%	\$2,569,314	21%	\$2,142,300	13%
-Owner-Occupied Units	\$1,000,000	7%	\$345,620	2%	\$1,000,000	6%	\$200,230	2%	\$2,000,000	12%
-Homeownership Counseling/Downpayment Assistance	\$718,800	5%	\$2,525,780	17%	\$1,009,900	6%	\$759,000	6%	\$2,000,000	12%
HOME/RHTC	\$1,250,000	9%	\$1,287,700	9%	\$3,000,000	19%	\$1,340,000	11%	\$4,000,000	24%
-Transitional Housing ¹	\$0	0%	\$0	0%	\$0	0%	\$0	0%	\$1,000,000	6%
-Rental Housing	\$1,250,000	9%	\$1,287,700	9%	\$3,000,000	19%	\$1,340,000	11%	\$3,000,000	18%
CHDO Works - CHDO Operating Grants	\$500,000	4%	\$570,000	4%	\$600,000	4%	\$419,500	3%	\$660,000	4%
First Home Plus Program ³	\$3,300,000	23%	\$2,144,381	15%	\$3,300,000	20%	\$1,872,701	15%	\$0	0%
HOME/501c3 Bonds	\$250,000	2%	\$0	0%	\$150,000	1%	\$0	0%	\$0	0%
Administration ⁴	\$1,413,200	10%	\$1,183,816	8%	\$1,612,100	10%	\$943,464	8%	\$1,644,700	10%
-IHFA Administrative Expenses and Professional Contracts			\$881,122	6%			\$647,406	5%		
-Administrative Subrecipient Agreements			\$302,694	2%			\$296,058	2%		
Total ²	\$14,132,000	100%	\$14,594,398	100%	\$16,122,000	100%	\$12,154,719	100%	\$16,447,000	100%

Notes:

¹ Emergency shelters, youth shelters, and transitional housing funding goals - \$2.5 million for calendar years 1994-1999, \$3 million for calendar year 2000-2001, \$3.5 million beginning in calendar year 2002.

² Total amount awarded may differ from amount available due to deobligations and reallocations of prior year funding.

³ Award column includes houses funded with HOME Program Income. Data reflects closing date.

⁴ Proposed amount includes total admin for IHFA, grantees, subrecipients, & other professional administrative contracts. Award column excludes grantee admin funds.

ESG Allocation Plan

EMERGENCY SHELTER GRANT 2003 - 2004

NAME	Allocation
ADAMS CO. CRISIS SHELTER	\$10,000.00
AIDS MINISTRIES	\$15,525.00
ALBION FELLOW BACON	\$12,751.00
ALTERNATIVES	\$40,000.00
ARCHDIOCESE OF INDPLS, ST. ELIZABETH	\$30,025.00
CATHOLIC SOCIAL SERVICE OF CENTRAL IN	\$27,254.00
CENTER FOR WOMEN AND FAMILY	\$30,000.00
CHRISTIAN COMM ACTION OF PORTER CO	\$10,300.00
CHRISTIAN LOVE HELP CENTER	\$10,000.00
CITIZENS CONCERNED 4 HOMELESS	\$21,481.00
COLUMBUS REG SHEL 4 WOMEN (TURNING P	\$15,520.00
COMMUNITY & FAMILY SERVICES, INC.	\$10,401.00
COMMUNITY ACTION PORTER-EVAN & VAND CO	\$30,098.00
COMMUNITY ANTI-VIOLENCE ALLIANCE	\$10,000.00
COMMUNITY SERVICE CENTER - MORGAN CO	\$40,000.00
COUNCIL ON DOMESTIC ABUSE	\$10,000.00
CRISIS CENTER/A YOUTH SVCE BUREAU	\$10,000.00
CRISIS CONNECTION	\$16,000.00
DAYSPRINGS CENTER	\$19,475.00
DISMAS INC.	\$10,424.00
ECHO HOUSE CORP	\$25,900.00
EMMAUS MISSION CENTER	\$10,100.00
EVANSVILLE GOODWILL INDUSTRIES	\$22,156.00
FAM. CRISIS SHELTER OF MONTGOM CO	\$11,000.00
FAMILY SERVICE SOCIETY (HANDS OF HOPE	\$28,796.00
FAMILY SERVICES OF DELAWARE COUNTY	\$27,000.00
FAMILY SERVICES OF ELKHART COUNTY	\$24,831.00
FORT WAYNE WOMEN'S BUREAU	\$15,000.00
GARY COMM ON THE STAT OF WOM/ARK	\$30,000.00
GENESIS OUTREACH, INC	\$13,400.00
GENESIS PLACE, INC.	\$23,284.00
GENNESARET FREE CLINIC	\$12,000.00
GOSHEN INTERFAITH HOSP NETWORK	\$25,068.00
HANCOCK HOPE HOUSE	\$24,179.00
HAVEN HOUSE SERVICES	\$37,000.00
HAVEN HOUSE, INC.	\$10,000.00
HEART HOUSE, INC.	\$10,000.00
HOPE HOUSE ADDICTION RECOVERY	\$12,000.00
HOPE HOUSE INC.	\$13,000.00
HORIZON HOUSE, INC	\$36,583.00
HOUSE OF BREAD AND PEACE	\$10,300.00
HOUSING AUTHORITY OF GREENCASTLE	\$13,459.00
HOUSING OPPORTUNITY	\$10,000.00
HUMAN SERVICES	\$32,680.00
INDIANAPOLIS INTERFAITH HOSPITALITY	\$10,000.00
INTERFAITH MISSION, INC.	\$13,300.00
JACKSON COUNTY CENTRAL SERVICES, INC.	\$10,000.00

NAME	Allocation
KNOX.CTY.DV.	\$10,000.00
KOS.CTY.SHEL.ABUSE	\$37,509.00
LAFAYETTE TRANSITION HOUSING CENTER	\$40,000.00
LAFAYETTE URBAN MINISTRIES	\$23,196.00
LIFE CHOICE, INC.	\$23,535.00
LIFE TREATMENT	\$25,050.00
MARGARET ALEXANDER C.H.I.L.D. CENTER	\$10,000.00
MARION HOME FOUNDATION	\$21,000.00
MARTIN LUT KING COMM/COBURN PLACE	\$10,000.00
MIDDEL WAY HOUSE	\$20,684.00
NOBLE HOUSE	\$10,000.00
NORTH CENTRAL IND. RURAL	\$12,581.00
OPEN DOOR COMMUNITY SERVICES,INC	\$40,000.00
PRISONER & COMMUNITY TOGETHER	\$10,000.00
PROJ STEPPING STONE OF MUNCIE	\$10,000.00
PROVIDENCE SELF SUFF. MINISTRIES, INC	\$11,000.00
QUEST FOR EXCELLENCE	\$19,833.00
ROOSEVELT MISSION, INC.	\$25,433.00
SAFE PASSAGE	\$10,000.00
SALVATION ARMY - RUTH LILLY SOCIAL SE	\$27,569.00
SHELTER INC.	\$35,000.00
ST. JUDE, INC.	\$11,081.00
STEPPING STONE 4 VET. INC.	\$13,200.00
STEPPING STONE SHELTER 4 WOMEN	\$11,957.00
THE CARING PLACE	\$23,000.00
THE CENTER FOR THE HOMELESS	\$33,409.00
THE JULIAN CENTER	\$32,000.00
THE MENTAL HEALTH ASSOCIATION	\$29,995.00
THE SALVATION ARMY EVANSVILLE	\$15,427.00
THE SALVATION ARMY HARBOR LIGHT	\$29,177.00
THE SALVATION ARMY KOKOMO	\$10,000.00
THE SALVATION ARMY LAFAYETTE	\$10,100.00
THE SALVATION ARMY VINCENNES	\$10,000.00
THE UNITED CARING SHELTER	\$19,119.00
TWIN OAKS HOUSING CORPORATION	\$10,000.00
VINCENT HOUSE	\$15,000.00
YOUTH SERVICE BUREAU OF ST. JOSEPH	\$11,751.00
YWCA EVANSVILLE	\$10,551.00
YWCA FAMILY INTERVENT (KOKOMO)	\$10,000.00
YWCA FT. WAYNE	\$10,200.00
YWCA GREATER LAFAYETTE	\$13,654.00
YWCA RICHMOND	\$15,000.00
YWCA ST. JOE.	\$14,199.00

TO: Emergency Shelter and Domestic Violence Providers

FROM: Joan M. Cochran, Section Manager

THROUGH: Thurl B. Snell, Deputy Director

DATE: December 28, 2001

SUBJECT: 2003 – 2004 Emergency Shelter and Violence Funding Applications

We are pleased to provide the combined Emergency Shelter, (ESG) Domestic Violence (DV) and Sexual Assault application packet. Renitra Moore-Marion, ESG Program Specialist, and Lena Harris, DV Program Specialist, have worked vigorously on refining and shortening the application process.

Each year the Division of Family and Children (DFC) awards funds to agencies statewide providing Emergency Shelter Grant (ESG) services (including transitional housing) and/or Family Violence programs. The programs combined in this packet are Emergency Shelter (0306); Social Service Block Grant (0600); Domestic Violence Prevention and Treatment (0640); Federal Family Violence Services (0620); and Sexual Assault Services (0900). We do hope you find this process more efficient.

Before your submission, please note the following:

1. This will be a 2-year grant period.
2. Agencies may apply for any or all ESG/Violence funding they are qualified to administer.
3. The application format has been updated. Please read each question carefully and answer as fully as possible.
4. All sections for which you are applying must be fully completed. Incomplete answers or missing documents will result in a reduction of the application's score.
5. Please be advised that certain items must be included in the application. Each application will be evaluated and scored by no less than two members of the Review Committee. The scores will be averaged and funding awards will be based on the averaged scores. **See Service Descriptions for minimum scores.**
6. Each program section is designated by a different color: ESG, Section 1 – Blue; Social Service Block Grant, Section 2 – Beige; DVPT, Section 3 – Pink; Federal Family Violence, Section 4 – Yellow; and Sexual Assault Services, Section 5 – Green. **Only complete and return those sections where funds are being requested. Pages are to be sequentially numbered. Sections are to be tabbed. Proposals and copies are to be three hole punched and submitted in a pocket folder, with one side for the common information and one for the program section.**

7. When applying for both the ESG and Violence funding, please submit an extra copy of the common information.
8. Please read carefully the "Description of Grants and Funding Opportunities" section. These service descriptions detail the programs that an agency must provide in order to apply for funding.
9. ESG funds are awarded on a statewide competitive basis. **ESG awards will have a maximum of \$40,000 and a minimum of \$10,000.**
10. ESG funds will only be awarded to organizations that provide actual shelter for the homeless. This includes day shelters.
11. The Secretary of State's Certificate of Existence must be in the agency's legal, Incorporated name, **not doing business as**. This will expedite the application process and assist in ensuring you receive your grant timely.
12. All Funded Programs are **required** to have Internet access. This will facilitate the mandatory reporting of statistics and demographics to federal funding sources. Please be sure to list your e-mail address for the shelter director on the information page.

Staff will provide **mandatory** training on the application process and other important information, on January 23, 2002, at 10:00 am. in the Government Center South Auditorium. The Review for Application of Funds score sheet will be provided at the training. Please contact Ms. Moore-Marion with the number of attendees at 800.341.3614, extension 7117.

The application deadline is Monday, February 11, 2002. Applications received after 4:30 p.m. on that date, faxed proposals, or incomplete submissions will **NOT** be considered.

Please submit one original application and one copy to the attention of:

**JANET CORVIN
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION
402 W. WASHINGTON ST., ROOM W 381
P. O. BOX 6116
INDIANAPOLIS, IN 46206-6116**

Should you have any questions regarding the ESG or the family violence applications, the process, or procedures, please contact Renitra Moore-Marion, ESG Program Specialist, at 317.232.7117, or Lena Harris, Family Violence Program Specialist, at 317.232.4241. They can also be reached toll free at 1.800.341.3614, extension 7117 or extension 4241. We look forward to your participation in this process.

Cc: James M. Hmurovich
DFC Regional Managers
DFC Deputy Directors (Letter Only)
HCSS Staff (Letter Only)
Local Offices of the Division of Family and Children (Letter Only)

FUNDING APPLICATION TRAINING

AGENDA

Date: January 23, 2002

Time: 10:00 AM

Place: Indiana Government Center South, Auditorium

- | | |
|--|---|
| <i>10:00 am – 11:00 am</i> | 2002-2004 Funding Application Review |
| | A. Grant Writing Tips |
| <i>11:00 am – 12:00 am</i> | Fiscal Review |
| | A. Contract Management System |
| | B. Forms |
| | 1. FSSA Data Form |
| | 2. W 9 |
| | 3. Taxpayer Identification Number Request |
| | 4. Budget Forms |
| | 5. Close-out Reports |
| | C. Common Unintentional Errors |
| <i>12:00 pm – 1:00 pm</i> | Lunch |
| <i>Afternoon Session – For all 2002 Grant Recipients</i> | |
| <i>1:00 pm – 2:00 pm</i> | Claims Review |
| | A. How to Claim |
| | B. How to Document the Advance |
| <i>2:00 pm – 3:00 pm</i> | Shelter Search Review |
| <i>3:00 pm – 4:00 pm</i> | Other Funding Sources |
| <i>4:00 pm – 4:30 pm</i> | Meeting with all 2001-2002 ESG Recipients |

**FAMILY AND SOCIAL SERVICES ADMINISTRATION
DIVISION OF FAMILY AND CHILDREN
Housing and Community Services Section**

EMERGENCY SHELTER AND VIOLENCE PROGRAMS

**FY 2003 and FY 2004
APPLICATION FOR FUNDS
COVER PAGE**

Contact Information

Emergency Shelter

Renitra Moore-Marion
Housing and Community Services
P.O. 6116
402 W. Washington St.
Indianapolis, Indiana 46206
317.232.7117
800.341.3614, ext. 7117
rmoore-marion@fssa.state.in.us

Family Violence

Lena Harris
Housing and Community Services
P.O. Box 6116
402 W. Washington St.
Indianapolis, Indiana 46206
317.232.4241
800.341.3614, ext. 4241
lharris@fssa.state.in.us

Please check which application(s) you are completing:

_____ESG (0306) _____SSBG (0600) _____DVPT (0640)
_____FFV (0620) _____SOS (0900)

(Required Information for all Proposals)

5

GENERAL INSTRUCTIONS

- Completed applications for Emergency Shelter and Violence funding from the Division of Family and Children, for fiscal year FY'2003 and FY'2004 must be received by the Division of Family and Children at the address below by **4:30 PM (EST) on Monday, February 11, 2002.** Materials received after the deadline or apart from the application are ineligible for funding and will not be considered. Faxed copies will not be accepted for funding. The Division of Family and Children will review and make all funding decisions. For acknowledgment that the proposal has been received, include a self-addressed stamped postcard that will be mailed to the applicant when the proposal is received. **A copy of the application is available on disk upon request.**
- Applications may be mailed to or delivered to following address:

**JANET CORVIN
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION
402 W. WASHINGTON ST., ROOM W 381
P. O. BOX 6116
INDIANAPOLIS, IN 46206-6116**

Application materials delivered to any other address will not be considered.

- Applications must be consistent with the service description and comply with requirements contained in this notice of grant availability.
- Submit **one (1) original and one copy** of the application. The original must be signed in **blue** ink. Applications will not be accepted through email or facsimile.
- Each copy is to be three hole punched and submitted in a pocket folder, one side for the common information and one side for the program information.
- When applying for both ESG and the Violence funding, please attach an extra copy of the common information.
- The application must be typed (no smaller than 12 pitch) and single-spaced. **Each page must be numbered sequentially beginning with the Cover Sheet.**
- **Tabbing for the original and the copy - Each required document of the Common section should be tabbed along with each funding program you are applying for.**
- Certain sections of the narrative have **page limits**, which must not be exceeded.
- The application must follow the format and order presented herein. The forms provided with this notice **must be** utilized in completing the application, but may be reproduced on your computer.
- **The application will not be reviewed if all required documents (e.g. Certificate of Existence, Board Member Information, budget, etc.) are not submitted.**
- Do not send, attach, or include any pamphlets, publications, or brochures with your grant application.
- Refer to the Unallowable Expenses Section when preparing program budget forms.

DESCRIPTION OF GRANTS AND FUNDING OPPORTUNITIES

This section provides information regarding each grant available for application. If your agency is applying for the grant listed, please indicate that you wish to apply by marking Yes and complete the funding questions. If you are not applying, mark No and move to the next section. If there is a required match, it should be included in the total project costs.

Each grant opportunity has a color-coded section within this application packet. If your agency indicates that it is applying for a grant, the corresponding color-coded section for that grant must be completed. If you are not applying for a grant, please do not complete the color-coded section for that grant.

SERVICE DESCRIPTIONS

EMERGENCY SHELTER GRANT (0306) Section 1, Blue – The program is designed to help improve the quality of existing emergency shelters for homeless people, to help make available additional emergency shelter space, to help meet the costs of operating shelters and of providing certain essential social services to homeless individuals and families. Homelessness is basically defined as an individual or family who lacks a fixed, regular, and adequate nighttime residence. Thus, persons will have access not only to safe and sanitary shelter, but also the supportive services and other kinds of assistance needed to improve their lives. Further, the program is also intended to restrict the increase of homelessness through the funding of prevention programs and activities. **100% match is required for this grant.**

A minimum score of 70 is required to receive funding.

Apply for: YES NO

(If yes, complete **Blue** section of application packet)

ESG dollars requested: \$ _____

ESG Match funds: \$ _____

Total Project Cost: \$ _____

SERVICE DESCRIPTIONS

SOCIAL SERVICE BLOCK GRANT (0600) Section 2, Beige – Comprehensive Residential Services to victims of domestic violence will be purchased from facilities that have been providing this service for two years and have been reviewed by the State and found in compliance with the State Standards for Domestic Violence Shelters. Victims of family violence are persons who have experienced or who believe they are in danger of experiencing abuse caused by a spouse, ex-spouse, partner, other family members or persons in a shared domicile. Service is intended to be short-term for emergency and crisis situations and are not to exceed forty-five days per incident. Comprehensive Residential Services provides temporary shelter and meals, 24-hour crisis intervention, case management services and emergency/essential transportation for victims of family violence and their dependent children. **No match is required.**

A minimum score of 90 is required to receive funding.

Apply for: YES NO

(If yes, complete **Beige** section of application packet)

SSBG dollars requested: \$ _____

Total Project Cost: \$ _____

SERVICE DESCRIPTIONS

DOMESTIC VIOLENCE PREVENTION AND TREATMENT FUND (DVPT) Section 3, Pink -

The goal for DVPT service is to prevent or remedy abuse, neglect, or exploitation of victims of domestic violence (DV). Victims of domestic violence are defined as those who have experienced or believe themselves to be in danger of experiencing abuse caused by a spouse, ex-spouse, partner, other family member or person in a shared domicile. Comprehensive Residential Services provides for victims of domestic violence (18 years or older) and their dependent children, in residence, at a shelter. Services are intended to be short-term for emergency and crisis situations and are generally limited to 45 days per episode from point of intervention. Non-Residential Services provides for victims of domestic violence to receive counseling and supportive services without being in-residence at a DV shelter. **A match of 25% is required.**

A minimum score of 70 is required to receive funding.

Apply for: YES NO

(If yes, complete **Pink** section of application packet)

DVPT dollars requested: \$_____

DVPT Match funds: \$_____

Total Project Cost: \$_____

SERVICE DESCRIPTIONS

FEDERAL FAMILY VIOLENCE SERVICES (0620) Section 4, Yellow – This service is to assist in establishing, maintaining and expanding programs and projects to prevent family violence and to provide immediate shelter and other related assistance for victims of violence; information and referral and victim advocacy services in the areas of health issues, social and mental health services, family counseling, job training and employment opportunities, legal assistance and counseling for victims and their children. **If an existing grantee, a 20% match is required for this grant. If your agency is a new grantee, then a match of 35% is required.**

A minimum score of 70 is required to receive funding.

Apply for: YES NO

(If yes, complete **Yellow** section of this application packet)

Family Violence funds requested: \$_____

Family Violence Match funds: \$_____

Total Project Cost: \$_____

SERVICE DESCRIPTIONS

SEXUAL ASSAULT SERVICES (0900) Section 5, Green – This program utilizes funds for preventive health service program activities consistent with making progress toward achieving the directives established for the health status of the population for the year 2003 and 2004. Program guidelines allow for services to victims of sex offenses and for the prevention of sex offenses, especially rape. The program provides for planning, administration and educational activities related to the project. Program funds may also be used for monitoring, evaluation, and start-up for performance activities to prevent diseases and improve the health status of citizens. **No match is required.**

Priorities:

- 1) Identify at-risk potential for sexual assault victims with focus on housing communities and high-risk crime areas.
- 2) Develop unserved and undeserved areas to make services available.
- 3) Outreach to minority populations by providing educational programs regarding reporting, availability of services and prevention education programs.
- 4) Develop a place to educate male sex offenders under the age of thirty.

A minimum score of 70 is required to receive funding.

Apply for: YES NO

(If yes, complete **Green** section of application packet)

Sexual Assault Services dollars requested: \$ _____

Total Project Cost: \$ _____

COMMON INFORMATION SECTION

(When applying for both the ESG and Family Violence funding, please submit an extra copy of the common information)

PLEASE ATTACH THE FOLLOWING INFORMATION.

- W-9 – Taxpayer Identification Number Request
- Automatic Direct Deposit Authorization Agreement
- FSSA Provider Data Form
- Overall description of agency – A description of your agency that should provide a reviewer with a clear, concise overview of your organization. By reading this description, a reviewer should understand the purpose of your agency, mission, goals, major programs, projects and accomplishments, certifications, services provided, targeted population you serve, etc. (Not to exceed one page)
- History of agency (Not to exceed one page)
- List of current board members (Form enclosed)
- Most recent agency organization chart
- Articles of Incorporation
- Secretary of State Certificate of Existence (Must be the most recent)
- Agency Rules and Termination Policy, where applicable.
- A copy of current fire inspection and health department inspection. (Facilities only)
- 3 Letters of Support or Memorandums: One from the local Office of Division of Family and Children (DFC). *(If servicing three (3) or more counties, please attach no less than three (3) DFC support letters)* Two letters or Memorandums of Understanding from social service providers (i.e. community action agencies, churches, hospitals, schools, mental health facilities, trustees, etc.)

CERTIFICATES OF INSURANCE AND BONDING

Attach a copy of the Insurance Declaration Page indicating the current amount of coverage:

1. General Liability (minimum coverage: if your agency receives ESG funding the minimum is \$500,000. If your agency receives DV funding only, the minimum is \$300,000).
2. Automobile Liability (must include non-owned vehicles)
3. Workmen's Compensation and Unemployment Compensation
4. Bond of insurance coverage for all persons who will be handling funds in an amount equal to one-half (1/2) of the total annual funding provided by the State or \$250,000, whichever is less
5. Coverage for losses due to fire, flood, and natural disasters.

TOTAL AGENCY BUDGET

Attach a copy of your organization's current budget. (Total agency)

FINANCIAL STATEMENTS

Attach a copy of your organization's most recently completed year-end financial statements. (Annual or Fiscal Year-End, Audited if Applicable)

CERTIFICATION STATEMENT AND SIGNATURE: Please complete the enclosed form certifying that authority has been given for the agency to apply for funding. (Form enclosed)

FSSA PROVIDERS DATA FORM INSTRUCTIONS

The FSSA providers Data Form is used by the Claims Management System (CMS) and the Auditor's Office to insure data integrity for the issuance of checks and processing of claims.

For the most part, the form is self-explanatory. We would like to call your attention to three areas, which deserve special attention.

EIN:

The "Provider's FID/EIN/SSN Line item must be correctly entered. Most agencies will have an EIN number that starts with 35-. It is important that this information be correct because FSSA pays all claims by referencing the EIN number.

How frequently do you wish to claim for reimbursements?

You have two choices – Monthly with 12 claims and Semi-Monthly with 24 claims and additional manual claims included. Choose one or the other. If you choose 24 claims, please indicate by checking the box and circling "Semi-Monthly-24 claims" in **RED** ink.

Counties for which funding is requested:

These are the counties in which you actually provide services to clients. These are **NOT** the normal residency county clients come from. If the agency, by formal agreement, authorization, or funding formula, provides services for other counties, other than the county where your physical structure is located, check those counties.

You may check "State-Wide" only if you truly provide services in the entire State. You will get one claim form for the State Wide Services. State Wide is **NOT** to be used to indicate the client residency county origination.

For additional information on the W-9, Automatic Direct Deposit Agreement and the FSSA Providers Data Form, please contact Douglas Johnson, Grants Coordinator 1.800.341.3614 ext. 7028.

BOARD MEMBER INFORMATION
DUPLICATE FORM AS NECESSARY

ORGANIZATION: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									

BOARD MEMBER INFORMATION
DUPLICATE FORM AS NECESSARY

ORGANIZATION: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
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COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									
MEMBER: _____					POSITION: _____				
MAILING ADDRESS: _____									
TERM BEGAN: _____					TERM ENDS: _____				
COUNTY REPRESENTED: _____					PHONE: _____				
GROUP REPRESENTED: _____									

CERTIFICATION STATEMENT AND SIGNATURE

Grantee Name:

In order for your agency to be considered for a contract, the following certification Statement must be SIGNED BY THE INDIVIDUAL AFFILIATED WITH YOUR AGENCY WHO IS AUTHORIZED (in your by-laws) TO SIGN YOUR CONTRACT. This certification must be submitted with all proposal materials.

I have read the request for proposal materials and understand the Intent, Limitations, and Requirements of services purchased through this proposal and the Contractual requirements of the State.

I hereby certify that all program information in the program proposal forms are true and correct and accurately reflects the agency's program. I understand and will comply with the programmatic contractual requirement placed upon this agency if we are awarded a contract.

I hereby certify that the FY'03 Projected Budget page completed for this agency is true and accurately reflects the agency's projected cost of service delivery. I certify that no collusion has contractual requirements placed upon the agency, if we are awarded a contract.

Signature:

Name: (typed or printed)

Title:

Agency's Legal Name:

Date:

UNALLOWABLE EXPENSES

Unallowable expenses include, but may not be limited to the following:

Advertising

Advertising other than for recruitment of personnel or volunteers or for specialized materials is not allowable.

Bad Debt

Bad debt expense is not an allowable expense.

Capital Expenditures

The cost of any capital purchase of \$5000 or more is not allowed as an expense except through yearly depreciation unless the provider has prior written approval from the Indiana Division of Family and Children.

Client Wages

Wages paid by the provider to recipients of purchased services should be offset by program income and are not allowable as expense.

Contingencies or Reserve Funds

Funds reserved for specific or unforeseen future expenses are not allowable as expenses for purchased services.

Contributions

Contributions or donations made by providers to others are not allowable expenses for purchased services or grants.

Depreciation on Assets Purchased with Federal or State Funds

Depreciation on building or equipment furnished by the federal government, purchased through federal grants or by state monies is not an allowable expense.

Entertainment Cost

Cost of entertainment, meals, diversions and ceremonials are not allowable expenses.

Expenses Offset by Other Federal Revenue

Expenses allocated to other federal programs are not allowable expenses.

Fines and Penalties

Fines and penalties are not allowable as expenses for purchased services.

Fund Raising Costs

Costs incurred for fund raising should be offset by fund raising revenue and are not allowable as expenses.

In-Kind Expenses

In-Kind expenses recorded to recognize the value of donated space, goods, and services are not allowable as service or grant expenses, but may qualify as required match.

Legal Expenses

Legal expenses not directly benefiting purchased services are not allowable expenses.

Interest Expense

Interest expense is not an allowable expense.

Contract Supplies

Supplies used in the production of goods to be sold should be offset by program income and are not allowable as expenses.

Moving Costs

The provider's cost of moving is not an allowable expense.

Organization Costs

The provider's cost of organizing or reorganizing as a legal entity are not allowable as expenses.

Taxes

Taxes for which the provider could be exempted are not allowable as expenses. Related penalties from prior years are not allowable as expenses.

SECTION 1 - BLUE

EMERGENCY SHELTER GRANT

FUNDING APPLICATION

(0306)

JULY 1, 2002 - JUNE 30, 2003 - FY 2003

AND

JULY 1, 2003 - JUNE 30, 2004 - FY 2004

EMERGENCY SHELTER GRANT
APPLICATION INSTRUCTIONS

SECTION PROPOSAL PAGE LIMIT: Eight pages, including program narrative information, two financial narratives - one for each year and certification of local approval for nonprofit organizations.

Emergency Shelter funds may be used for:

1. Essential Services: Such services include, but are not limited to, those concerned with employment, health, substance abuse, education, child care, transportation, assistance in obtaining other federal, state, and local assistance, and assistance in obtaining permanent housing. Staff salaries that provide direct case management services necessary to offer such services are allowable costs.
2. Shelter Operating Costs: These costs include rent, utilities, essential equipment, supplies, insurance, and administrative staff costs, (which do not provide direct client services).
3. Homeless Prevention Activities: These activities include, but are not limited to, short term subsidies to defray rent and utility arrearages, security deposits or first month's rent, landlord mediation programs, legal services for indigent tenants, payments to prevent home foreclosure, and other innovative programs and activities designed to prevent the incidence of homelessness.

Program Narrative: The Emergency Shelter program narrative section must contain the following components:

- ❑ Abstract: This section should clearly and concisely summarize the ESG project for which you are requesting funds.
- ❑ Needs Statement: This section documents the needs to be met or problems to be solved by the proposed project. The Needs Statement should provide data that supports the need in the applicant's proposed service area. It should outline the coordination of services in the area and the agency's involvement in the area's continuum of care. This section should answer the following questions:
 1. Identify who the program will serve including factors that characterize the population.
 2. Where is this population located geographically?
 3. How will the identified population be referred or directed to your program?

This section should contain necessary statistics to demonstrate relevant physical, economic, social, financial, institutional, or other problems.

- ❑ Objectives: This section should outline the primary measurable objectives of this project on which evaluation will be based. The Objectives are the "outcomes" of your activities. Objectives should: (1) Tell who (2) is going to do what (3) when (4) how much and (5) how you will measure it.

- ❑ **Action Plan:** This section should describe the activities to be employed to achieve the desired results. The Action Plan describes the steps to be taken and should flow from the objectives. Actions should be understandable, clear and accompanied by an explanation of the rationale underlying your choice of method. The Action Plan should describe staffing, clients and time frames.
- ❑ **Evaluation:** This section presents your plan for determining the degree to which objectives are met and action plans are followed. The Evaluation should determine the extent to which the program has achieved its stated objectives. The section should explain who will be performing evaluation activities, define evaluation criteria, explain methods for gathering data, describe tools and instruments used in evaluation, and describe how evaluation will be used to improve the program.

Financial Narrative: **(Use enclosed form and See attached instructions. Complete the form for Fiscal Year 2003 and Fiscal year 2004)** This Financial Narrative is for the Emergency Shelter Grant program only. Do not include the entire budget for your agency. Indicate on any or all of the line items the amount you propose to spend in those areas. The instructions for completing the Financial Narrative are located on the back of the form. Under Operations, Shelter Staff is the salary for personnel that actually operate the shelter and can not exceed 10% of the total funding award. Equipment Costs are for purchases that **exceed** \$5,000 per unit, i.e. if a computer is purchased for \$2,000 it is **not** equipment, it is noted under Office Supplies. The Financial Narrative is completed for the amount of Emergency Shelter funds you are requesting. After the proposal review and awards are announced, an Emergency Shelter Grant **Budget Form** will be mailed along with the contract agreement. The budget form should be completed using the revised funding amount.

ESG Certification of Local Approval for Nonprofit Organizations: (Signed by a local elected official). Use the attached form. This form is a **required document** for receiving ESG funding.

**INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION
HOUSING AND COMMUNITY SERVICES SECTION**

Emergency Shelter Grant

FINANCIAL NARRATIVE

DATE	GRANTEE NAME	GRANT YEAR	FEDERAL ID#
BUDGET PERIOD 6000/114100	THRU	SERVICE CODE: 0306	ACCOUNT #

ESSENTIAL SERVICES			
CHILD CARE	\$ _____	JOB TRAINING	\$ _____
CLOTHING	\$ _____	MEDICAL/DENTAL	\$ _____
EDUCATION	\$ _____	SUPPORTIVE TRANSPORT	\$ _____
FOOD PANTRY	\$ _____	OTHER COSTS	\$ _____
HOUSING PLACEMENT	\$ _____	SUBTOTAL	\$ _____
OTHER COSTS (Specify) _____		_____	
_____		_____	

OPERATIONS			
SHELTER STAFF		(NO MORE THAN 10% OF AWARD)	
BLDG./GROUND MAINT	\$ _____	POSTAGE	\$ _____
CLEANING SUPPLIES	\$ _____	RENT	\$ _____
COMMERCIAL SPACE	\$ _____	SHELTER SUPPLIES	\$ _____
ELECTRIC	\$ _____	TELEPHONE – OFFICE	\$ _____
EQUIPMENT	\$ _____	TELEPHONE – SHELTER	\$ _____
FOOD/COOKING	\$ _____	TOILETRY ITEMS	\$ _____
GAS	\$ _____	TRASH REMOVAL	\$ _____
INSURANCE	\$ _____	WATER/SEWAGE	\$ _____
OFFICE SUPPLIES	\$ _____	OTHER COSTS	\$ _____
		SUBTOTAL	\$ _____
EQUIPMENT AND OTHER COSTS (Specify) _____		_____	
_____		_____	

HOMELESS PREVENTION			
LANDLORD/MEDICATION	\$ _____	SECURITY DEPOSITS	\$ _____
LEGAL SERVICES	\$ _____	UTILITY ASSISTANCE	\$ _____
RENT/MORT. ASSISTANCE	\$ _____	OTHER COSTS	\$ _____
		SUBTOTAL	\$ _____
OTHER COSTS (SPECIFY) _____		_____	
_____		_____	

TOTAL	
--------------	--

**INDIANA FAMILY AND SOCIAL SERVICES
HOUSING AND COMMUNITY SERVICES SECTION
EMERGENCY SHELTER GRANT FINANCIAL NARRATIVE INSTRUCTIONS**

Please type.

GRANTEE NAME - Enter in agency's name as registered with the Secretary of State's Office.

AGREEMENT NUMBER - This is the number located at the top of first page of the ESG Agreement. The number is made up of four parts - county number - fiscal year - account code - provider #, i.e. 02-6-09-999. Enter the number in this block.

FEDERAL ID - Enter the agency's nine digit federal identification number.

ESSENTIAL SERVICES - Enter by item the amount spent in this line item. Enter the total on the budget summary. Specify any Other Costs. Note: Supportive Transport is transport of the client so that the client may receive support services.

OPERATIONS - Enter by item the amount spent in this line item. Enter the total amount on the budget summary. Specify any Equipment Purchases and Other Costs. Note: Staff includes person(s) that actually operate the shelter (this amount cannot exceed lot of the total award) Telephone - Shelter is the phone located in the shelter for shelter staff or clients; Telephone - Office is the phone for the shelter's administrator; Shelter Supplies includes bedding, linens, towels, etc.; Cleaning Supplies are for the shelter only; Toiletries are those personal hygiene items given to clients; Food/Cooking includes food stuffs and cooking supplies such as pots and pans; Bldg./Ground Maintenance. is for the shelter only; Equipment is defined as those items with a unit cost greater the. \$5,000 and a life expectance of one or more years; Insurance; Commercial Space is the cost to put a client in temporary accommodations such as a hotel or other non-shelter site.

HOMELESS PREVENTION - Enter by item those costs for the provision of homeless prevention activities. Specify Other Costs.

EMERGENCY SHELTER GRANT PROGRAM

CERTIFICATION OF LOCAL APPROVAL FOR NONPROFIT ORGANIZATIONS

I, _____
Name and Title

duly authorized to act on behalf of the

Name of the Jurisdiction

Hereby approve the following project(s) proposed by

Name of Nonprofit

Which is (are) to be located in

Name of Jurisdiction

Comments: _____

By: _____
Typed Name and Title

Signature

Date

SECTION 2 - BEIGE

SOCIAL SERVICES BLOCK GRANT
Domestic Violence Services

(0600)

FUNDING APPLICATION

JULY 1, 2002 - JUNE 30, 2003 - FY 2003

AND

JULY 1, 2003 - JUNE 30, 2004 - FY 2004

SOCIAL SERVICE BLOCK GRANT
APPLICATION INSTRUCTIONS

SECTION PROPOSAL PAGE LIMIT: Six pages, including program narrative information, budget and county/regional projections.

Service Description for 0600 SSBG:

I. Definition:

Comprehensive Residential Services to victims of domestic violence (0600) will be purchased from facilities that have been providing this service for two years and have been reviewed and found in compliance with the State Standards for Domestic Violence Shelters.

Victims of family violence are persons who have experienced or who believe they are in danger of experiencing abuse caused by a spouse, ex-spouse, partner, other family members or persons in a shared domicile.

Services are intended to be short-term for emergency and crisis situations and are not to exceed forty-five (45) days per incident. Comprehensive Residential Services (0600) provides temporary shelter and meals, 24-hour crisis intervention, case management services and emergency/essential transportation for victims of family violence and their dependent children.

II. Method of Purchase:

Unit Rate: (For definition of Unit Rate see IX. Components, A. Reporting and Billing.)

This service will be provided to victims of family violence and their dependent children, without regard to income, age, creed, sex, ethnicity, color, religion, national origin, ancestry, marital status, sexual preference or physical challenge.

III. Categories and Characteristics of Individuals To Be Served:

This service is for domestic violence victims and their children. Victims of domestic violence are those persons who have experienced or are in danger of experiencing abuse caused by a spouse, ex-spouse or surrogate spouse.

This service will be provided to victims of domestic violence and their children, without regard to income.

IV. Unit Rate Structure:

Rates will be certified on actual cost statements submitted by applicants. Unit rates will be awarded at a minimum of thirty-five dollars (\$35.00) and a maximum of fifty dollars (\$50.00).

V. Requirements and Restrictions:

- A. Victims for whom services are billed must have a previous permanent Indiana address prior to admission to the shelter.
- B. Support services are limited to the residents of the shelter and should include: Case management, advocacy (for adults and children) and emergency/essential transportation for the provision of these services. **These services must be documented in the case file:**

1. Support/case management involves spending time with the recipient providing emotional support, collecting information for service delivery, developing a service plan for identifying goals, discussion of domestic violence issues, and linking clients to appropriate services.
 2. Advocacy involves providing support for or on behalf of the recipient and the family, coordinating services, providing support group and may involve follow-up with the victim and other service providers working with the victim.
 3. Twenty-four (24) hour crisis intervention shelters shall have a staff or trained volunteers available to respond to a crisis call 24 hours a day, seven days a week, 365 days a year.
 4. When persons are in residence, the agency must document that staff or trained volunteers are on-site, dressed and fully awake, at all times.
 5. Emergency transportation will be arranged in order to assist the victims in arriving at the shelter in a safe manner.
 6. Essential transportation will be arranged in order to assist in providing community resources to the residents of the shelter.
- C. Psychiatric or mental health evaluation cannot be mandated as a requirement for shelter services.
- D. The need for the shelter must be clearly documented on the agency intake form. This documentation must clearly define the identified circumstances which led to the determination that the client experienced abuse or was in immediate danger of experiencing abuse, which led to the need for shelter.
See page 38 of the State Plan (eligibility for new proposer).

- VI. Statement of Goal:
The goal for 0600 service is to provide comprehensive residential services for victims of family violence.
- VII. Allocation Methodology:
The funding formula for 0600 services will be based on the contract management Review, the Request for Funding (RFF) score, and last year's allocation.
- VIII. Protocol:
Please see Allocation Methodology.
- IX. Components:
- A. Reporting and Billing
1. The Reporting and Billing unit is defined as: One (1) 24-hour day.
 2. If an individual is in residence for less than 24 hours, a unit of service may be billed if an intake form is completed and on file.

3. In the case of a child turning 18 while in residence, continue to bill under the mother's name. Do not fill out a separate application form.

Program Narrative: The Social Services Block Grant program narrative section must contain the following components:

- ❑ Abstract: This section should clearly and concisely summarize the Social Service Block Grant program request.
- ❑ Needs Statement: This section documents the needs to be met or problems to be solved by the proposed project. The Needs Statement should provide data that supports the need in the applicant's proposed service area. It should outline the coordination of services in the area and the agency's involvement in the area's continuum of care. This section should answer the following questions:
 1. Identify whom the program will serve including factors that characterize the population.
 2. Where is this population located geographically?
 3. How will the identified population be referred or directed to your program?

This section should contain necessary statistics to demonstrate relevant physical, economic, social, financial, institutional, or other problems.

- ❑ Objectives: This section should outline the primary measurable objectives of this project on which the evaluation will be based. The Objectives are the "outcomes" of your activities. Objectives should: (1) Tell who (2) is going to do what (3) when (4) how much and (5) how you will measure it.
- ❑ Action Plan: This section should describe the activities to be employed to achieve the desired results. The Action Plan describes the steps to be taken and should flow from the objectives. Actions should be understandable, clear and accompanied by an explanation of the rationale underlying your choice of method. The Action Plan should describe staffing, clients and time frames.
- ❑ Evaluation: This section presents your plan for determining the degree to which objectives are met and action plans are followed. The Evaluation should determine the extent to which the program has achieved its stated objectives. The section should explain who will be performing the evaluation activities, define evaluation criteria, explain methods for gathering data, describe tools and instruments used in the evaluation, and describe how the evaluation will be used to improve the program.

Budget: **(Use enclosed form)**

Projected County and Regional Information: **(Use enclosed form)** Divide SSBG requested amount into counties to be served. Total should equal total SSBG funds requested.

PROJECTED BUDGET FISCAL YEAR 2003

SOCIAL SERVICES BLOCK GRANT

EXPENSE LINE ITEMS	Column A Total Program Costs	Column B Purchased Services SSBG	Column C Non-Purchased Services
(1) Personnel Services			
(2) Consultants/Contracted			
(3) Supplies			
(4) Occupancy			
(5) In-State Travel Costs			
(6) Out-of-State Travel Costs			
(7) Equipment			
(8) Other (Itemize below)**			
(9) Total Costs			
(10) Disallowance			
(11) Sub-Total Allowable Costs (10-11)			
(12) Total SSBG Funds Requested			
Service Unit Definition:	Projected Number of SSBG Units:	Service Unit Rate:	
		Actual Cost Per Unit	Unit Rate Requested
Shelter Bed Day			

**List Other Costs here or on back of BUDGET page

Explanation:	Amount

SOCIAL SERVICES BLOCK GRANT
Projected County and Region Information

(Information provided must reflect projected services for July 1, 2002 through June 30, 2003.

COUNTIES SERVICED	DOLLARS PROJECTED	COUNTIES SERVICED	DOLLARS PROJECTED	COUNTIES SERVICED	DOLLARS PROJECTED
Benton		Boone		Clay	
Carroll		Clinton		Daviess	
Cass		Fountain		Dubois	
Fulton		Hamilton		Gibson	
Lake		Hendricks		Greene	
Jasper		Howard		Knox	
LaPorte		Johnson		Martin	
Marshall		Marion		Monroe	
Newton		Montgomery		Owen	
Porter		Morgan		Pike	
Pulaski		Parke		Posey	
St. Joseph		Putnam		Spencer	
Starke		Tippecanoe		Sullivan	
White		Tipton		Vanderburg	
NW Region Total:		Vermillion		Vigo	
Allen		Warren		Warrick	
Adams		WC Region Total:		SW Region Total:	
Blackford		Dearborn		Bartholomew	
Dekalb		Decatur		Brown	
Elkhart		Delaware		Clark	
Grant		Fayette		Crawford	
Huntington		Franklin		Floyd	
Kosciusko		Hancock		Harrison	
LaGrange		Henry		Jackson	
Miami		Jay		Jefferson	
Noble		Madison		Jennings	
Steuben		Ohio		Lawrence	
Wabash		Randolph		Orange	
Wells		Rush		Perry	
Whitley		Shelby		Ripley	
NE Region Total:		Union		Scott	
		Wayne		Switzerland	
		EC Region Total:		Washington	
				SE Region Total:	
Column Total:		Column Total:		Column Total:	

SECTION 3 - PINK

DOMESTIC VIOLENCE
PREVENTION AND TREATMENT

FUNDING APPLICATION

(0640)

JULY 1, 2002 - JUNE 30, 2003 - FY 2003

AND

JULY 1, 2003 - JUNE 30, 2004 - FY 2004

DOMESTIC VIOLENCE PREVENTION AND TREATMENT GRANT
APPLICATION INSTRUCTIONS

SECTION PROPOSAL PAGE LIMIT: Five pages, including program narrative information and budget.

Service Description (0640) DVPT:

Statement of Goal

The goal for Domestic Violence Prevention and Treatment (DVPT) service is to prevent or remedy abuse, neglect or exploitation of victims of domestic violence. Victims of domestic violence are defined as those who have experienced or believe themselves to be in danger of experiencing abuse caused by a spouse, ex-spouse, partner, other family member or person in a shared domicile.

Eligible Service Providers

Services will be purchased from agencies that have been providing the program components listed below for at least two years and have participated in a peer review, new agency review or contract management review and found to be in compliance with the state standards for domestic violence.

All eligible service providers must provide equal service opportunities without regard to income, age, creed, sex, ethnicity, color, religion, national origin, ancestry, marital status, sexual preference or physical challenge.

Service Components

Comprehensive Residential Services (0640S) provides for victims of domestic violence (18 years or older) and their dependent children, in residence at a shelter. Services are intended to be short-term for emergency and crisis situations and are generally limited to 45 days per client episode from point of intervention.

Non-residential Service (0640N) provides for victims of domestic violence not in residence at a shelter.

Program Components.

Grantees should provide at least two of the following:

24-hour information, referral and crisis intervention for domestic violence victims. This refers to the availability to respond to a crisis call 24-hours a day, seven days a week, 365 days a year.

Support and/or educational groups for women and children who are domestic violence victims.

Advocacy, ongoing support and follow-up assistance for domestic violence victims.

Counseling/Case management services must be documented in individual case files and include providing emotional support, developing a service plan, identifying goals, discussing domestic violence issues and linking client to appropriate services.

Emergency transportation will be arranged to assist victims arriving at the shelter safely. Essential transportation will be arranged in order to provide victims access to community resources.

Training professionals (medical, legal, law enforcement) with regard to domestic violence issues.

Community training and education programs with regard to domestic violence issues.

Fee Policy

Grants will be administered on a line item monthly reimbursement basis.

0640.1	Personnel Services
0640.2	Other Services
0640.3	Service by Contract
0640.4	Supplies
0640.5	Equipment
0640.6	Sub-contracted Programs
0640.7	In-state travel costs
0640.8	Out of state travel costs

Program Requirements for DVPT Services

Services will be funded only in programs designed to develop and implement means for the prevention and treatment of domestic violence.

Victims who receive services must be residents of Indiana.

Statistical records must be kept and submitted semi-annually to FSSA. Reports are due on July 1, 2003 with a narrative close report due no later than August 31, 2003. FSSA will supply the reporting forms and instructions.

Programs receiving grant awards for 24-hour information, referral and crisis intervention must document the number of telephone calls.

Programs receiving grant awards for support and education groups must maintain records documenting group sessions. This documentation should include attendance sheets, an intake or enrollment form for each member, an agenda for each session and a brief summary of major topics discussed. An unduplicated count of clients served, as well as client statistics, must also be maintained.

General Guidelines

Funding decisions will take into account factors outlined in the State Plan such as:

Staff □ Counties Served □ Cost effectiveness □ Population demographics □ Size of Service Area □ Cost effectiveness □ Population demographics □ Size of Service Area □ Cultural Competence □ Occupancy rate □ Awards will be granted based on the availability of funds.

Applicant must have been in business for two years in order to apply for Domestic Violence funds.

Applicant must demonstrate a need for the service in the proposed geographic area.

Applicant must demonstrate:

Community support and networking

Other funding capabilities and resources

Number of people served in comparison to population size

The applicant must meet the criteria outlined in the DVPT Law.

Total funding to a program grantee will not exceed 75% of program cost.

Under DVPT, no contract will be written for less than \$5,000 and the maximum will be \$50,000.

Current grantees must be in contract compliance, be current with reporting requirements, and have a utilization rate of at least 75% 9 months into the program at the time of the of the Executive Panel.

Funding Priorities

Domestic violence shelters will be given priority for DVPT funds.

Unserved and underserved areas and populations will be considered priorities

Funding consideration will be based upon:

Population served

Availability of services

Urban vs. Rural Factors

Occupancy rate

Compliance with application guidelines

Program Narrative: *The Domestic Violence Prevention and Treatment program narrative section must contain the following components:*

Abstract: This section should clearly and concisely summarize the Domestic Violence Prevention and Treatment program request.

Needs Statement: This section documents the needs to be met or problems to be solved by the proposed project. The Needs Statement should provide data that supports the need in the applicant's proposed service area. It should outline the coordination of services in the area and the agency's involvement in the area's continuum of care. This section should answer the following questions:

Identify whom the program will serve including factors that characterize the population.

Where is this population located geographically?

How will the identified population be referred or directed to your program?

This section should contain necessary statistics to demonstrate relevant physical, economic, social, financial, institutional, or other problems.

Objectives: This section should outline the primary measurable objectives of this project on which the evaluation will be based. The objectives are the "outcomes" of your activities. Objectives should: (1) Tell who (2) is going to do what (3) when (4) how much and (5) how you will measure it.

Action Plan: This section should describe the activities to be employed to achieve the desired results. The Action Plan describes the steps to be taken and should flow from the objectives. Actions should be understandable, clear and accompanied by an explanation of the rationale underlying your choice of method. The Action Plan should describe staffing, clients, and time frames.

Evaluation: This section presents your plan for determining the degree to which objectives are met and action plans are followed. The Evaluation should determine the extent to which the program has achieved its stated objectives. The section should explain who will be performing evaluation activities, define the evaluation criteria, explain methods for gathering data, describe tools and instruments used in the evaluation, and describe how the evaluation will be used to improve the program.

Budget: (Use enclosed form)

PROJECTED BUDGET FISCAL YEAR 2003

DOMESTIC VIOLENCE PREVENTION/TREATMENT GRANT

SECTION 4 - YELLOW

FEDERAL FAMILY VIOLENCE

0620

FUNDING APPLICATION

OCTOBER 1, 2002 - SEPTEMBER 30, 2003 - FY 2003

AND

OCTOBER 1, 2003 - SEPTEMBER 30, 2004 - FY 2004

FEDERAL FAMILY VIOLENCE SERVICES GRANT
APPLICATION INSTRUCTIONS

SECTION PROPOSAL PAGE LIMIT: Seven pages, including program narrative information, budget and compliance statement.

Service Description (0620) FVPS:

Major Definition:

FVPS (0620) funds are to assist in establishing, maintaining and expanding programs and projects to prevent family violence and to provide immediate shelter and other related assistance for victims of violence; information and referral and victim advocacy services in the areas of health cases, social and mental health services, family counseling, job training and employment opportunities, legal assistance and counseling for victims and their children.

The target population of these services are directed to the following populations:

- Under served and unserved populations
- Elderly victims
- Migrant workers
- Male victims

Method of Purchase:

Actual Cost

Characteristics of Individuals Served:

Services are provided to victims of family violence. This includes any family member who is threatened by an act of violence, which could result in injury. These services are also available for the elderly victims, and their children.

Unit Rate Structure/Fees Policy:

Grants will be administered on a line item monthly re-imbursement basis, actual expenses must be billed according to the following add on codes for 0620 claims:

◆ 06205.ADV	Advance	\$1.00
◆ 06205.1	Personnel Services	\$1.00
◆ 06205.2	Other Services	\$1.00
◆ 06205.3	Services by Contract	\$1.00
◆ 06205.4	Supplies	\$1.00
◆ 06205.5	Equipment	\$1.00
◆ 06205.6	Building Land	\$1.00
◆ 06205.7	Indirect	\$1.00

Statement of Goals:

To assist in establishing, maintaining and expanding comprehensive shelter services, community education, and training to service providers. To enhance programs for children and provide adequate services for their care. Provide awareness campaigns and violence prevention and counseling to abusers in order to break the cycle of violence.

Program Narrative: The Federal Family Violence Services program narrative section must contain the following components:

- ❑ Abstract: This section should clearly and concisely summarize the Federal Family Violence Services program request.
- ❑ Needs Statement: This section documents the needs to be met or problems to be solved by the proposed project. The Needs Statement should provide data that supports the need in the applicant's proposed service area. It should outline the coordination of services in the area and the agency's involvement in the area's continuum of care. This section should answer the following questions:
 1. Identify whom the program will serve including factors that characterize the population.
 1. Where is this population located geographically?
 1. How will the identified population be referred or directed to your program?

This section should contain necessary statistics to demonstrate relevant physical, economic, social, financial, institutional, or other problems.

- ❑ Objectives: This section should outline the primary measurable objectives of this project on which an evaluation will be based. The objectives are the "outcomes" of your activities. Objectives should: (1) Tell who (2) is going to do what (3) when (4) how much and (5) how you will measure it.
- ❑ Action Plan: This section should describe the activities to be employed to achieve the desired results. The Action Plan describes the steps to be taken and should flow from the objectives. Actions should be understandable, clear and accompanied by an explanation of the rationale underlying your choice of method. The Action Plan should describe staffing, clients and time frames.
- ❑ Evaluation: This section presents your plan for determining the degree to which objectives are met and action plans are followed. The Evaluation should determine the extent to which the program has achieved its stated objectives. This section should explain who will be performing evaluation activities, define evaluation criteria, explain methods for gathering data, describe tools and instruments used in the evaluation, and describe how the evaluation will be used to improve the program.

Budget: **(Use enclosed form)**

Compliance Statements: **(Use enclosed forms)**

**PROJECTED BUDGET FISCAL YEAR 2003
FEDERAL FAMILY VIOLENCE GRANT**

EXPENSE LINE ITEMS	Column A Total Program Costs	Column B Purchased Services FVPS	Column C Non-Purchased Services
1. Personnel Services			
1. Other Services			
1. Services by Contract			
1. Supplies			
1. Equipment			
1. Building/Land			
1. Indirect			
1. Total Costs			
1. Disallowance			
1. Sub-Total Allowable Costs (10-11)			
1. Total FVPS Funds Requested			

**List Other Costs here or on back of BUDGET page

Explanation:	Amount

Federal Family Violence Compliance Issues

1. Grant funds will not be used for direct payments to any victim or dependent of a victim of family violence	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. No income eligibility standard will be imposed on individuals receiving assistance or service supported with these funds	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. No fee will be charged for services received under this grant	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. The organization will not discriminate against applicants, recipients or potential or actual employees in regard to age, sex, race, color, religion, national origin, sexual orientation, or handicap	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. Confidentiality of records pertaining to persons receiving assistance or services will be assured	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. The address or location of any shelter/facility will not be made public, except with the written authorization of the person or persons responsible for the operation of the agency	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. All books and records relative to service delivery and documentation will be retained and access permitted to persons authorized by the state for examination of the books, records and documents	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. Financial books, records, and documents will be maintained. Generally acceptable accounting procedures and practices will be followed which sufficiently and properly reflect and allocate all direct and indirect costs for services provided. The state reserves the right to examine these financial books, records and documents	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. The State will be held harmless against loss, liability, damages or expenses because of injury or damage	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>
1. Comply with the Drug-Free Workplace, Lobbying Activities and Debarment and Suspension clauses of the Contractual Agreement	Will Comply <input type="checkbox"/>
	Cannot Comply <input type="checkbox"/>

SECTION 5 - GREEN

SEXUAL ASSAULT SERVICES

(0900)

FUNDING APPLICATION

OCTOBER 1, 2002 – SEPTEMBER 30, 2003 - FY 2003

AND

OCTOBER 1, 2003 – SEPTEMBER 30, 2004 - FY 2004

SEXUAL ASSAULT SERVICES GRANT
APPLICATION INSTRUCTIONS

SECTION PROPOSAL PAGE LIMIT: Five pages, including program narrative information and budget.

Service Description (0900) Sexual Assault Services:

1. Funds may be used for:
 - Preventive health service program activities consistent with making progress toward achieving the directives established for the health status of the population for fiscal year 2003 and 2004.
 - Providing services to victims of sex offenses and for the prevention of sex offenses, especially RAPE.
 - Related planning, administration and educational activities related to the projects funded.
 - Monitoring and evaluation related to the projects funded.
 - Start-up projects for performance of activities to prevent disease and improve the health status of citizens.
2. Funds may not be used for:
 - Providing inpatient services.
 - Making cash payments to intended recipients of health services.
 - Satisfying any requirements for the expenditure of non-Federal funds as a condition for the receipt of Federal funds.
 - Conferences and related activities, such as refreshments, promotional items, promotional activities, and/or accommodations.
 - Performance of activities not specific for disease prevention/health status improvements.
3. Priorities for FY 2003 and FY 2004 are:
 - Educate male sex offenders under the age of 30 to prevent re-occurrence.
 - Fill the gaps of unmet services in unserved and underserved counties and increase services in these areas.
 - Enhance services to areas of high crime and minority population by providing education programs.
 - Reduce incidence of date rape through age appropriate educational programs presented to middle, high school, and college age youth through community and church groups, after school programs, and social organizations.

Program Narrative: The Sexual Assault Services program narrative section must contain the following components:

- ❑ Abstract: This section should clearly and concisely summarize the Sexual Assault Services program request.
- ❑ Needs Statement: This section documents the needs to be met or problems to be solved by the proposed project. The Needs Statement should provide data that supports the need in the applicant's proposed service area. It should outline the coordination of services in the area and the agency's involvement in the area's continuum of care. This section should answer the following questions:

1. Identify whom the program will serve including factors that characterize the population.
2. Where is this population located geographically?
3. How will the identified population be referred or directed to your program?

This section should contain necessary statistics to demonstrate relevant physical, economic, social, financial, institutional, or other problems.

- ❑ Objectives: This section should outline the primary measurable objectives of this project on which the evaluation will be based. The objectives are the "outcomes" of your activities. Objectives should: (1) Tell who (2) is going to do what (3) when (4) how much and (5) how you will measure it.
- ❑ Action Plan: This section should describe the activities to be employed to achieve the desired results. The Action Plan describes the steps to be taken and should flow from the objectives. Actions should be understandable, clear and accompanied by an explanation of the rationale underlying your choice of method. The Action Plan should describe staffing, clients and time frames.
- ❑ Evaluation: This section presents your plan for determining the degree to which objectives are met and action plans are followed. The Evaluation should determine the extent to which the program has achieved its stated objectives. This section should explain who will be performing the evaluation activities, define the evaluation criteria, explain methods for gathering the data, describe tools and instruments used in the evaluation, and describe how the evaluation will be used to improve the program.

Budget: **(Use enclosed form)**

**PROJECTED BUDGET FISCAL YEAR 2003
SEXUAL ASSAULT SERVICES GRANT**

EXPENSE LINE ITEMS	Column A Total Program Costs	Column B Purchased Services SOS	Column C Non-Purchased Services
1. Personnel			
2. Consultant/Contractual			
3. Space Cost			
4. Consumable Supplies			
5. Travel			
6. Telephone			
7. Non-Consumable Supplies			
8. Program Related Expenses			
9. Other Costs			
10. Total Costs			
11. Disallowance			
12. Sub-Total Allowable Costs (10-11)			
13. Total SOS Funds Requested			

**List Other Costs here or on back of BUDGET page

Explanation:	Amount

**FAMILY AND SOCIAL SERVICES ADMINISTRATION
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION**

**Panel Review Rating Instrument For The
Emergency Shelter Grant**

All evaluators will receive training prior to reviewing and rating applications. The purpose of the training is to make you as familiar and comfortable with the overall review process and with the rating instrument. Please make certain your questions have been answered before you begin. Facilitators will be available during the review and rating process for further consultation as the need arises.

This rating instrument follows the same outline as was given applicants for use in developing their Application for Funds. This correlation was intended to make the rating instrument as easy to use as possible. As you begin the proposal review, feel free to make any initial notes, which might help you, assess a proposal's quality or which might be important for review team discussion, directly on the application.

The numerical ratings the evaluator should be summarized below for each proposal at the end of the review process. The rating instruments may be shared with applicants upon their request. Reviewers will remain anonymous to applicants with reference to scoring and comments.

APPLICANT: _____
REVIEWER'S NAME: _____
DATE: _____

SUMMARY OF RATING

DO NOT PROCEED TO TECHNICAL MERIT IF SCORE IS LESS THAN 70 POINTS. <u>DO SCORE APPLICATION IF BUDGET FORM IS NOT COMPLETED.</u>
--

POSSIBLE POINTS

PART A (COMMON)	(100)	_____
PART B (PROPOSAL SECTION):	(100)	_____

GRAND TOTAL: (PARTS A + B) ➔

200 POSSIBLE POINTS ➔

--

**FAMILYAND SOCIAL SERVICES ADMINISTRATION
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION**

COMMON SECTION CHECK LIST

- 1. OVERALL DESCRIPTION OF THE AGENCY (ONE PAGE)**
- 2. HISTORY PAGE (ON PAGE)**
- 3. CURRENT BOARD MEMBER LIST (FORMS PROVIDED)**
- 4. CURRENT ORGANIZATION CHART**
- 5. ARTICLES OF INFORPORATION**
- 6. CURRENT SECRETARY OF STATE CERTIFICATIE OF EXISTENCE**
- 7. AGNECY RULES AND TERMINATION POLICY (WHERE APPLICABLE)**
- 8. THREE LETTERS OF SUPPORT**
- 9. ESG CERTIFICATION OF LOCAL APPROVAL (FORM PROVIDED)**
- 10. INSURANCE DECLARATION PAGE**
- 11. FACILITY FUNDING SUMMARY**

**FAMILY AND SOCIAL SERVICES ADMINISTRATION
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION**

PANAL REVIEW RATING INSTRUMENT

PART A: INITIAL ACCEPTANCE

KEY COMPONENT	YES/NO	POSSIBLE POINTS	POINTS SCORED
1. During FY 2001, did the shelter submit all reports on the requested due dates?		10	
2. Did the applicant complete an Emergency Shelter Service Description?		10	
3. Does the Common Section contain all of the required documents?		20	
4. Has the applicant adhered to the page limitations?		10	
5. Does the applicant use the legal name as registered with the Secretary of State's Office?		15	
6. Did the Application contain three letter of support and two memorandums of understanding?		15	
7. Does the Common Section contain a copy of the Insurance Declaration Page? Is there documentation of Automobile Insurance, Fire, Flood and Natural Disaster Insurance and General Liability Insurance (minimum or above \$500,000)?		10	
8. Did the applicant submit two copies of the application?		05	
9. Did the applicant submit a FSSA Providers Data Form and a W-9 Form?		05	

**FAMILY AND SOCIAL SERVICES ADMINISTRATION
DIVISION OF FAMILY AND CHILDREN
HOUSING AND COMMUNITY SERVICES SECTION**

TOTAL POINTS:	POSSIBLE: 100	A.
PASSING SCORE:		70 POINTS REQUIRED

ALL APPLICANTS MUST SCORE AT LEAST 70 POINTS TO BE CONSIDERED FOR TECHNICAL MERIT CONSIDERATION.

TECHNICAL MERIT: PART B

<u>KEY COMPONENT</u>	<u>YES/NO</u>	<u>POSSIBLE POINTS</u>	<u>POINTS SCORED</u>
SECTION PROPOSAL:			
1. Proposal section does not exceed eight (8) pages (this includes the Program and Financial Narrative and the Certification of Local Approval for Nonprofit Organizations)?		10	
2. Does the Program Narrative contain the needs that will be met and the problems that will be solved?		10	
3. Does the Program Narrative contain necessary statistics that best support the project?		15	
4. Does the "Needs" statement outline the coordination of the services in the area and the agency's involvement in continuum of care?		15	
5. Does the applicant outline the objective of their project?		10	
6. Does the Applicant describe their relationship/partnership with other organizations that will assist in making the project a success?		20	
7. Does the evaluation explain how it will be used to improve the project?		15	
8. Is the Financial Narrative typed and completed?		05	

HOPWA Allocation Plan

Housing Opportunities for People with AIDS (HOPWA)

Program Description and Application Requirements Calendar Year 2002

For additional information, visit us on the Internet at www.indianahousing.org or contact the following:

*HOPWA Coordinator
Indiana Housing Finance Authority
115 West Washington St., South Tower Suite 1350
Indianapolis, IN 46204
(317) 232-7777 or toll-free (800) 872-0371
lcoffman@ihfa.state.in.us*

The HOPWA program is a federally funded program governed by 24 CFR Part 574 through the United States Department of Housing and Urban Development (HUD). The HOPWA program provides housing assistance and related supportive services for low-income persons with HIV/AIDS and their families. The Indiana Housing Finance Authority (IHFA) is the grantee for HOPWA for the State of Indiana (excluding the following counties Boone, Hamilton, Madison, Hendricks, Marion, Hancock, Morgan, Johnson, Shelby, Clark, Floyd, Scott, Harrison, Dearborn and Ohio).

Methods of Distribution

IHFA will allocate HOPWA funds through a competitive process. If an application satisfies all applicable requirements, it will be evaluated and scored based on:

Program Priorities	25
HOPWA Program Design	25
Capacity	25
Participation in State HIV/AIDS Continuum of Care	<u>25</u>
Total Possible Points	100

The 2002 Application will be available April 1st. The application is due May 3, 2002 5:00 p.m (Indianapolis time).

Notwithstanding the point ranking system set forth above, IHFA reserves the right and shall have the power to allocate funds irrespective of its point ranking, if such intended allocation is (1) in compliance with the applicable federal regulations; (2) in furtherance of the overall goals of the program and Authority; and (3) determined by the Board to be in the interests of the citizens of the state of Indiana.

In order to ensure statewide access to HOPWA funds, IHFA utilizes the Indiana State Department of Health (ISDH) HIV Care Coordination Regions. IHFA has assigned a maximum funding amount available in each of the eleven regions of the state served by the Indiana HOPWA funds.

HOPWA funds were assigned by using ISDH's most current epidemiological data (December 2001) showing the current number of reported HIV/AIDS cases in each county. The total number of cases per county was assigned a percentage in relation to the total number of reported HIV/AIDS cases in all of the counties served by the state EMSA. Each county received a corresponding percentage of HOPWA funds. We then added the totals up of all counties in a region resulting in the final total for each region.

In the event of multiple applications from a region, IHFA reserves the right and shall have the power to allocate less funds than requested in an application.

2002 Regional Allocation

<i>Region 1</i>	Lake, LaPorte, Porter	\$209,700
<i>Region 2</i>	Elkhart, Fulton, Marshall, Pulaski, St. Joseph, Starke	\$98,800
<i>Region 3</i>	Adams, Allen, DeKalb, Huntington, Kosciuskso, LaGrange, Noble, Steuben, Wabash, Wells, Whitley	\$94,529
<i>Region 4</i>	Benton, Carroll, Clinton, Fountain, Jasper, Montgomery, Newton, Tippecanoe, Warren, White	\$36,160
<i>Region 5</i>	Cass, Howard, Miami, Tipton	\$26,052
<i>Region 6</i>	Blackford, Delaware, Grant, Jay, Randolph	\$41,712
<i>Region 8</i>	Clay, Parke, Putnam, Sullivan, Vermillion, Vigo	\$57,372
<i>Region 9</i>	Decatur, Fayette, Franklin, Henry, Ripley, Rush, Union, Wayne	\$26,907
<i>Region 10</i>	Bartholomew, Brown, Greene, Lawrence, Monroe, Owen	\$52,817
<i>Region 11</i>	Crawford, Jackson, Jefferson, Jennings, Orange, Switzerland, Washington	\$11,816
<i>Region 12</i>	Daviess, Dubois, Gibson, Knox, Martin, Perry, Pike, Posey, Spencer, Vanderburgh, Warrick	\$72,605

Using information received from the current HOPWA grantees' semi-annual performance reports (July – December 2001), we estimate that 2002 HOPWA funds will result in 229 units of short-term assistance and 134 of long-term.

Eligible Applicants

1. Non-profit organizations that:

- Are organized under State or local laws;
- Have no part of its net earnings inuring to the benefit of any member, founder, contributor or individual;
- Have a functioning accounting system that is operated in accordance with generally accepted accounting principles, or had designated an entity that will maintain such an accounting system;
- Have among its purposes significant activities related to providing services or housing to persons with acquired immunodeficiency syndrome (AIDS) or related diseases;
- Can demonstrate integration, or the willingness to partner, with the existing HIV/AIDS Continuum of Care in the local region;
- Are eligible to participate in HUD programs (not on the disbarred list).

2. Governmental Housing Agencies that:

- Are public housing authorities; or
- Are units of government chartered by the chief executive to provide housing activities within the political jurisdiction.

Eligible Activities

- Housing Information
- Resource Identification
- Rental Assistance
- Short-term Rent
- Supportive Services
- Operating Costs
- Technical Assistance
- Administration

2001 HOPWA Awards

Greater Hammond Community Services

Funding Amount: **\$190,000.00**
Counties Served: Lake, LaPorte, Porter
Estimated # of Beneficiaries: 300

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$52,293
Short-term Rental	\$63,000
Supportive Services	\$35,000
Housing Information	\$25,000
Resource Identification	\$2,000
Administration	\$13,300

AIDS Resource Group of Evansville

Funding Amount: **\$67,715.00**
Counties Served: Daviess, Dubois, Gibson, Knox, Martin, Perry
Pike, Posey, Spencer, Vanderburgh, Warrick
Estimated # of Beneficiaries: 257

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$52,075
Short-term Rental	\$900
Supportive Services	\$10,000
Administration	\$4,740

AIDS Task Force, Inc.

Funding Amount: **\$85,091.00**
Counties Served: Adams, Allen, DeKalb, Huntington, Kosciusko,
LaGrange, Noble, Steuben, Wabash, Wells, Whitley
Estimated # of Beneficiaries: 95

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$52,000
Acquisition, Rehab, Repair	\$12,000
Short-term Rental	\$15,135
Administration	\$5,956

AIDS Task Force SE Central Indiana

Funding Amount: **\$25,927.00**
Counties Served: Decatur, Fayette, Franklin, Henry, Ripley, Rush,
Union, Wayne
Estimated # of Beneficiaries: 76

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$18,912
Short-term	\$2,200
Supportive Services	\$3,000
Administration	\$1,815

Area VII Agency on Aging and Disabled

Funding Amount: **\$52,682.00**
Counties Served: Clay, Park, Putnam, Sullivan, Vermillion, Vigo
Estimated # of Beneficiaries: 13

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$45,979
Short-term Rental	\$6,015
Administration	\$5,956

AIDS Ministries/AIDS Assist

Funding Amount: **\$88,400.00**
Counties Served: Elkhart, Fulton, Marshall, Pulaski, St. Joseph, Starke
Estimated # of Beneficiaries: 300

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$35,000
Supportive Services	\$30,050
Operating Costs	\$5,162
Technical Assistance	\$1,000
Short-term Rental	\$11,000
Administration	\$6,188

Area IV Agency on Aging and Community Services

Funding Amount: **\$33,374.00**
Counties Served: Benton, Carroll, Clinton, Fountain, Jasper, Montgomery, Newton, Tippecanoe, Warren, White
Estimated # of Beneficiaries: 20

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$22,374
Short-term Rental	\$7,000
Supportive Services	\$4,000

Hoosier Hills AIDS Coalition (Clark County Health Department)

Funding Amount: **\$10,896.00**
Counties Served: Crawford, Jackson, Jefferson, Jennings, Orange, Switzerland, Washington
Estimated # of Beneficiaries: 95

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$7,534
Short-term Rental	\$2,600
Administration	\$762

Positive Link

Funding Amount: **\$48,544.00**
Counties Served: Bartholomew, Brown, Greene, Lawrence, Monroe, Owen
Estimated # of Beneficiaries: 75

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$33,000
Short-term Rental	\$15,544

Open Door Community Services (Muncie)

Funding Amount: **\$38,615.00**
Counties Served: Delaware, Grant, Blackford, Jay, Randolph
Estimated # of Beneficiaries: 42

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$27,911.95
Short-term Rental	\$8,000
Administration	\$2,703.05

Open Door Community Services (Kokomo)

Funding Amount: **\$23,583**
Counties Served: Cass, Miami, Howard, Tipton
Estimated # of Beneficiaries: 34

Line-item	Amount of Funding
Tenant Based Rental Assistance	\$14,932.19
Short-term Rental	\$7,000
Administration	\$1,650.81

APPENDIX H.

HUD Regulations Cross-Walk

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HUD Regulations Cross-Walk

This appendix refers the reader to those sections in the 1999 Consolidated Plan Update that are intended to fulfill Sections 91.300 through 91.330 of HUD's regulations governing the contents of the state-level consolidated submission for community planning and development programs. Specifically, the bold and italicized text following each subsection refers to a textual location in the Consolidated Plan Update.

Subpart D – State Governments; Contents of Consolidated Plan

Sec. 91.300 General

(a) A complete consolidated plan consists of the information required in Sections 91.305 through 91.330, submitted in accordance with instructions prescribed by HUD (including tables and narratives), or in such other format as jointly agreed upon by HUD and the State.

See Appendix H, all.

(b) The State shall describe the lead agency or entity responsible for overseeing the development of the plan and the significant aspects of the process by which the consolidated plan was developed, the identity of the agencies, groups, organizations and others who participated in the process, and a description of the State's consultations with social service agencies and other entities. It also shall include a summary of the citizen participation process, public comments, and efforts made to broaden public participation in the development of the consolidated plan. *See Section I and Appendix A, D and E, all.*

Sec. 91.305 Housing and homeless needs assessment

(a) **General.** The consolidated plan must describe the State's estimated housing needs projected for the ensuing five-year period. Housing data included in this portion of the plan shall be based on U.S. Census data, as provided by HUD, as updated by any properly conducted local study, or any other reliable source that the State clearly identifies and should reflect the consultation with social service agencies and other entities conducted in accordance with Sec. 91.110 and the citizen participation process conducted in accordance with Sec. 91.115. For a State seeking funding under the HOPWA program, the needs described for housing and supportive services must address the needs of persons with HIV/AIDS and their families in areas outside of eligible metropolitan statistical areas. *See Sections II III, IV, and V, all.*

(b) **Categories of persons affected.** The consolidated plan shall estimate the number and type of families in need of housing assistance for extremely low-income, low-income, moderate-income, and middle-income families, for renters and owners, for elderly persons, for single persons, for large families, for persons with HIV/AIDS and their families, and for persons with disabilities. The description of housing needs shall include a discussion of the cost burden and severe cost burden,

overcrowding (especially for large families), and substandard housing conditions being experienced by extremely low-income, low-income, moderate-income and middle-income renters and owners compared to the State as a whole. *See Section III, IV and V, all.*

For any of the income categories enumerated in paragraph (b)(1) of this section, to the extent that any racial or ethnic group has disproportionately greater need in comparison to the needs of that category as a whole, assessment of that specific need shall be included. For this purpose, disproportionately greater need exists when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least 10 percentage points higher than the percentage of persons in the category as a whole. *See Section III, IV and V, all.*

(c) Homeless needs. The plan must describe the nature and extent of homelessness (including rural homelessness) within the State, addressing separately the need for facilities and services for homeless individuals and homeless families with children, both sheltered and unsheltered, and homeless subpopulations, in accordance with a table prescribed by HUD. This description must include the characteristics and needs of low-income individuals and families with children (especially extremely low-income) who are currently housed but threatened with homelessness. The plan also must contain a narrative description of the nature and extent of homelessness by racial and ethnic group, to the extent information is available. *See Section V, especially “Persons Experiencing Homelessness.”*

(d) Other special needs. The State shall estimate, to the extent practicable, the number of persons who are not homeless but require supportive housing, including the elderly, frail elderly, person with disabilities (mental, physical, developmental), persons with alcohol or other drug addiction, persons with HIV/AIDS and their families, and any other categories the State may specify, and describe their supportive housing needs. *See Section V, all.*

With respect to a State seeking assistance under the HOPWA program, the plan must identify the size and characteristics of the population with HIV/AIDS and their families within the area it will serve. *See Section V, especially “Persons with HIV/AIDS.”*

Lead-based paint hazards. The plan must estimate the number of housing units within the State that are occupied by low-income families or moderate-income families that contain lead-based paint hazards, as defined in this part. *See Section IV, especially “Lead Safe Housing.”*

Sec. 91.310 Housing market analysis

(a) General characteristics. Based on data available to the State, the plan must describe the significant characteristics of the State’s housing markets (including such aspects as the supply, demand and condition and cost of housing). *See Sections III and IV, all.*

(b) Homeless facilities. The plan must include a brief inventory of facilities and services that meet the needs for emergency shelter and transitional housing needs of homeless persons within the State. *See Section V, especially “Persons Experiencing Homelessness.”*

(c) Special needs facilities and services. The plan must describe, to the extent information is available, the facilities and services that assist persons who are not homeless but who require supportive housing, and programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing. *See Section V, all.*

(d) Barriers to affordable housing. The plan must explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing in the State are affected by its policies, including tax policies affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that affect the return on residential investment. *See Section IV, especially “Barriers to Housing Affordability.”*

Sec. 91.315 Strategic plan

(a) General. For the categories described in paragraphs (b), (c), (d), and (e) of this section, the consolidated plan must do the following:

Indicate the general priorities for allocating investment geographically within the State and among priority needs.

Describe the basis for assigning the priority (including the relative priority, where required) given to each category of priority needs. *See Section VI.*

Summarize the priorities and specific objectives, describing how the proposed distribution of funds will address identified needs.

For each specific objective, identify the proposed accomplishments the State hopes to achieve in quantitative terms over a specific time period (i.e., one, two, three or more years), or in other measurable terms as identified and defined by the State. *See Section VI and Appendix G, all.*

(b) Affordable housing. With respect to affordable housing, the consolidated plan must do the following:

The description of the basis for assigning relative priority to each category of priority need shall state how the analysis of the housing market and the severity of housing problems and needs of extremely low-income, low-income, and moderate-income renters and owners identified in accordance with Sec. 91.305 provided the basis for assigning the relative priority given to each priority need category in the priority housing needs table prescribed by HUD. Family and income types may be grouped together for discussion where the analysis would apply to more than one of them; *See Section VI.*

The statement of specific objectives must indicate how the characteristics of the housing market will influence the use of funds made available for rental assistance, production of new units, rehabilitation of old units, or acquisition of existing units. *See Section VI, and Sections III and IV for supporting market analysis and needs.*

The description of proposed accomplishments shall specify the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined in Sec. 92.252 of this subtitle for rental housing and Sec. 92.254 of this subtitle for homeownership over a specific time period. *See Section VI.*

(c) Homelessness. With respect to homelessness, the consolidated plan must include the priority homeless needs table prescribed by HUD and must describe the State's strategy for the following:

Helping low-income families avoid becoming homeless;

Reaching out to homeless persons and assessing their individual needs;

Addressing the emergency shelter and transitional housing needs of homeless persons; and,

Helping homeless persons make the transition to permanent housing and independent living.

For all of the above, see Section V, "Persons Experiencing Homelessness," Section VI for related strategies, and Appendix G for allocated funds.

(d) Other special needs. With respect to supportive needs of the non-homeless, the Consolidated Plan must describe the priority housing and supportive service needs of persons who are not homeless but require supportive housing (i.e., elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addiction, persons with HIV/AIDS and their families, and public housing residents). *See Section V, all, Section VI for related strategies, and Appendix G for allocated funds.*

(e) Non-housing community development plan. If the State seeks assistance under the Community Development Block Grant program, the consolidated plan must describe the State's priority non-housing community development needs that affect more than one unit of general local government and involve activities typically funded by the State under the CDBG program. These priority needs must be described by CDBG eligibility category, reflecting the needs of persons of families for each type of activity. This community development component of the plan must state the State's specific long-term and short-term community development objectives (including economic development activities that create jobs), which must be developed in accordance with the statutory goals described in Sec. 91.1 and the primary objective of the CDBG program to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for low-income and moderate-income persons. *See Section III, especially "Community Development Needs," Section VI for related strategies, and actions, and Appendix G for allocated funds.*

(f) Barriers to affordable housing. The consolidated plan must describe the State's strategy to remove or ameliorate negative effects of its policies that serve as barriers to affordable housing, as identified in accordance with Sec. 91.310. *See Section IV, especially "Barriers to Housing Affordability."*

(g) Lead-based paint hazards. The consolidated plan must outline the actions proposed or being taken to evaluate and reduce lead-based paint hazards, and describe how the lead-based paint hazard reduction will be integrated into housing policies and programs. *See Section IV, “Lead Safe Housing.”*

(h) Anti-poverty strategy. The consolidated plan must describe the State’s goals, programs, and policies for reducing the number of poverty level families and how the State’s goals, programs, and policies for reducing the number of poverty level families and how the State’s goals, programs, and policies for producing and preserving affordable housing, set forth in the housing component of the consolidated plan, will be coordinated with other programs and services for which the State is responsible and the extent to which they will reduce (or assist in reducing) the number of poverty level families, taking into consideration factors over which the State has control. *See Section VI, “Anti-Poverty Strategy.”*

(i) Institutional structure. The consolidated plan must explain the institutional structure, including private industry, nonprofit organizations, and public institutions, through which the State will carry out its housing and community development plan, assessing the strengths and gaps in that delivery system. The plan must describe what the State will do to overcome gaps in the institutional structure for carrying out its strategy for addressing its priority needs. *See Section VI, especially goals for enhancing the capacity of nonprofits in the state.*

(j) Coordination. The consolidated plan must describe the State’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies. With respect to the public entities involved, the plan must describe the means of cooperation and coordination among the State and any units of general local government in the implementation of its consolidated plan. *See Section VI, especially goals for enhancing the capacity of nonprofits in the state.*

(k) Low-income housing tax credit use. The consolidated plan must describe the strategy to coordinate the Low-income Housing Tax Credit with the development of housing that is affordable to low-income and moderate-income families. *See Section VI, especially text related to Rental Housing Tax Credits.*

(l) Public housing resident initiatives. For a State that has a State housing agency administering public housing funds, the consolidated plan must describe the State’s activities to encourage public housing residents to become more involved in management and participate in homeownership. *See Section VI for strategies for increasing homeownership and Appendix G for other related strategies.*

Sec. 91.320 Action plan

The action plan must include the following:

(a) Form application. Standard Form 424.

(b) Resources

Federal resources. The consolidated plan must describe the Federal resources expected to be available to address the priority needs and specific objectives identified in the strategic plan, in accordance with Sec. 91.315. These resources include grant funds and program income. *See Section VI and Appendix G, all.*

Other resources. The consolidated plan must indicate resources from private and non-Federal public sources that are reasonably expected to be made available to address the needs identified in the plan. The plan must explain how Federal funds will leverage those additional resources, including a description of how matching requirements of the HUD programs will be satisfied. Where the State deems it appropriate, it may indicate publicly owned land or property located within the State that may be used to carry out the purposes stated in Sec. 91.1.

(c) Activities. A description of the State's method for distributing funds to local governments and nonprofit organizations to carry out activities, or the activities to be undertaken by the State, using funds that are expected to be received under formula allocations (and related program income) and other HUD assistance during the program year and how the proposed distribution of funds will address the priority needs and specific objectives described in the consolidated plan. *See Appendix G.*

(d) Geographic distribution. A description of the geographic areas of the State (including areas of minority concentration) in which it will direct assistance during the ensuing program year, giving the rationale for the priorities for allocating investment geographically. *See Section VI for the State's overall distribution plan and Appendix G for program distribution plans.*

(e) Homeless and other special needs activities. Activities it plans to undertake during the next year to address emergency shelter and transitional housing needs of homeless individuals and families (including subpopulations), to prevent low-income individuals and families with children (especially those with incomes below 30 percent of median) from becoming homeless, to help homeless persons make the transition to permanent housing and independent living, and to address the special needs of persons who are not homeless identified in accordance with Sec. 91.315(d). *See Section VI for related strategies.*

(f) Other actions. Actions it plans to take during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing (including the coordination of Low-Income Housing Tax Credits with the development of affordable housing), remove barriers to affordable housing, evaluate and reduce lead-based paint hazards, reduce the number of poverty level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies and foster public housing resident initiatives. (See Sec. 91.315 (a), (b), (f), (g), (h), (i), (j), (k) and (l).) *See Section VI for related strategies.*

(g) Program-specific requirements. In addition, the plan must include the following specific information:

CDBG. *See Appendix G, CDBG documentation.*

HOME. *See Appendix G, HOME documentation.*

ESG. The State shall state the process for awarding grants to State recipients and a description of how the State intends to make its allocation available to units of local government and nonprofit organizations. *See Appendix G, ESG documentation.*

HOPWA. The State shall state the method of selecting project sponsors. *See Appendix G, HOPWA documentation.*

Sec. 91.325 Certifications

See Appendix B for all Certifications.

(a) General. *For all items in 91.325 (a)-(d), see Appendix B.*

Affirmatively furthering fair housing. Each State is required to submit a certification that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the State, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

Anti-displacement and relocation plan. The State is required to submit a certification that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug-free workplace. The State must submit a certification with regard to drug-free workplace required by 24 CFR part 24, subpart F.

Anti-lobbying. The State must submit a certification with regard to compliance with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by that part.

Authority of State. The State must submit a certification that the consolidated plan is authorized under State law and that the State possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan. The State must submit a certification that the housing activities to be undertaken with CDBG, HOME, ESG and HOPWA funds are consistent with the strategic plan.

Acquisition and relocation. The State must submit a certification that it will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR part 24.

Section 3. The State must submit a certification that it will comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(b) Community Development Block Grant program. For States that seek funding under CDBG, the following certifications are required:

Citizen participation. A certification that the State is following a detailed citizen participation plan that satisfies the requirements of Sec. 91.115, and that each unit of general local government that is receiving assistance from the State is following a detailed citizen participation plan that satisfies the requirements of Sec. 570.486 of this title. *Also see Appendix D.*

Consultation with local governments.

Community development plan. A certification that this consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program, as described in 24 CFR 570.2, and requirements of this part and 24 CFR part 570.

Use of funds.

Compliance with anti-discrimination laws. A certification that the grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Excessive force.

Compliance with laws. A certification that the State will comply with applicable laws.

(c) Emergency Shelter Grant program.

For States that seek funding under the Emergency Shelter Grant program, a certification is required by the State that it will ensure that its State recipients comply with the following criteria:

In the case of assistance involving major rehabilitation or conversion, it will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for not less than a 10-year period;

In the case of assistance involving rehabilitation less than that covered under paragraph (d)(1) of this section, it will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for not less than a three-year period;

In the case of assistance involving essential services (including but not limited to employment, health, drug abuse or education) or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served;

Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary;

It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local and private assistance available for such individuals;

It will obtain matching amounts required under Sec. 576.71 of this title;

It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter;

To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining and operating facilities assisted under this program, in providing services assisted under the program, and in providing services for occupants of facilities assisted under the program; and

It is following a current HUD-approved consolidated plan.

(d) HOME program. Each State must provide the following certifications:

If it plans to use program funds for tenant-based rental assistance, a certification that rental-based assistance is an essential element of its consolidated plan.

A certification that it is using and will use HOME funds for eligible activities and costs, as described in Secs. 92.205 through 92.209 of this subtitle and that it is not using and will not use HOME funds for prohibited activities, as described in Sec. 92.214 of this subtitle.

A certification that before committing funds to a project, the State or its recipients will evaluate the project in accordance with guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other federal assistance than is necessary to provide affordable housing.

Housing Opportunities for Persons With AIDS. For States that seek funding under the Housing Opportunities for Persons With AIDS program, a certification is required by the State.

Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Any building or structure purchased, leased, rehabilitated, renovated or converted with assistance under that program shall be operated for not less than 10 years specified in the plan, or for a period of not less than three years in cases involving non-substantial rehabilitation or repair of a building or structure.

(e) HOPWA program. For States that seek funding under the Housing Opportunities for Persons with AIDS program, a certification is required by the State that:

Activities funded under the program will meet the urgent needs that are not being met by available public and private sources; and

Any building or structure purchased, leased, rehabilitated, renovated, or converted with assistance under that program shall be operated for not less than 10 years specified in the plan, or for a period of not less than three years in cases involving non-substantial rehabilitation or repair of a building or structure.

Sec. 91.330 Monitoring

The consolidated plan must describe the standards and procedures that the State will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including the comprehensive planning requirements.

See Section VI.